

**ESARR ADVISORY MATERIAL/ACCEPTABLE MEANS OF  
COMPLIANCE  
(EAM/AMC)**

**EAM 5 / AMC**

**ACCEPTABLE MEANS OF  
COMPLIANCE WITH ESARR 5**

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<b>Abstract</b>		
<p>This document presents the acceptable means of compliance (AMC) recognised by the SRC as possible harmonised ways to meet ESARR 5 provisions within the ECAC region.</p> <p>This document includes a Statement of Compliance for each recognised AMC. The Statement of Compliance defines the SRC's position regarding the acceptability of the AMC and identifies the scope, terms and conditions under which that acceptability applies.</p>		
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## F.3 DOCUMENT APPROVAL

The following table identifies all management authorities who have approved this document.

Authority	Name & Signature	Date
Quality Control (SRU)	<i>signed by Daniel Hartin</i> (Daniel HARTIN)	01.08.2007
Head of Unit (SRU)	<i>signed by Peter Stastny</i> (Peter STASTNY)	01.08.2007
Chairman Safety Regulation Commission (SRC)	<i>signed by Ron Elder</i> (Ron ELDER)	01.08.2007

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## F.4 AMENDMENT RECORD

The following table records the complete history of this document.

Edition No.	Date	Reason for Change	Pages Affected
1.0	26-Feb-02	Creation of Document after assessment by SRC Expert Panel.	All
1.1	26-Mar-03	Additional AMC for EATMP Requirements for European Class 3 Medical Certification of Air traffic Controllers and changes to EAM 5 / AMC 1.	Pages 2, 3, 5, 6, 7
1.2	16-Oct-03	Modification in accordance with the modifications to SRC DOC 28 - Assessment of EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers	Pages 11, 12
1.3	30-Mar-07	Addition of AMCs 2-4. SRU quality review. Document sent to SRC for formal consultation / approval.	All
2.0	01-Aug-07	Document formally issued following SRC consultation/approval (RFC No. 0704).	-

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## EAM 5 / AMC – Acceptable Means of Compliance with ESARR 5

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## F.6 EXECUTIVE SUMMARY

This document is intended to present the acceptable means of compliance (AMC) recognised by the SRC as possible harmonised ways to meet ESARR 5 provisions within the ECAC region.

These AMCs recognised are not mandatory. They represent means, or alternative means (but not necessarily the only possible means) by which mandatory provisions established by ESARR 5 can be met under certain identified conditions. Their acceptability has been successfully demonstrated as a result of formal SRC assessment.

This document includes a Statement of Compliance for each means of compliance recognised as an AMC to meet ESARR 5 provisions. The means of compliance presented in this document are;

- **AMC 1**

Assessment of the EATM “European Manual of Personnel Licensing – Air Traffic Controllers, Edition 1.0” as a Means of Compliance with ESARR 5, Edition 1.0.

- **AMC 2**

Assessment of the EATM “European Manual of Personnel Licensing – Air Traffic Controllers, Edition 2.0” as a Means of Compliance with ESARR 5, Edition 2.0.

- **AMC 3**

Assessment of the EATM “Requirements for European Class 3 Medical Certification of Air Traffic Controllers, Edition 2.0” as a Means of Compliance with ESARR 5, Edition 2.0.

- **AMC 4**

Assessment of the EATM “ATCO Development Training – OJTI Course, Edition 1.0” and the “ATCO Development Training - OJTI Refresher Course, Edition 1.0” as a Means of Compliance with ESARR 5, Edition 2.0.

Each AMC contains a Statement of Compliance which:

- a) Defines the SRC’s position regarding the acceptability of the AMC;
- b) Summarises the conclusions of the SRC’s assessment as captured in a more detailed Assessment Document, developed in form of a SRC Document. The associated Assessment Document provides a comprehensive rationale to support the SRC’s position;
- c) Identifies the scope, terms and conditions under which the acceptability applies.

Only some means of compliance will be assessed by the SRC at EUROCONTROL level. The SRC will assess those proposed means of compliance needing a harmonised recognition due to their significance. If the assessment demonstrates compliance, those means will be declared as harmonised ways to meet, either fully or partially and under defined conditions, the requirements of ESARR 5 within the ECAC region.

Recognition at national level will normally apply in the case of means of compliance with local significance.

The assessment of each PMC has been done in accordance with SRC Document 9 'Process for Establishing Acceptable Means of Compliance with ESARRs' in order to ensure a systematic approach to the analysis and, where appropriate, the recognition of Proposed Means of Compliance (PMC) to ESARRs.

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## 1. EAM 5 / AMC 1

### **ASSESSMENT OF THE “EATMP EUROPEAN MANUAL OF PERSONNEL LICENSING – AIR TRAFFIC CONTROLLERS, EDITION 1.0” AS A MEANS OF COMPLIANCE WITH ESARR 5, EDITION 1.0**

### **STATEMENT OF COMPLIANCE** (Ref. EAM 5 / AMC 1)

#### **SUMMARY**

ESARR 5 already recognised the European Manual of Personnel Licensing – Air Traffic Controllers when implemented in association with the application of the EATMP Common Core Content training objectives as valuable reference documentation for the implementation of ESARR 5.

Accordingly, the SRC established an Expert Panel to assess the acceptability of the “EATMP – European Manual of Personnel Licensing – Air Traffic Controllers” (HUM.ET1.ST08.10000-STD-01, Edition 1.0, September 2000) when implemented in accordance with its related “Guidance on Implementation” (HUM.ET1.ST08.10000-GUI-01, Edition 1.0, August 2001). Both documents constituted the Proposed Means of Compliance (PMC) under consideration.

This “Statement of Compliance”, proposed by the Expert Panel and agreed by SRC, summarises the results of that assessment<sup>1</sup> and defines the SRC position about the acceptability of the PMC “the European Manual of Personnel Licensing – Air Traffic Controllers and related Guidance Material on Implementation”.

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<sup>1</sup> The detailed SRC assessment is documented in SRC Document 13, Part 1.

## **STATEMENT OF COMPLIANCE<sup>2</sup>**

(Ref. EAM 5 / AMC 1)

**I. The implementation of the Proposed Means of Compliance “EATMP European Manual of Personnel Licensing (reference - HUM.ET1.ST08.10000-STD-01, Edition 1.0, 8 September 2000)” and its related “Guidance Material on Implementation (reference HUM.ET1.ST08.10000-GUI-01 Edition 1.0, August 2001)” meets the mandatory provisions of ESARR 5, Edition 1.0 November 2001, only when supplemented with the following features:**

- a) The clarification of roles of the Designated Authority, the provider of air traffic services and the individual personnel is followed according with ESARR 5. Guidance Material on Implementation ref. (HUM.ET1.ST08.-GUI-01 Edition 1.0, August 2000) - needs to be used in conjunction with the European Manual of Personnel Licensing ref. (HUM.ET1.ST08.10000-STD-01, Edition 1.0, 8 September 2000),
- b) The PMC is not to be used for other categories of ATM personnel undertaking safety related tasks, except for Air Traffic Controllers,
- c) OJTI licence endorsement is used instead of the jargon “coach” for the On-The-Job Training definition,
- d) Until further notice, PMC is acceptable to meet the ESARR 5 medical requirements, only if it is used in conjunction with ICAO Class 3 medical standards laid down in ICAO Annex 1 and only if supplemented with detailed procedures at unit level to prevent licence holders to exercise their licence privileges while unfit or under the influence of psychoactive substances,
- e) The PMC can be considered acceptable to cover the unit training plans requirements in ESARR 5, only if the unit training plans developed according to the PMC guidelines are then submitted for approval to the Designated Authority,
- f) The PMC can be considered acceptable to cover the OJTI requirements in ESARR 5, only if ATS units will have established procedures with the Designated Authority to notify whenever an OJTI is no longer competent to provide On-The-Job Training,
- g) The PMC can be considered acceptable to cover the requirements for the air traffic services units in ESARR 5, only if ATS units will have established procedures with the Designated Authority to notify whenever an air traffic controller fails to satisfy the unit procedures for ongoing experience,
- h) The PMC can be considered acceptable to cover the requirements for air traffic controllers to be applied by the individual personnel (both licensed/certificated air traffic controller and student air traffic controller) in ESARR 5, only if Designated Authority will publish additional requirements to set-up an institutional framework to allow the individuals to report whenever there is any decrease in a licence/certificate of competence holder medical fitness which might render the holder unable to safely and properly exercise the privileges granted by his/her licence,
- i) Additional procedures are required to be developed to meet the requirements in 5.2.1.17 referring to the temporary suspension of licence/certificate of competence.

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<sup>2</sup> As included in Section 7 of SRC Document 13.

**II. Additionally the following EATMP courses have been recognised as adequate OJTI courses complying with ESARR 5 requirements:**

- Air Traffic Controllers Training at Operational Units (Ref. - HUM.ET1.ST05.4000-GUI-01, Ed. 2.0/99),
- Air Traffic Controllers Training at Operational Units – Refresher Course (Ref. - HRS/TSP-004-GUI-01).

**III. The generic example of a unit training plans presented in “Guidance Material on Implementation of European Manual of Personnel Licensing (ref. HUM.ET1.ST08.10000-GUI-01 Edition 1.0, August 2001)” is considered an acceptable example and guidance to develop local unit training plans.**

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## 2. EAM 5 / AMC 2

### **ASSESSMENT OF THE EATM “EUROPEAN MANUAL OF PERSONNEL LICENSING – AIR TRAFFIC CONTROLLERS, EDITION 2.0” AS A MEANS OF COMPLIANCE WITH ESARR 5, EDITION 2.0**

#### **STATEMENT OF COMPLIANCE**

(Ref. EAM 5 / AMC 2)

#### **SUMMARY**

The SRC had already recognised the European Manual of Personnel Licensing – Air Traffic Controllers, Edition 1.0 when implemented in accordance with its related “Guidance on Implementation” (HUM.ET1.ST08.10000-GUI-01, Edition 1.0, August 2001) and in association with the application of the EATMP Common Core Content training objectives as means to comply with ESARR 5. This has been stated within EAM 5 / AMC 1 released on 26<sup>th</sup> February 2002.

Based on this first Statement of Compliance the European Manual of Personnel Licensing – Air Traffic Controllers has been improved and a version 2.0 has been released. Its related “Guidance on Implementation” (HUM.ET1.ST08.10000-GUI-01, Edition 1.0, August 2001) was not modified. Additionally, ESARR 5 has been supplemented with safety requirements for engineering and technical personnel undertaking operational safety related task and a new edition has been issued (Edition 2.0, dated 11.04.2002). As a result of this modification, safety regulatory requirements of ESARR 5 Edition1.0 have not been modified, but supplemented with the new ones

SRC re-activated the Expert Panel to assess the acceptability of the “EATM European Manual of Personnel Licensing – Air Traffic Controllers” (HUM.ET1.ST08.10000-STD-01, Edition 2.0, September 2000) when implemented in accordance with its related “Guidance on Implementation” (HUM.ET1.ST08.10000-GUI-01, Edition 1.0, August 2001) against ESARR 5, Edition 2.0. Both documents constituted the Proposed Means of Compliance (PMC) under consideration.

This “Statement of Compliance” agreed by SRC summarises the results of the AMC Panel’s assessment<sup>3</sup> and defines the SRC position about the acceptability of the PMC “European Manual of Personnel Licensing – Air Traffic Controllers, Edition 2.0 and related Guidance Material on Implementation”.

<sup>3</sup> The detailed SRC assessment is documented in SRC Document 13, Part 2.

**STATEMENT OF COMPLIANCE**  
(Ref. EAM 5 / AMC 2)

- I. The implementation of the Proposed Means of Compliance “EATM European Manual of Personnel Licensing (reference - HUM.ET1.ST08.10000-STD-01, Edition 2.0, 14 June 2004) and its related Guidance Material on Implementation (reference HUM.ET1.ST08.10000-GUI-01 Edition 1.0, August 2001)” meets the mandatory provisions of ESARR 5, Edition 2.0 April 2001, only when supplemented with the following features:**
  - a) PMC is acceptable to meet the ESARR 5 medical requirements, only if used in conjunction with appropriate class of medical requirements (see EAM 5 / AMC 3),**
  - b) The PMC can be considered acceptable only if supplemented with detailed procedures at unit level to prevent licence holders to exercise their licence privileges while unfit or under the influence of psychoactive substances.**
- II. The “EATM ATCO Development Training – OJTI Course, Edition 1.0, 8 April 2004 and OJTI Refresher course, Edition 1.0, 10 August 2001” have to be used in conjunction with the “EATM European Manual of Personnel Licensing (reference - HUM.ET1.ST08.10000-STD-01, Edition 2.0, 14 June 2004)” and its related “Guidance Material on Implementation (reference HUM.ET1.ST08.10000-GUI-01 Edition 1.0, August 2001)” in order to satisfy the appropriate ESARR 5 requirements (see EAM 5 / AMC 4).**
- III. The generic example of a unit training plans presented in the “Guidance Material on Implementation of European Manual of Personnel Licensing (ref. HUM.ET1.ST08.10000-GUI-01 Edition 1.0, August 2001)” is considered an acceptable example and guidance to develop local unit training plans.**

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### **3. EAM 5 / AMC 3**

#### **ASSESSMENT OF THE EATM “REQUIREMENTS FOR EUROPEAN CLASS 3 MEDICAL CERTIFICATION OF AIR TRAFFIC CONTROLLERS, EDITION 2.0” AS A MEANS OF COMPLIANCE WITH ESARR 5, EDITION 2.0**

#### **STATEMENT OF COMPLIANCE**

(Ref. EAM 5 / AMC 3)

#### **SUMMARY**

The “EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers, Edition 1.0” has been formally proposed by EUROCONTROL EATMP DAP/SSH for assessment by SRC as a means of compliance with ESARR 5.

Within the first Statement of compliance (EAM 5 / AMC, Edition 1.0, 26.02.2002) it was mentioned that “Until further notice, PMC is acceptable to meet the ESARR 5 medical requirements, only if it is used in conjunction with ICAO Class 3 medical standards laid down in ICAO Annex 1...”.

As such, SRC re-activated the Expert Panel to assess the acceptability of the “EATMP Requirements for European Class 3 Medical Certification of Air Traffic Controllers (HUM.ET2.ST08.10000-STD-02, Edition 2.0, 8<sup>th</sup> February 2006)” against ESARR 5, Edition 2.0.

This “Statement of Compliance” agreed by SRC summarises the results of the AMC Panel’s assessment<sup>4</sup> and defines the SRC position about the acceptability of the PMC “EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers, Edition 2.0”.

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<sup>4</sup> The detailed SRC assessment is documented in SRC Document 28.

**STATEMENT OF COMPLIANCE**  
(Ref. EAM 5 / AMC 3)

**I. The “EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers (HUM.ET1.ST08.10000-STD-02), Edition 2.0, June 2004” meets the following medical requirements of ESARR 5, Edition 2.0:**

ESARR 5 – Section 5.1, in respect of ATCOs only

ESARR 5 – Sections 5.2.1 & 5.2.1.1

ESARR 5 – Sections 5.2.1 & 5.2.1.2

ESARR 5 – Sections 5.2.1 & 5.2.1.3

ESARR 5 – Sections 5.2.1 & 5.2.1.6

ESARR 5 – Sections 5.2.1 & 5.2.1.7

ESARR 5 – Sections 5.2.1 & 5.2.1.14

ESARR 5 – Sections 5.2.1 & 5.2.1.16

ESARR 5 – Sections 5.2.2 & 5.2.2.1

ESARR 5 – Sections 5.2.2 & 5.2.2.2

ESARR 5 – Sections 5.2.2 & 5.2.2.5

ESARR 5 – Sections 5.2.3 & 5.2.3.1

ESARR 5 – Sections 5.2.3 & 5.2.3.2

**subject to the following condition:**

a) The PMC is to be used only for Air Traffic Controllers and not for other categories of ATM personnel undertaking safety-related tasks.

**II. The “EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers (HUM.ET1.ST08.10000-STD-02), Edition 2.0, June 2004” does not meet the following medical requirements of ESARR 5, Edition 2.0:**

ESARR 5 – Sections 5.2.2 & 5.2.2.7

**III. The “EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers (HUM.ET1.ST08.10000-STD-02), Edition 2.0, June 2004”, as a whole, cannot mandate States to file differences to the notified body included in the PMC between national medical requirements and European Class 3 medical requirements, unless States decide to do so.**

**IV. Additionally, the “EATM European Manual of Personnel Licensing – Air Traffic Controllers, Edition 2.0” has to be used in conjunction with the appropriate class of medical requirements in order to satisfy the appropriate ESARR 5 medical requirements (see EAM 5 / AMC 2).**

**V. The implementation of the Proposed Means of Compliance “EATM Requirements for European Class 3 Medical Certification of Air Traffic Controllers” does not apply to the following non-medical mandatory provisions of ESARR 5, Edition 2.0:**

ESARR 5 – Sections 5.2.1 & 5.2.1.4  
ESARR 5 – Sections 5.2.1 & 5.2.1.5  
ESARR 5 – Sections 5.2.1 & 5.2.1.8  
ESARR 5 – Sections 5.2.1 & 5.2.1.9  
ESARR 5 – Sections 5.2.1 & 5.2.1.10  
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ESARR 5 – Sections 5.2.1 & 5.2.1.12  
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ESARR 5 – Sections 5.2.2 & 5.2.2.7  
ESARR 5 – Sections 5.2.2 & 5.2.2.8  
ESARR 5 – Sections 5.2.2 & 5.2.2.9  
ESARR 5 – Sections 5.2.2 & 5.2.2.10  
ESARR 5 – Sections 5.2.2 & 5.2.2.11  
ESARR 5 – Sections 5.2.2 & 5.2.2.12  
ESARR 5 – Sections 5.2.2 & 5.2.2.13  
ESARR 5 – Section 5.3.1  
ESARR 5 – Section 5.3.2  
ESARR 5 – Sections 5.3.3, 5.3.3.1 & 5.3.3.2

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## 4. EAM 5 / AMC 4

### **ASSESSMENT OF THE EATM “ATCO DEVELOPMENT TRAINING – OJTI COURSE, EDITION 1.0” AND THE “ATCO DEVELOPMENT TRAINING OJTI REFRESHER COURSE, EDITION 1.0” AS A MEANS OF COMPLIANCE WITH ESARR 5, EDITION 2.0**

#### **STATEMENT OF COMPLIANCE**

(Ref. EAM 5 / AMC 4)

#### **SUMMARY**

The “EATM ATCO Development Training OJTI course (HRS/TSP-004-GUI-06, Edition 1.0, 8<sup>th</sup> April 2004) and OJTI Refresher course (HRS/TSP-004-GUI-01, Edition 1.0, 10<sup>th</sup> August 2001)” has been formally proposed by EUROCONTROL EATM DAP/SSH for assessment by the SRC as a means of compliance with ESARR 5.

Within the first Statement of compliance (EAM 5 / AMC 1) it was mentioned that

#### **“II. Additionally the following EATMP courses have been recognised as adequate OJTI courses complying with ESARR 5 requirements:**

- Air Traffic Controllers Training at Operational Units (Ref. -HUM.ET1.ST05.4000-GUI-01 Ed 2.0/99);
- Air Traffic Controllers Training at Operational Units – Refresher Course (Ref. - HRS/TSP-004-GUI-01)."

The training material mentioned above which was included in the first Statement of Compliance (EAM 5 / AMC 1) had been also reviewed and improved by adopting the “objective based training” approach used in the development of the Common Core Content Training document.

As such, SRC re-activated the Expert Panel to assess the acceptability of the “OJTI course (HRS/TSP-004-GUI-06, Edition 1.0, 8<sup>th</sup> April 2004) and OJTI Refresher course (HRS/TSP-004-GUI-01, Edition 1.0, 10<sup>th</sup> August 2001)” against ESARR 5, Edition 2.0.

This “Statement of Compliance” agreed by SRC summarises the results of the AMC Panel’s assessment<sup>5</sup> and defines the SRC position about the acceptability of the PMC “EATMP ATCO Development Training OJTI course, Edition 1.0, 8<sup>th</sup> April 2004 and OJTI Refresher course, Edition 1.0, 10<sup>th</sup> August 2001”.

<sup>5</sup> The detailed SRC assessment is documented in SRC Document 42.

**STATEMENT OF COMPLIANCE**  
(Ref. EAM 5 / AMC 4)

**I. The “EATM ATCO Development Training OJTI course (HRS/TSP-004-GUI-06, Edition 1.0, 8<sup>th</sup> April 2004) and OJTI Refresher course (HRS/TSP-004-GUI-01, Edition 1.0, 10<sup>th</sup> August 2001)” meets the following requirements of ESARR 5, Edition 2.0:**

ESARR 5 – Section 5.1, in respect of ATCOs only

ESARR 5 – Sections 5.2.1 & 5.2.1.1

ESARR 5 – Sections 5.2.1 & 5.2.1.3

ESARR 5 – Sections 5.2.1 & 5.2.1.8

ESARR 5 – Sections 5.2.2 & 5.2.2.5

**subject to the following condition:**

a) The PMC is to be used only for Air Traffic Controllers and not for other categories of ATM personnel undertaking safety-related tasks.

**II. Additionally, the “EATM European Manual of Personnel Licensing – Air Traffic Controllers, Edition 2.0” has to be used in conjunction with the “EATM ATCO Development Training OJTI course (HRS/TSP-004-GUI-06, Edition 1.0, 8<sup>th</sup> April 2004) and OJTI Refresher course (HRS/TSP-004-GUI-01, Edition 1.0, 10<sup>th</sup> August 2001” in order to satisfy the appropriate ESARR 5 requirements (see EAM 5 / AMC 2).**

**III. The implementation of the Proposed Means of Compliance “EATM ATCO Development Training OJTI course (HRS/TSP-004-GUI-06, Edition 1.0, 8<sup>th</sup> April 2004) and OJTI Refresher course (HRS/TSP-004-GUI-01, Edition 1.0, 10<sup>th</sup> August 2001” does not apply to the following non- medical mandatory provisions of ESARR 5, Edition 2.0:**

ESARR 5 – Sections 5.2.1 & 5.2.1.2

ESARR 5 – Sections 5.2.1 & 5.2.1.4

ESARR 5 – Sections 5.2.1 & 5.2.1.5

ESARR 5 – Sections 5.2.1 & 5.2.1.6

ESARR 5 – Sections 5.2.1 & 5.2.1.7

ESARR 5 – Sections 5.2.1 & 5.2.1.9

ESARR 5 – Sections 5.2.1 & 5.2.1.10

ESARR 5 – Sections 5.2.1 & 5.2.1.11

ESARR 5 – Sections 5.2.1 & 5.2.1.12

ESARR 5 – Sections 5.2.1 & 5.2.1.13

ESARR 5 – Sections 5.2.1 & 5.2.1.14

ESARR 5 – Sections 5.2.1 & 5.2.1.15

ESARR 5 – Sections 5.2.1 & 5.2.1.16

ESARR 5 – Sections 5.2.1 & 5.2.1.17  
ESARR 5 – Sections 5.2.2 & 5.2.2.1  
ESARR 5 – Sections 5.2.2 & 5.2.2.2  
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ESARR 5 – Sections 5.2.2 & 5.2.2.4  
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ESARR 5 – Sections 5.2.2 & 5.2.2.10  
ESARR 5 – Sections 5.2.2 & 5.2.2.11  
ESARR 5 – Sections 5.2.2 & 5.2.2.12  
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ESARR 5 – Section 5.3.1  
ESARR 5 – Section 5.3.2  
ESARR 5 – Sections 5.3.3, 5.3.3.1 & 5.3.3.2

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