



## **ACSF BRIEFING**

**SAFETY MANAGEMENT  
INTERNATIONAL  
COLLABORATION GROUP  
NOVEMBER 15, 2016**

# **ACSF Vision**



**The Air Charter Safety Foundation is a 501(c)(3) non-profit organization, whose vision is to promote and enable the highest levels of safety in personal and business aviation.**

# Safety Initiatives



- ACSF Industry Audit Standard (IAS) for Part 135 & 91K
- AVSiS: Safety Event Reporting & Management Tool
- Annual Air Charter Safety Symposium
- Illegal Charter Hotline: **888-SKY-FLT1 (888-759-3581)**
- Industry Aviation Safety Action Program (ASAP)

# **ACSF Industry Audit Standard (IAS)**



- To achieve a standardized and consistent audit product acceptable to both consumers and suppliers.
- Develop a program similar in concept to that currently utilized by scheduled air carriers worldwide.
- Follows an ISO-compliant format.

# **ACSF Industry Audit Standard**



- Contains operational & maintenance requirements extracted from best practices used by the Part 121 airlines.
- Reduces operational risk, cost and redundant workload associated with multiple audits.
- Achieve safety metrics found in U.S. airlines.
- Measurable & quantifiable results.

# IAS Bottom Line Objective



- Create one audit standard to satisfy operators, customers, and others by including:
  - Best practices
  - Safety Management Systems (FAA and ICAO standards)
  - Regulatory compliance

# Operator Standards



Operator Standards Manual in three parts:

1. Operator Standards
  2. Appendix A: Guidance Material
  3. Appendix B: Regulatory Reference Index
- Available on ACSF website: [www.acsf.aero/audit](http://www.acsf.aero/audit)

# Operator Standard



1. Corporate Organization & Management
2. Flight Operations
3. Operational Control
4. Aircraft Maintenance
5. Cabin Operations
6. Aircraft Ground Handling & Servicing
7. Cargo Operations
8. Operational Security
9. Passenger Handling & Safety
10. Dangerous Goods/Hazmat (Will Carry Operator)

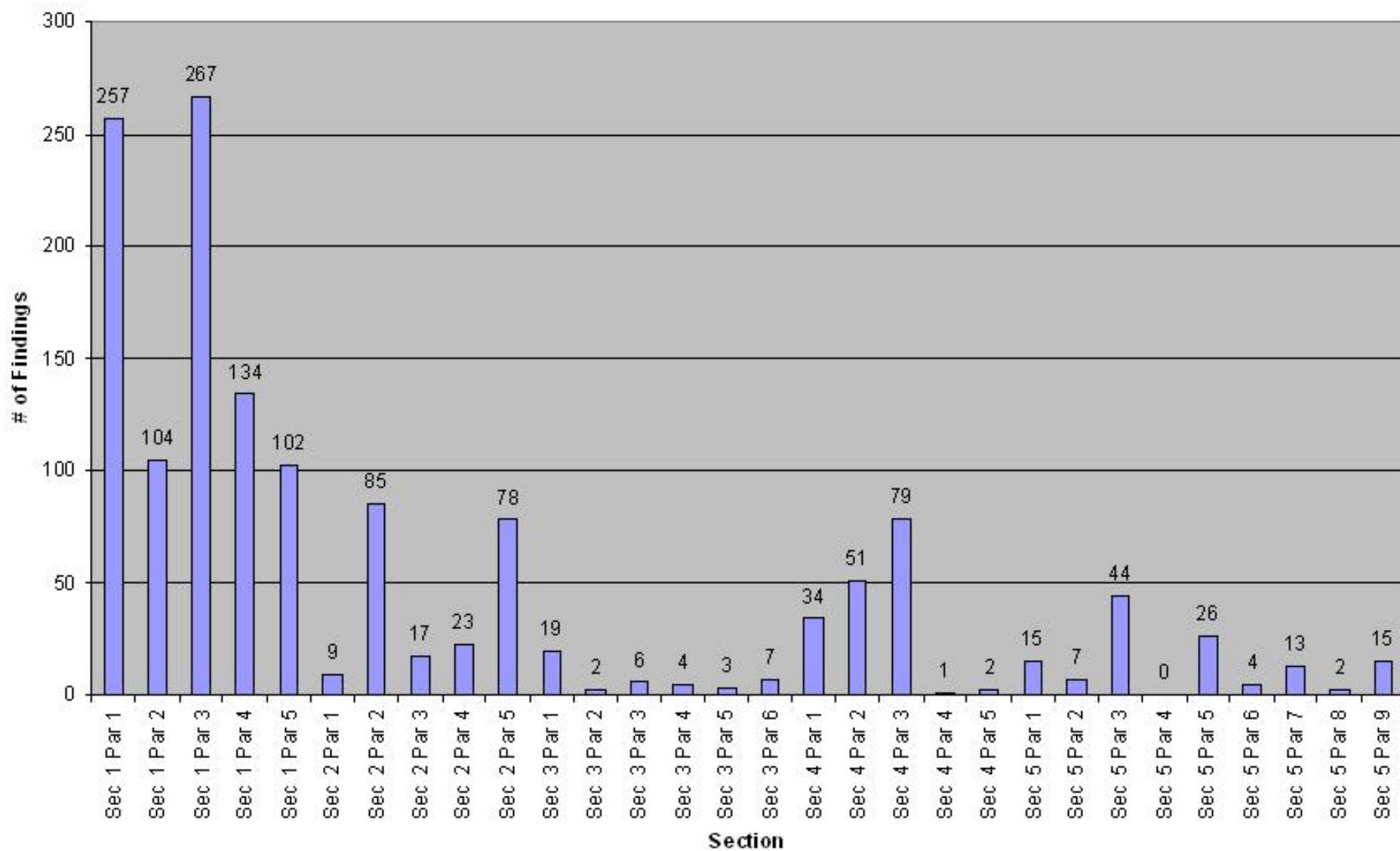


# Audit Structure



- Conducted by independent audit company that must be trained and accredited by ACSF (current companies listed at [www.acsf.aero/audit](http://www.acsf.aero/audit)).
- Operator must complete and submit thorough gap analysis to audit company before scheduling audit.
- Number of audit days determined by operator fleet size:
  - 1 – 15 aircraft: Three days
  - 16 – 30 aircraft: Four days
  - 30+ aircraft: Five days

# Sections 1-5 Number of Findings



# Accident Rates



## **U.S. Part 121 Scheduled Airlines:**

- .148 per 100,000 flight hours

## **Part 135 Business Jets:**

- .54 per 100,000 flight hours

## **ACSF-IAS Registered Operators:**

- .16 per 100,000 flight hours



# Aviation Safety Action Program (ASAP)



# **ACSF Manages FAA-Approved ASAP**



- An Aviation Safety Action Program (ASAP) is a confidential, voluntary safety event reporting system that provides a near consequence-free environment.
- ASAP is part of robust SMS and fosters a positive safety culture.
- Participants identify safety issues and report information that might not otherwise be discovered or disclosed through normal internal event reporting programs.

# ACSF – ASAP Program



- Fosters a cooperative, non-punitive environment with company management and the FAA.
- Designed to identify critical incidents before they become potential accidents.
- Focuses on determining root cause – not to punish.



# Why ASAP?



## **Enhancing and improving overall safety performance depends on:**

- Data collection and analysis;
- Use of data to identify system failures and human errors that are precursors to accidents;
- Identify & implement corrective actions in order to reduce the potential of recurrence incidents and accidents;
- Review patterns and trends.

# SRM & Safety Assurance



## 2. Safety Risk Management (SRM):

- A formal system of hazard identification, analysis and risk management is essential in controlling risk to acceptable levels.

## 3. Safety Assurance:

- Once controls are identified, the SMS must assure they are continuously practiced and continue to be effective in a changing environment.



# Why ASAP?



## **As a Training Tool:**

- We can learn from the mistakes of others through publication of de-identified ASAP events.
- Use of the most advanced safety tool available.
- Has a proven track record.

# ACSF ASAP Partnership



- **Cooperative effort between:**
  - **Company**
  - **Participating employees (pilots, mechanics, flight attendants, dispatchers, schedulers, etc.)**
  - **FAA**
  - **Air Charter Safety Foundation (ACSF)**
- **Agreement between Company, ACSF and FAA is through a Memorandum of Understanding (MOU)**

# **Current ACSF ASAP Participants**



- All FAA Regions in U.S. “Lower 48”
- 30 Part 135 Charter Operators
- 30 Part 91 Flight Departments

# Reporting Process



- Employee completes electronic ASAP form to report:
  - An observed safety problem
  - Violation or potential violation of CFRs or company SOPs
  - Any incident related to safety of flight
- Report form is accessed on secure web portal

# Event Review Committee (ERC) Process



The parties to the ERC are composed of one designated representative from:

- Company Management
- Employee Representative (pilots, mechanics, dispatchers, etc.)
- FAA Inspector assigned as ASAP Representative
- ACSF ASAP Manager acts as facilitator

# ERC Process



- **ERC consensus is required for all recommended corrective actions and report close-outs**
- **The focus of the ERC is to determine root-cause and improve safety, NOT to punish individuals**

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Flight Crew

Assigned to Me

0 Employee Reporting

Tools

Operational Data

Resources

Browser requirements: Internet Explorer 11+ OR any modern version of Google Chrome, Safari, Firefox, Opera. Javascript must be enabled.

ASAP Executive Summary

Expand All Collapse All

Count

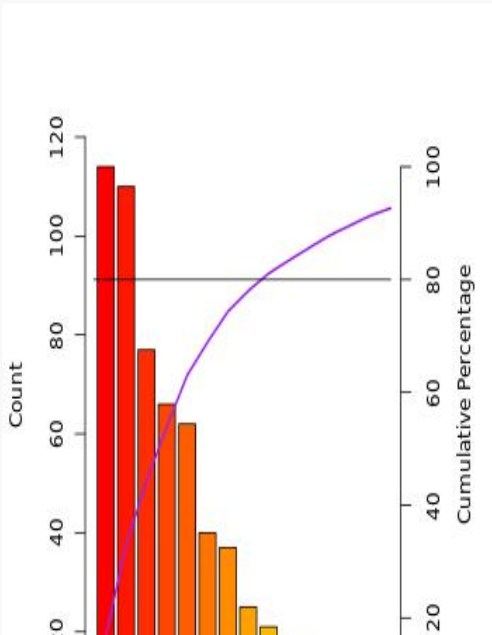
Cumulative Percentage

1	Altitude Deviation	93	93	22.738	22.738
2	Navigation/Position Control	52	145	12.713	35.452
3	ATC Complications/Errors	52	197	12.713	48.166
4	Non-Compliance with CFRs, Policies/Procedures	43	240	10.513	58.679
5	Traffic Proximity	35	275	8.557	67.237
6	Aircraft System/Equipment Malfunction	26	301	6.356	73.594
7	Fuel Event	25	326	6.112	79.706
8	Speed Deviation	13	339	3.178	82.885
9	Approach/Arrival Event	12	351	2.933	85.819
10	Cabin Events	11	362	2.689	88.508

ASAP Executive Summary

Expand All

Collapse All

Top Causes by Count		ID	Cause Name	Count	Cumulative Count	Percent	Cumulative Percent
	1	Individual Factors	114	114	16.740	16.740	
	2	Communication: Pilots and ATC	110	224	16.152	32.892	
	3	Human Factors	77	301	11.306	44.199	
	4	Communication: Between Pilots	66	367	9.691	53.891	
	5	ATC Complications/Errors	62	429	9.104	62.995	
	6	Weather	40	469	5.873	68.869	
	7	Non-Compliance with CFRs, Policies/Procedures	37	506	5.433	74.302	
	8	Policies/Procedures Issues	25	531	3.671	77.973	



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Browser requirements: Internet Explorer 11+ OR any modern version of Google Chrome, Safari, Firefox, Opera. Javascript must be enabled.

Search in Aircraft

Hello, Russ

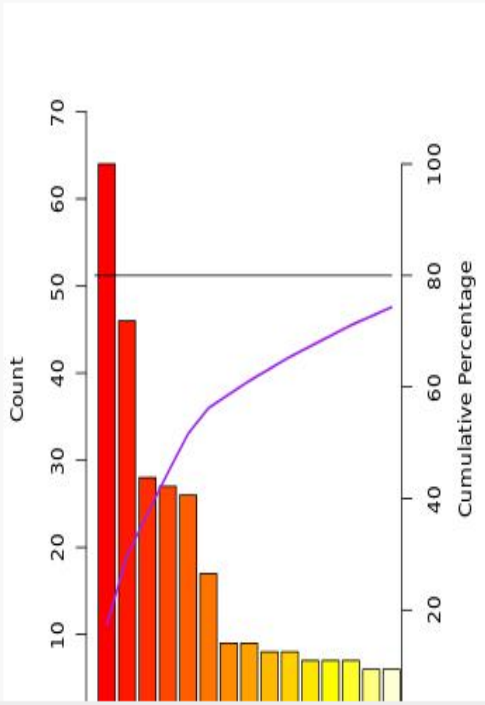
0 Messages

Log Out

ASAP Executive Summary

Expand All Collapse All

Top Aircraft Type by Count



Aircraft Type	Count
G200	64
GIV	46
CE-750-750	28
CE-525-525	27
EMB-500	26
G550	17
Gulfstream V	9
BE-99-C99	9
G280	8
Falcon 2000	8

ID	Type	Count	Cumulative Count	Percent	Cumulative Percent
1	G200	64	64	17.297	17.297
2	GIV	46	110	12.432	29.729
3	CE-750-750	28	138	7.567	37.297
4	CE-525-525	27	165	7.297	44.594
5	EMB-500	26	191	7.027	51.621
6	G550	17	208	4.594	56.216
7	Gulfstream V	9	217	2.432	58.648
8	BE-99-C99	9	226	2.432	61.081
9	G280	8	234	2.162	63.243
10	Falcon 2000	8	242	2.162	65.405

# ASAP Mitigates Risk



- Identifies hazards
- Analyses risks
- Seeks corrective actions
- Educates and increases employee awareness
- Measures overall system performance
- Ensures accountability
- Builds trust (sole source vs. non-sole source reports)

# ASAP Reporting Nationwide - 2015



**Reports accepted into ASAP: 90,000**

**Sole source reports: 90%**

**Non-sole source reports: 10%**

# Air Charter Safety Symposium



- March 7 – 8, 2017
- NTSB Training Center
- “Safety is a choice you make.”
- <http://www.acsf.aero/symposium/>





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