

Clayton Tunnel - Can we shine any more light on it today?

Clayton Tunnel - Can we shine any more light on it today?

SAFETY HUMAN PERFORMANCE SYSTEM

“FROM THEORY TO PRACTICE”

Lisbon 24th & 26th September 2014

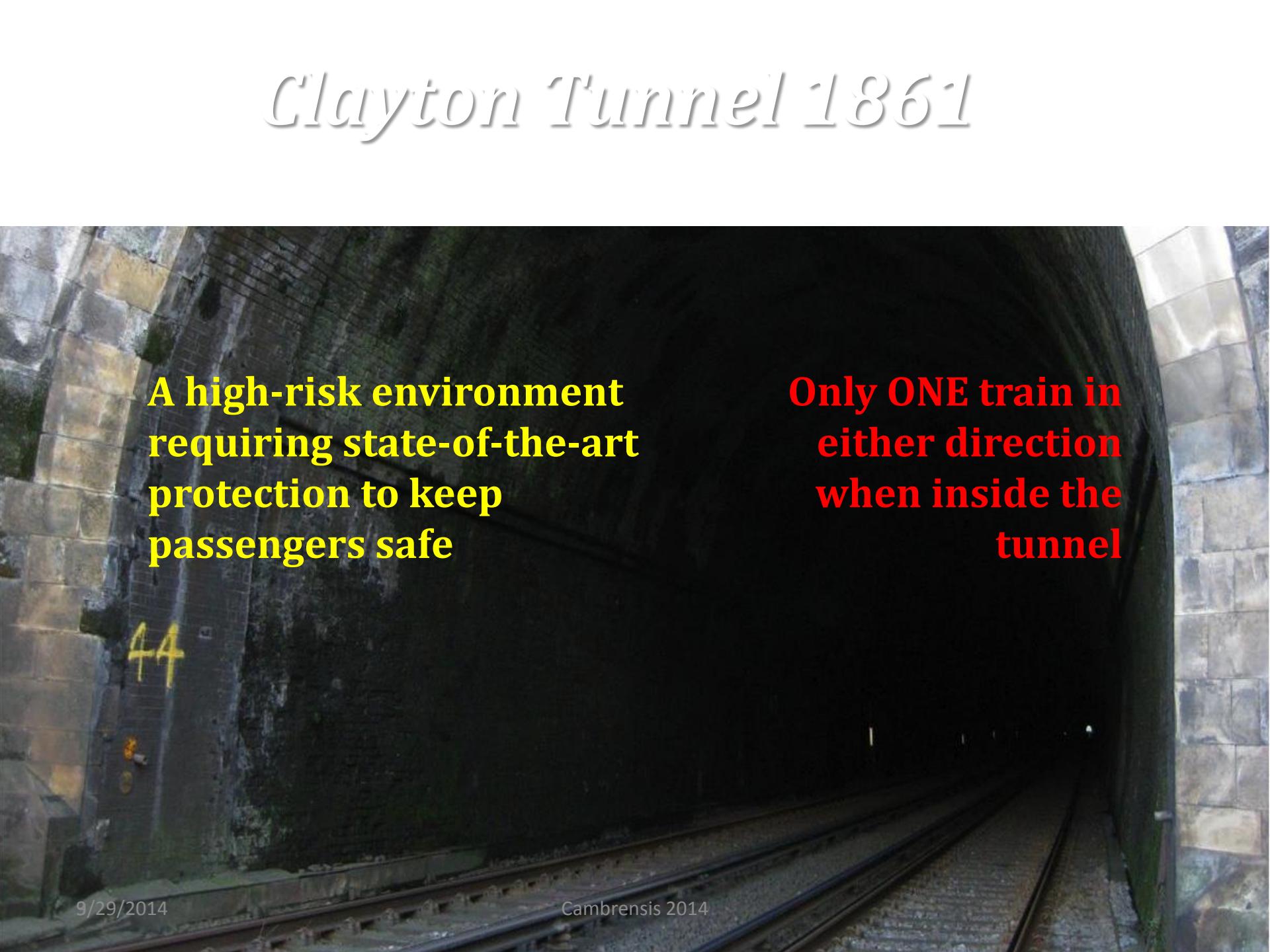
Eurocontrol Network Manager

NMD/NOM/SAF

ESP+ Programme

David Slater

Clayton Tunnel 1861

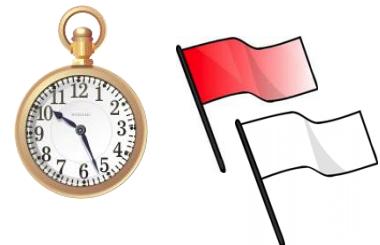
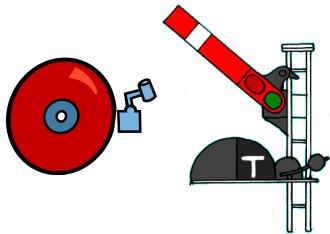


**A high-risk environment
requiring state-of-the-art
protection to keep
passengers safe**

**Only ONE train in
either direction
when inside the
tunnel**

State-of-the-art protection

South

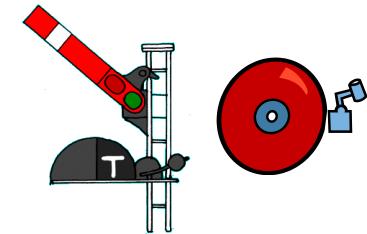


Tunnel



“train_in”
“train_out”
“is_train_out?”

North



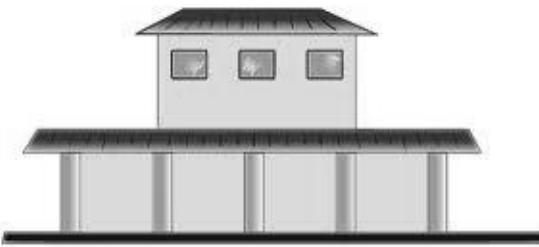
Multiple protective layers
Redundant components
Defined protocol
State-of-the-art technology
What could possibly go wrong?

Telegraphic protocol

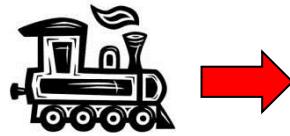
- The-needle telegraph allows three signals:
 - “train_in”
 - “train_out”
 - (“is_train_out?”)
- Process:
 - train passes green signal
 - train enters tunnel
 - signal trips to red
 - signalman A telegraphs “train_in”
 - train traverses tunnel...
 - ...train exits tunnel
 - signalman B telegraphs “train_out”
 - signalman A resets signal to green



Brighton station, 25 August 1861, 08:28



Driver Gregory



Driver Scott



**Brighton
Parliamentary**

**08:35
(08:30)**

**Brighton
Excursion**

**08:31
(08:15)**

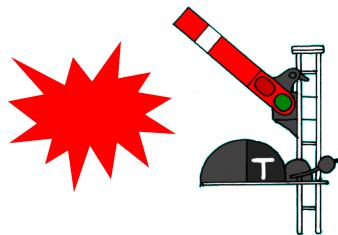
**Portsmouth
Excursion**

**08:28
(08:05)**

**Assistant Station
Master Legg**

Disaster strikes

South



North

Tunnel



Portsmouth
Excursion



25 – 30 mph

← Brighton 5 miles



Killick



~ 1 Mile

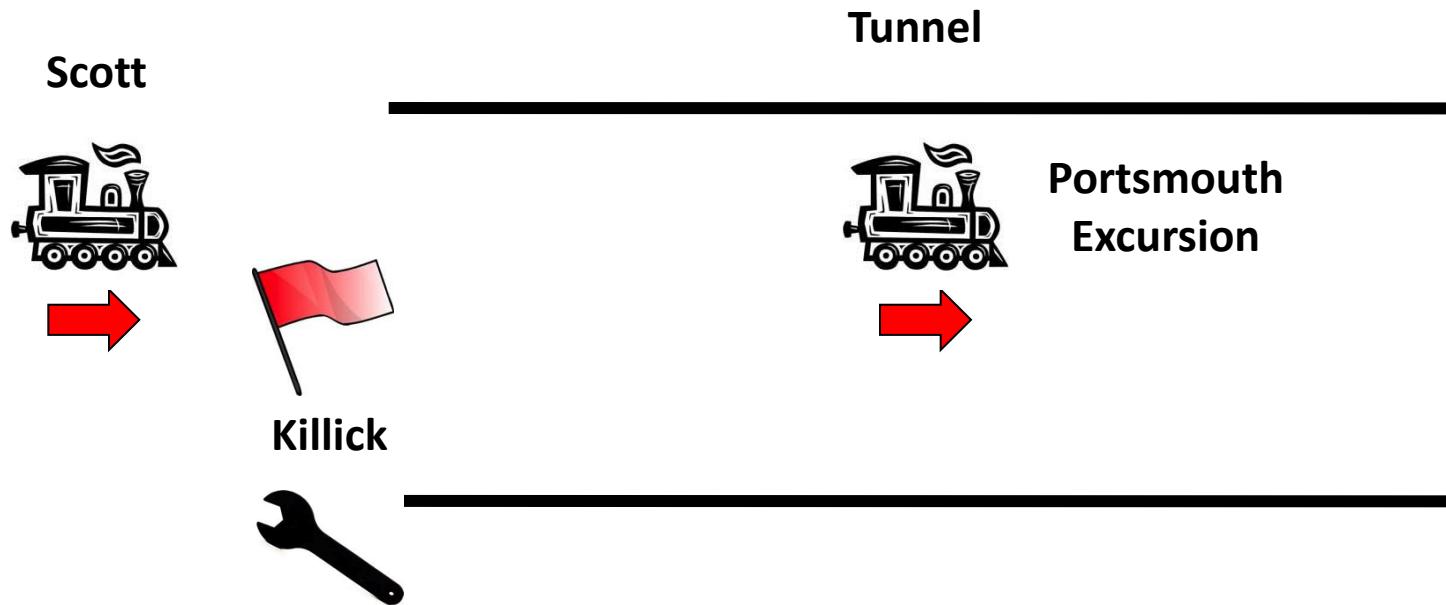


Brown

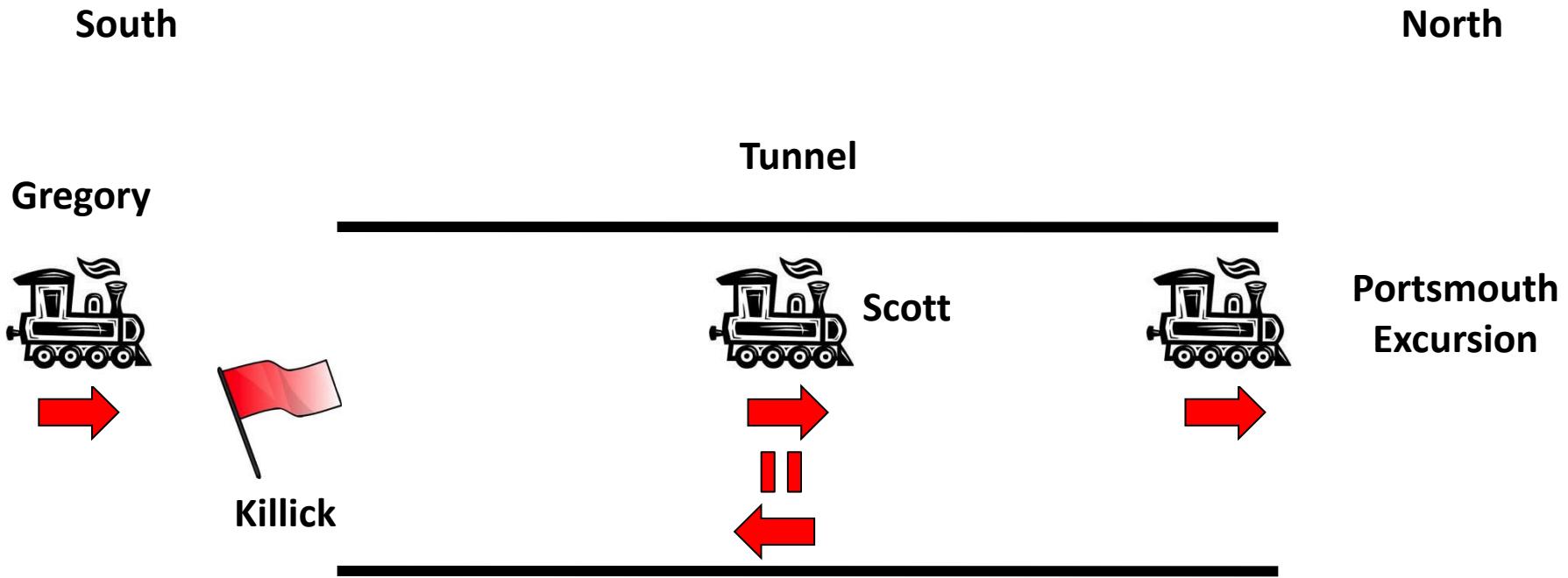
Disaster strikes

South

North



Disaster strikes

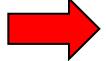


Disaster strikes

South

North

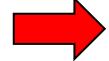
Gregory



Tunnel



Scott



is_train_out?

is_train_out?

Killick

train_out

train_out



Brown

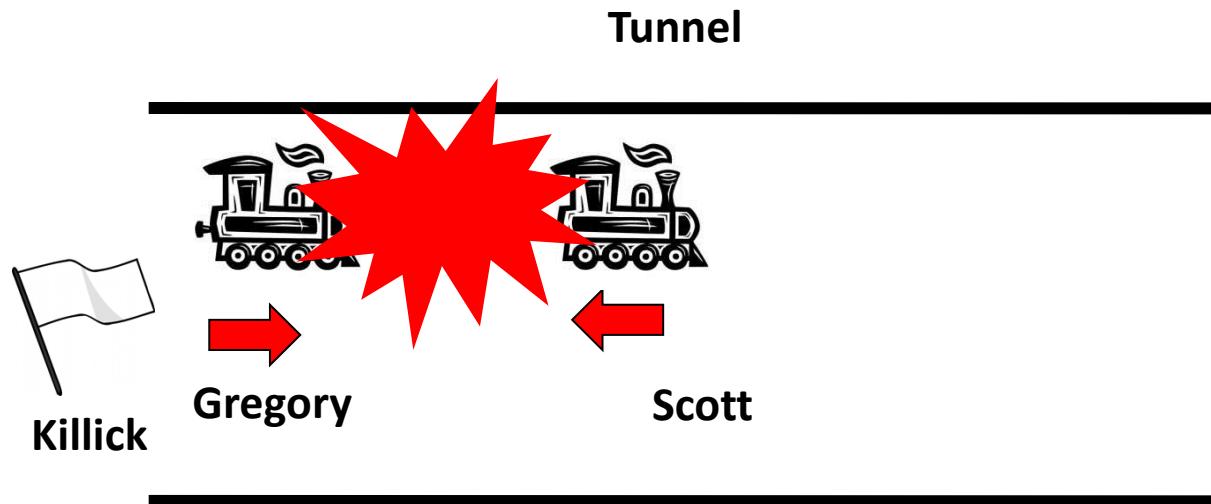
9/029/2014

Cambrensis 2014

Disaster strikes

South

North



23 killed, 176 seriously injured

Trains Dispatched

A. 8:05,

B. 8:15 and

C. 8:30

Semaphore at STOP

Train A approaches (T Minutes)

Signalman A telegraphs

"is Train out?"

Signalman B telegraphs "Train out"

Signalman A sets Semaphore at GO

Train Passes Semaphore at GO

Train A enters tunnel

(T + 1 minute)

Semaphore resets to STOP

Train B approaches (T+15 minutes).

Signalman A Telegraphs "Is Train in"

Signalman A telegraphs "Train out?"

Train A exits the Tunnel (T + 4 minutes)

Signalman B telegraphs "Train out"
(T + 4 minutes)

Signalman A sets Semaphore at GO

(T + 4 minutes)

Train B enters Tunnel (T + 16

minutes) Semaphore resets to STOP

Signalman A telegraphs "Is Train out?"

Train B exits Tunnel (T + 20 minutes)

Signalman B telegraphs "Train out"

Train C approaches (T + 30 minutes)

Signalman A sets Semaphore at GO

Train C enters Tunnel

(T + 30 minutes)

Train C exits Tunnel (T + 34 minutes)

**What
was
going
on in
the
various
parts of
the
system?**

What was going on in the various parts of the system?

| Normal Cycle "As imagined" | Deviation "As imagined" |
|---|---|
| Trains Dispatched A. 8:05, B. 8:15 and C. 8:30 | Trains Dispatched A. 8.28 (+23minutes), B. 8:31 (+16 minutes), C. 8:35 (+ 5 minutes) |
| Semaphore at STOP | Semaphore at STOP |
| Train A approaches (T Minutes) | Train A approaches (T Minutes) |
| Signalman A telegraphs "is Train out?" | Signalman A telegraphs "is Train out?" |
| Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" |
| Signalman A sets Semaphore at GO | Signalman A sets Semaphore at GO |
| Train Passes Semaphore at GO | Train Passes Semaphore at GO |
| Train A enters tunnel (T + 1 minute) | Train A enters tunnel (T + 1 minute) |
| Semaphore resets to STOP | Semaphore sticks at GO Alarm sounds |
| Train B approaches (T+15 minutes). | Train B approaches (T+ 3minutes) |
| Signalman A Telegraphs "Is Train in" | Signalman A flags STOP |
| Signalman A telegraphs "Train out?" | Signalman A telegraphs "Train out?" |
| Train A exits the Tunnel (T + 4 minutes) | Train A exits the Tunnel (T + 4 minutes) |
| Signalman B telegraphs "Train out" (T + 4minutes) | Signalman B telegraphs "Train out" (T + 4minutes) |
| Signalman A sets Semaphore at GO (T + 4minutes) | Signalman A resets Semaphore at GO or Signalman A flags GO (T + 4minutes) Train B passes Semaphore and Flags at GO |
| Train B enters Tunnel (T + 16 minutes) Semaphore resets to STOP | Train B enters Tunnel (T + 16 minutes) |
| Signalman A telegraphs "Is Train out?" | Signalman A telegraphs "Is Train out?" |
| Train B exits Tunnel (T + 20 minutes) | Train B exits Tunnel (T + 20 minutes) |
| Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" |
| Train C approaches (T + 30 minutes) | Train C approaches (T + 30 minutes) |
| Signalman A sets Semaphore at GO | Signalman A flags GO (T + 30minutes) |
| Train C enters Tunnel (T + 30 minutes) | Train C enters Tunnel (T + 30 minutes) |
| Train C exits Tunnel (T + 34minutes) | Train C exits Tunnel (T + 34minutes) |

What was going on in the various parts of the system?

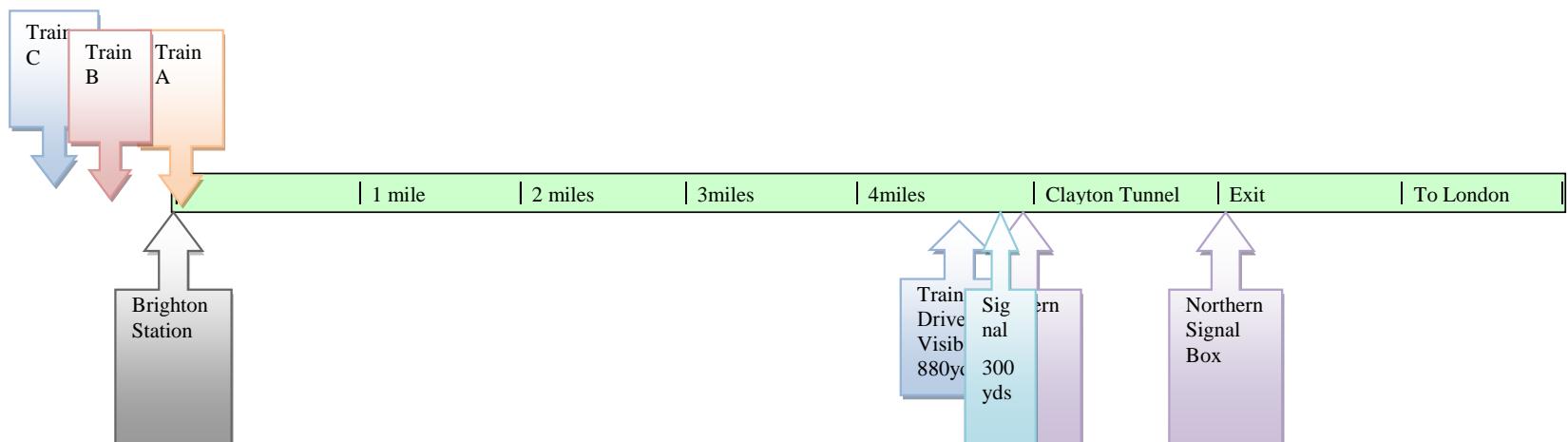
| Normal Cycle "As imagined" | Deviation "As imagined" | Deviation with Signalman Function "As is" |
|--|---|--|
| Trains Dispatched A. 8:05, B. 8:15 and C. 8:30 | Trains Dispatched A. 8.28 (+23minutes), B. 8:31 (+16 minutes), C. 8:35 (+ 5 minutes) | Trains Dispatched A. 8.28 (+23minutes), B. 8:31 (+16 minutes), C. 8:35 (+ 5 minutes) |
| Semaphore at STOP | Semaphore at STOP | Semaphore at STOP |
| Train A approaches (T Minutes) | Train A approaches (T Minutes) | Train A approaches (T Minutes) |
| Signalman A telegraphs "is Train out?" | Signalman A telegraphs "is Train out?" | Signalman A telegraphs "is Train out?" |
| Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" |
| Signalman A sets Semaphore at GO | Signalman A sets Semaphore at GO | Signalman A sets Semaphore at GO |
| Train Passes Semaphore at GO | Train Passes Semaphore at GO | Train Passes Semaphore at GO |
| Train A enters tunnel (T + 1 minute) | Train A enters tunnel (T + 1 minute) | Train A enters tunnel (T + 1 minute) |
| Semaphore resets to STOP | Semaphore sticks at GO Alarm sounds | Semaphore sticks at GO Alarm Sounds |
| Train B approaches (T+15 minutes). Signalman A Telegraphs "Is Train in" Signalman A telegraphs "Train out?" | Train B approaches (T+ 3 minutes) Signalman A flags STOP Signalman A telegraphs "Train out?" | Train B approaches (T + 3 minutes) Train B passes Semaphore at "GO" Signalman A prepares to use Flags Train B enters Tunnel (T + 3.5 minutes) |
| Train A exits the Tunnel (T + 4 minutes) | Train A exits the Tunnel (T + 4 minutes) | Train A exits the Tunnel (T + 4 minutes) |
| Signalman B telegraphs "Train out" (T + 4 minutes) | Signalman B telegraphs "Train out" (T + 4 minutes) | Signalman B telegraphs "Train out" (T + 4 minutes) |
| Signalman A sets Semaphore at GO (T + 4 minutes) | Signalman A resets Semaphore at GO or Signalman A flags GO (T + 4 minutes) Train B passes Semaphore and Flags at GO | Signalman A Flags STOP (T + 4 minutes) |
| Train B enters Tunnel (T + 16 minutes) Semaphore resets to STOP Signalman A telegraphs "Is Train out?" Train B exits Tunnel (T + 20 minutes) Signalman B telegraphs "Train out" | Train B enters Tunnel (T + 16 minutes) Signalman A telegraphs "Is Train out?" Train B exits Tunnel (T + 20 minutes) Signalman B telegraphs "Train out" | Signalman A telegraphs "Is Train out?" (T + 5 minutes) Train B exits Tunnel (T + 7 minutes) Signalman B telegraphs "Train out" |
| Train C approaches (T + 30 minutes) Signalman A sets Semaphore at GO Train C enters Tunnel (T + 30 minutes) | Train C approaches (T + 30 minutes) Signalman A flags GO (T + 30 minutes) Train C enters Tunnel (T + 30 minutes) | Train C approaches (T + 7 minutes) Signalman A flags GO (T + 7 minutes) Train C enters Tunnel (T + 7 minutes) |
| Train C exits Tunnel (T + 34 minutes) | Train C exits Tunnel (T + 34 minutes) | Train C exits Tunnel (T + 11 minutes) |

**What
was
going
on in
the
various
parts of
the
system?**

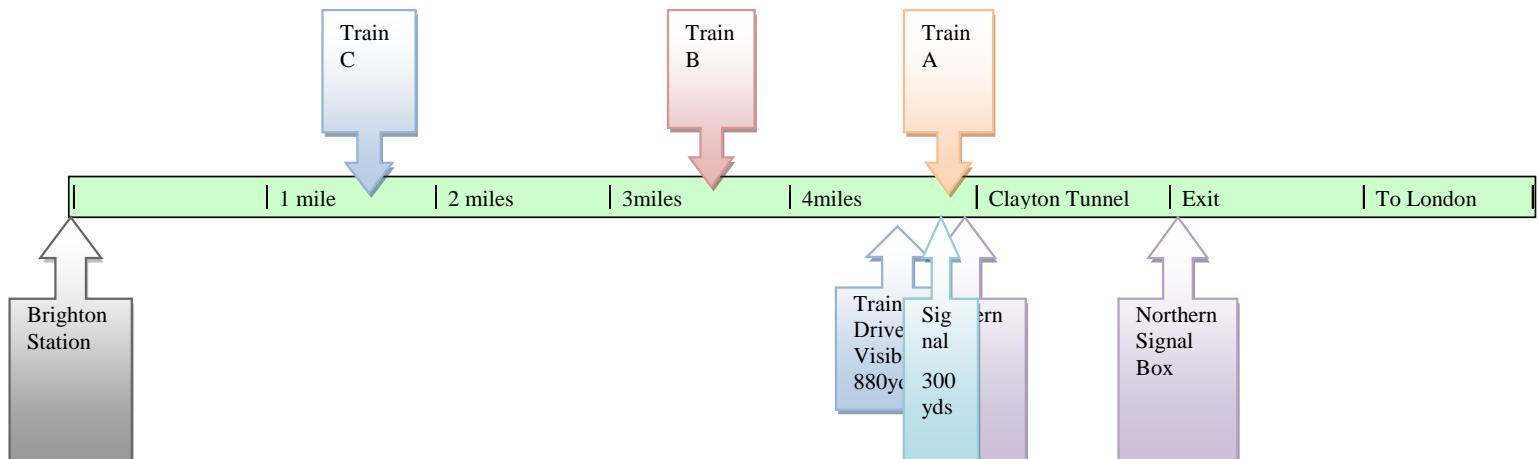
| Normal Cycle "As imagined" | Deviation "As imagined" | Deviation with Signalman Function "As is" | Deviation with both signalman and Train Driver Function "As is" |
|--|---|--|--|
| Trains Dispatched A. 8:05, B. 8:15 and C. 8:30 | Trains Dispatched A. 8.28 (+23minutes), B. 8:31 (+16 minutes), C. 8:35 (+ 5 minutes) | Trains Dispatched A. 8.28 (+ 23minutes), B. 8:31 (+16 minutes), C. 8:35 (+ 5 minutes) | Trains Dispatched A. 8.28 (+23minutes), B. 8:31 (+16 minutes), C. 8:35 (+ 5 minutes) |
| Semaphore at STOP | Semaphore at STOP | Semaphore at STOP | Semaphore at STOP |
| Train A approaches (T Minutes) | Train A approaches (T Minutes) | Train A approaches (T Minutes) | Train A approaches (T Minutes) |
| Signalman A telegraphs "is Train out?" | Signalman A telegraphs "is Train out?" | Signalman A telegraphs "is Train out?" | Signalman A telegraphs "is Train out?" |
| Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" | Signalman B telegraphs "Train out" |
| Signalman A sets Semaphore at GO | Signalman A sets Semaphore at GO | Signalman A sets Semaphore at GO | Signalman A sets Semaphore at GO |
| Train Passes Semaphore at GO | Train Passes Semaphore at GO | Train Passes Semaphore at GO | Train Passes Semaphore at GO |
| Train A enters tunnel (T + 1 minute) | Train A enters tunnel (T + 1 minute) | Train A enters tunnel (T + 1 minute) | Train A enters tunnel (T + 1 minute) |
| Semaphore resets to STOP | Semaphore sticks at GO Alarm sounds | Semaphore sticks at GO Alarm Sounds | Semaphore sticks at GO Alarm Sounds |
| Train B approaches (T+15 minutes). Signalman A Telegraphs "Is Train in" Signalman A telegraphs "Train out?" | Train B approaches (T+ 3minutes) Signalman A flags STOP Signalman A telegraphs "Train out?" | Train B approaches (T + 3 minutes) Train B passes Semaphore at "GO" Signalman A prepares to use Flags Train B enters Tunnel (T + 3.5 minutes) | Train B approaches (T + 3 minutes) Train B passes Semaphore at "GO" Signalman A prepares to use Flags Train B enters Tunnel (T + 3.5 minutes) |
| Train A exits the Tunnel (T + 4 minutes) | Train A exits the Tunnel (T + 4 minutes) | Train A exits the Tunnel (T + 4 minutes) | Train A exits the Tunnel (T + 4 minutes) |
| Signalman B telegraphs "Train out" (T + 4minutes) | Signalman B telegraphs "Train out" (T + 4minutes) | Signalman B telegraphs "Train out" (T + 4minutes) | Signalman B telegraphs "Train out" (T + 4minutes) |
| Signalman A sets Semaphore at GO (T + 4minutes) | Signalman A resets Semaphore at GO or Signalman A flags GO (T + 4minutes) Train B passes Semaphore and Flags at GO | Signalman A Flags STOP (T + 4 minutes) | Signalman A Flags STOP (T + 4minutes) |
| Train B enters Tunnel (T + 16 minutes) Semaphore resets to STOP Signalman A telegraphs "Is Train out?" Train B exits Tunnel (T + 20 minutes) Signalman B telegraphs "Train out" | Train B enters Tunnel (T + 16 minutes) Signalman A telegraphs "Is Train out?" Train B exits Tunnel (T + 20 minutes) Signalman B telegraphs "Train out" | Signalman A telegraphs "Is Train out?" (T + 5minutes) Train B exits Tunnel (T + 7minutes) Signalman B telegraphs "Train out" | Train B stops in Tunnel (T + 5 minutes) Train B reverses (T + 6 minutes) |
| Train C approaches (T + 30 minutes) Signalman A sets Semaphore at GO Train C enters Tunnel (T + 30 minutes) | Train C approaches (T + 30 minutes) Signalman A flags GO (T + 30minutes) Train C enters Tunnel (T + 30 minutes) | Train C approaches (T + 7 minutes) Signalman A flags GO (T + 7 minutes) Train C enters Tunnel (T + 7 minutes) | Train C approaches (T + 7 minutes) Signalman A Flags GO (T + 7 minutes) Train C enters Tunnel (T + 7 minutes) |
| Train C exits Tunnel (T + 34minutes) | Train C exits Tunnel (T + 34minutes) | Train C exits Tunnel (T + 11 minutes) | Trains C and B collide (T + 8 minutes) |

Or in ATM Parlance?

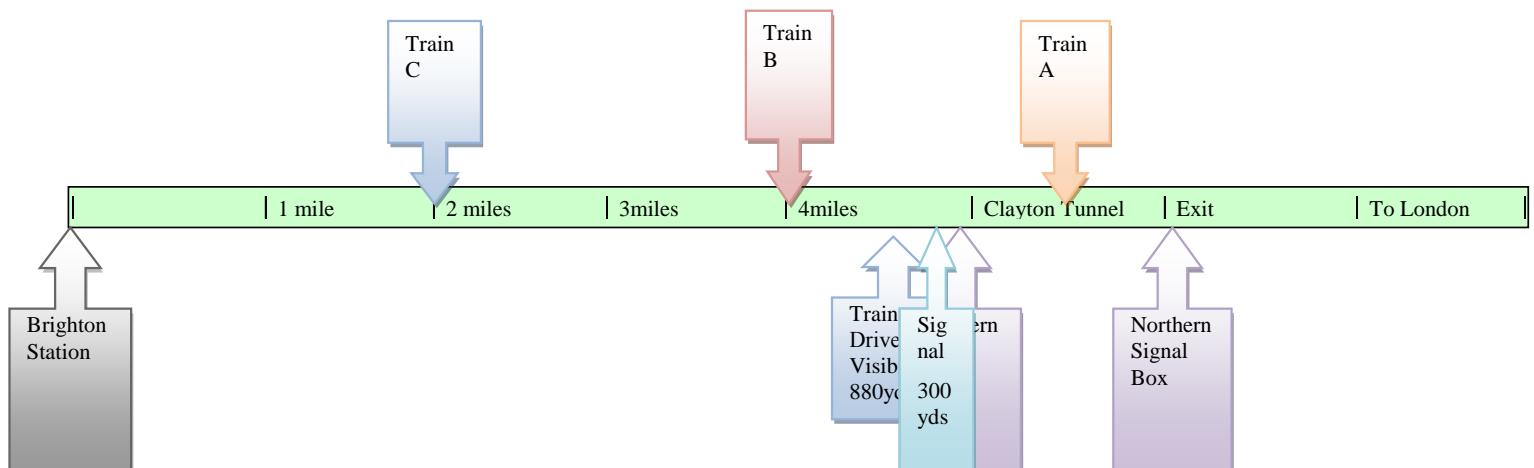
Separation Distances at 8:28



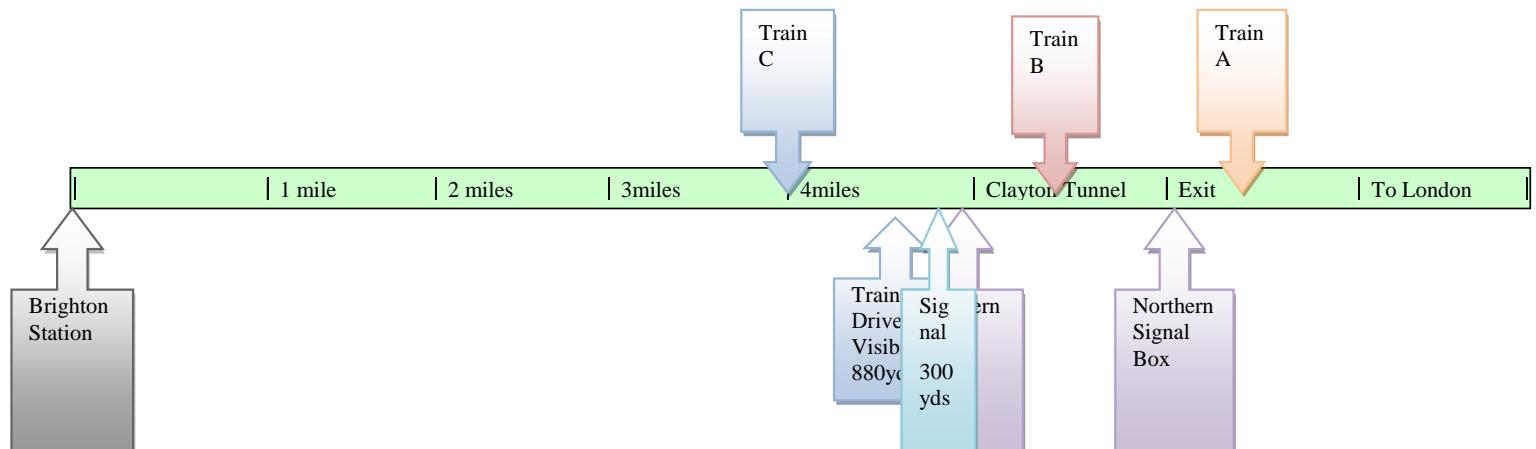
Separation Distances at 8:38



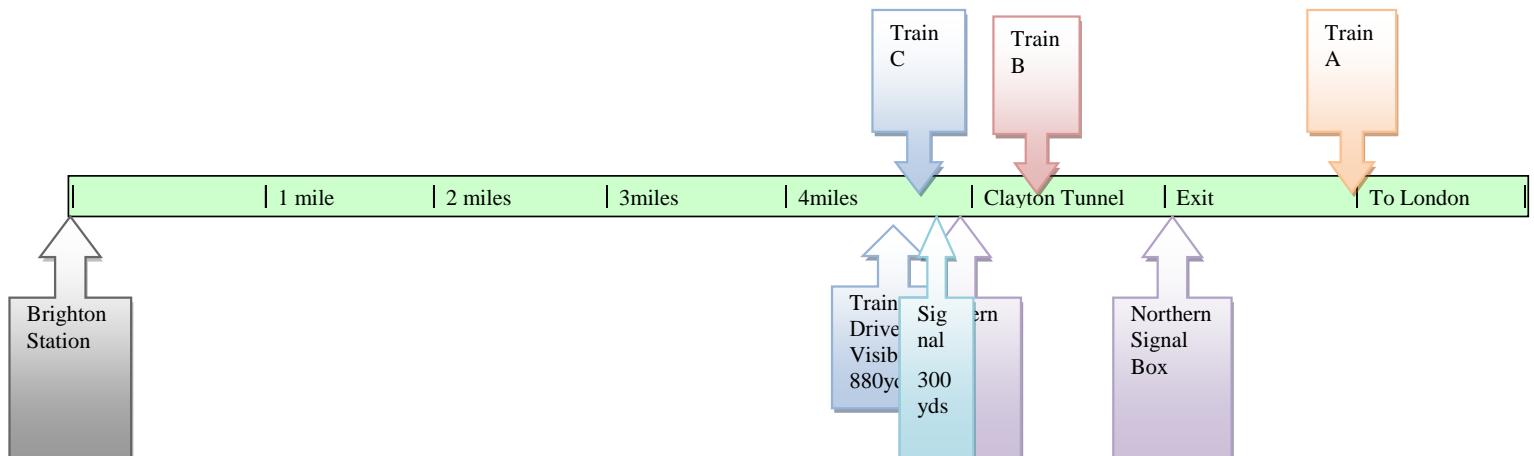
Separation Distances at 8:40



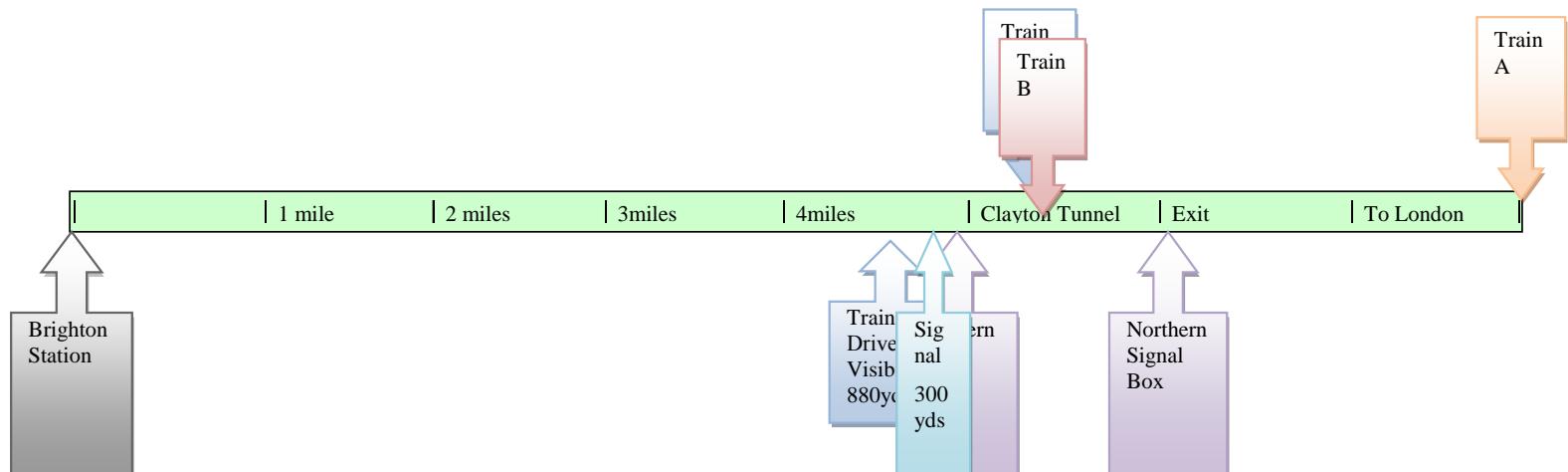
Separation Distances at 8:44



Separation Distances at 8:45



Separation Distances at 8:46



WHY?

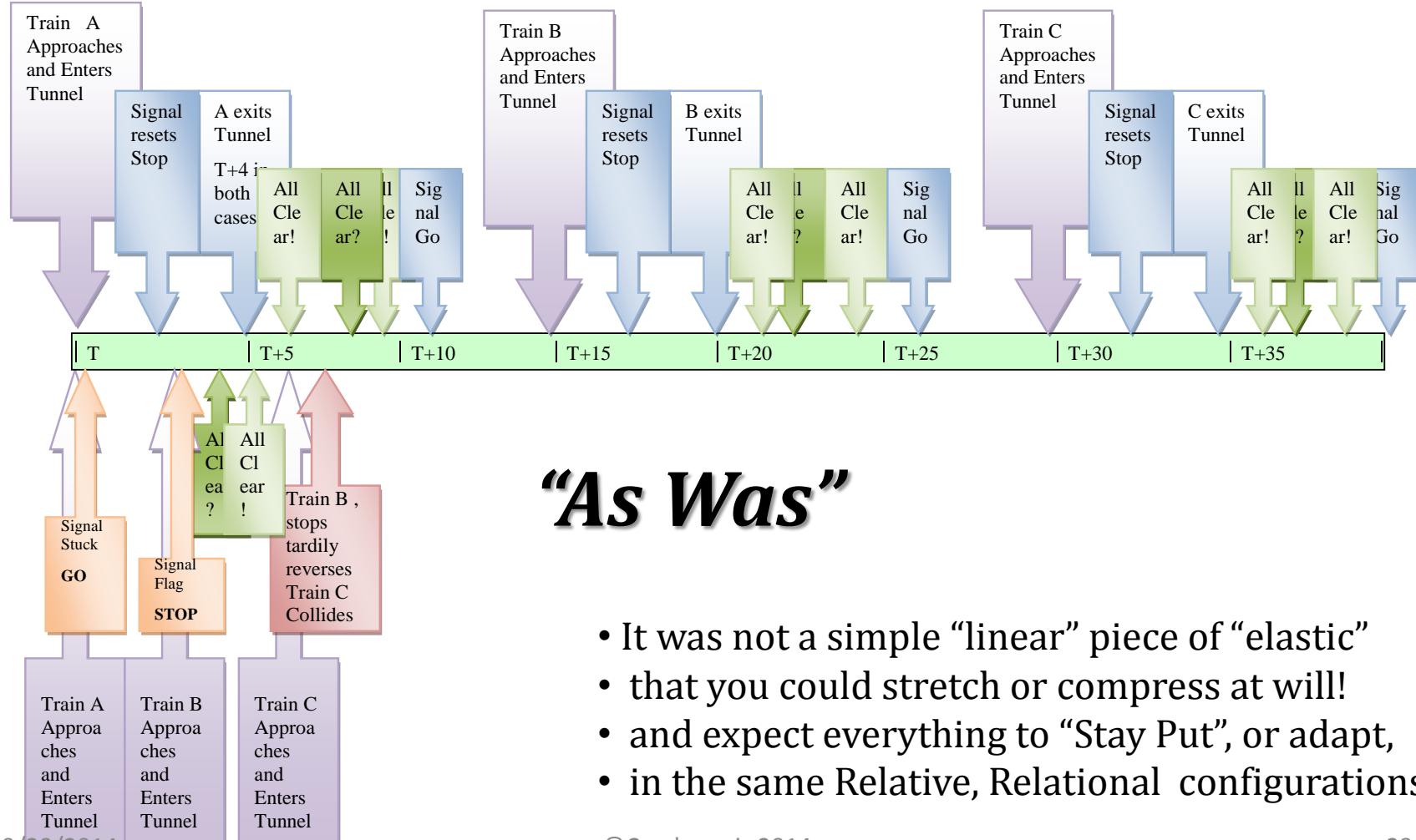
- Trains dispatched too closely (TIME, PROCEDURES, TRAINING))
- Driver B enters tunnel because he sees Flag too late. (TIME)
- Driver B stops in Tunnel unsure of situation. (PROCEDURES, COMPLEXITY)
- Signalman B sees “wrong” Train leave the tunnel (TIME, COMPLEXITY, COMMUNICATION)
- Driver B reverses to clarify red flag (PROCEDURES, TRAINING)
- Signalman A white flags Driver C through (COMMUNICATION)
- Collision (“RESONANCE” (or negative synergy/ exponential error?-) TIME/PROCEDURES, COMMUNICATION)

“As Imagined”

Clayton Tunnel

Incident Timeline

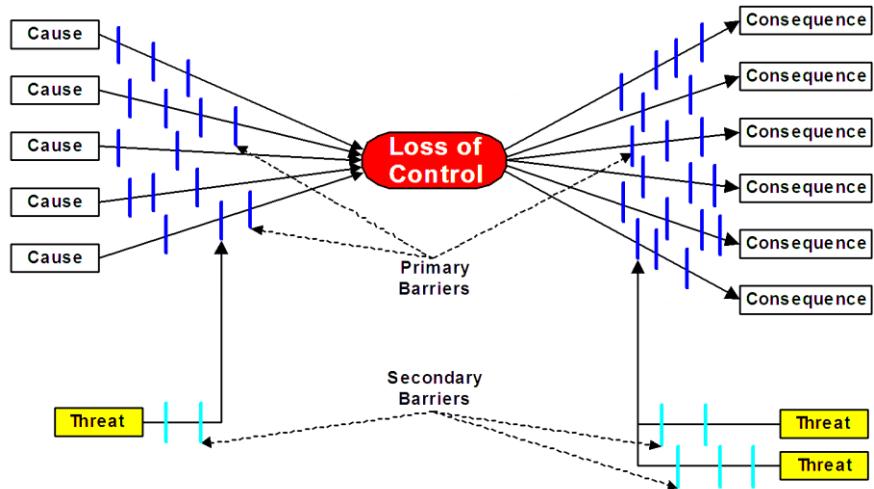
- An orderly “sequence” of actions, with “fail safe” handovers?



How do you pick this up?

Current Methods?

- FMEA?
- Full PRA?
- Bow Ties and Barriers?
- LOPA, SIL?
- HAZOP?

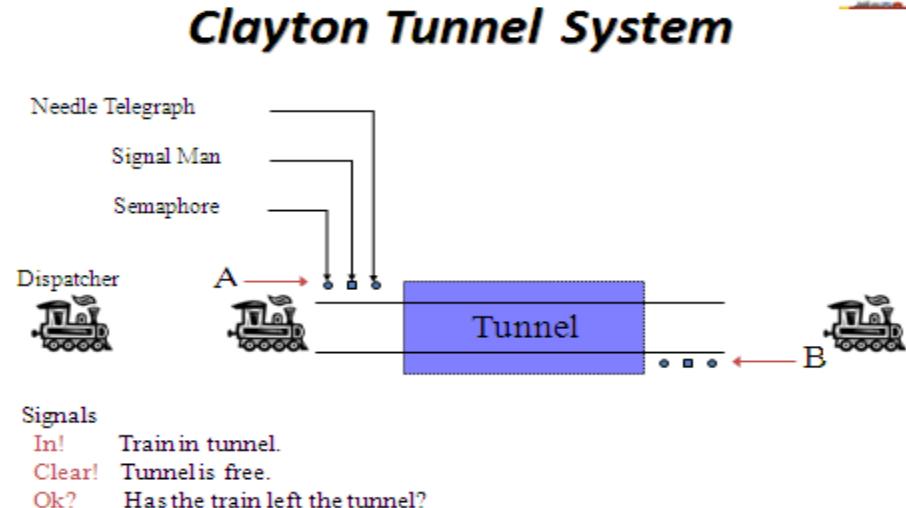


- All systematic, deterministic, component by component, in sequence, independent, As designed, “Linear” approaches.
- Quantitative answers misleading?

Clayton System Integrity Level (Calculated?)



- Using a Layers of Protection Analysis (LOPA) approach, this gives us a perfectly acceptable System Integrity Level (SIL) which we can rely on to document the reliability of our design?
- Signal probability of Failure on Demand - (PFD) say 1×10^{-4} ?
- Signalman PFD – say 1×10^{-2} ?
- Telegraph PFD – say 1×10^{-4} ?
- SIL = 1×10^{-10} ? Safe as Houses!



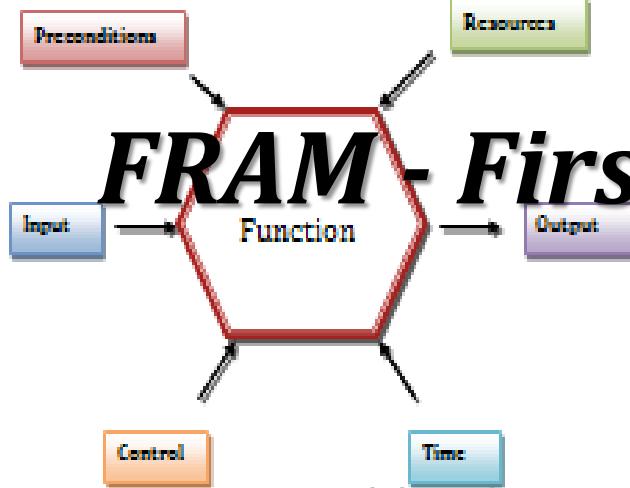
But it Happened – unlucky?

How should we pick this up?

- We need a non- linear, “system wide”, approach that can predict the often unexpected interactive effects of real life VARIABILITY.
- To pick up unintended RESONANCE between contributing (critical) functions in a system and link their DEPENDENCIES
- To “measure” the (relative) effectiveness of designed RESILIENCE and safeguards.
- A FRAM/BBN approach?

Because Interacting Functions can Vary and Resonate!

- As Hollnagel pointed out, simple linear deterministic models cannot cope with the actual tightly coupled and intractable functions in real systems.
- There is a need for inherently probabilistic models, which can capture not only **average** situations, but
- Also those which occur with very low frequency, but often then lead to disasters.
- Systems increase in complexity, increasingly dynamic.
- There is also a time dependence, (e.g. in the degradation or ageing processes of technological, human and management sub-systems).
- **Safety II and FRAM** are thus a necessary step in the evolution of Risk Methods to tackle **real systems in real applications**.
- **(RESILIENCE - its going to happen - deal with it?)**

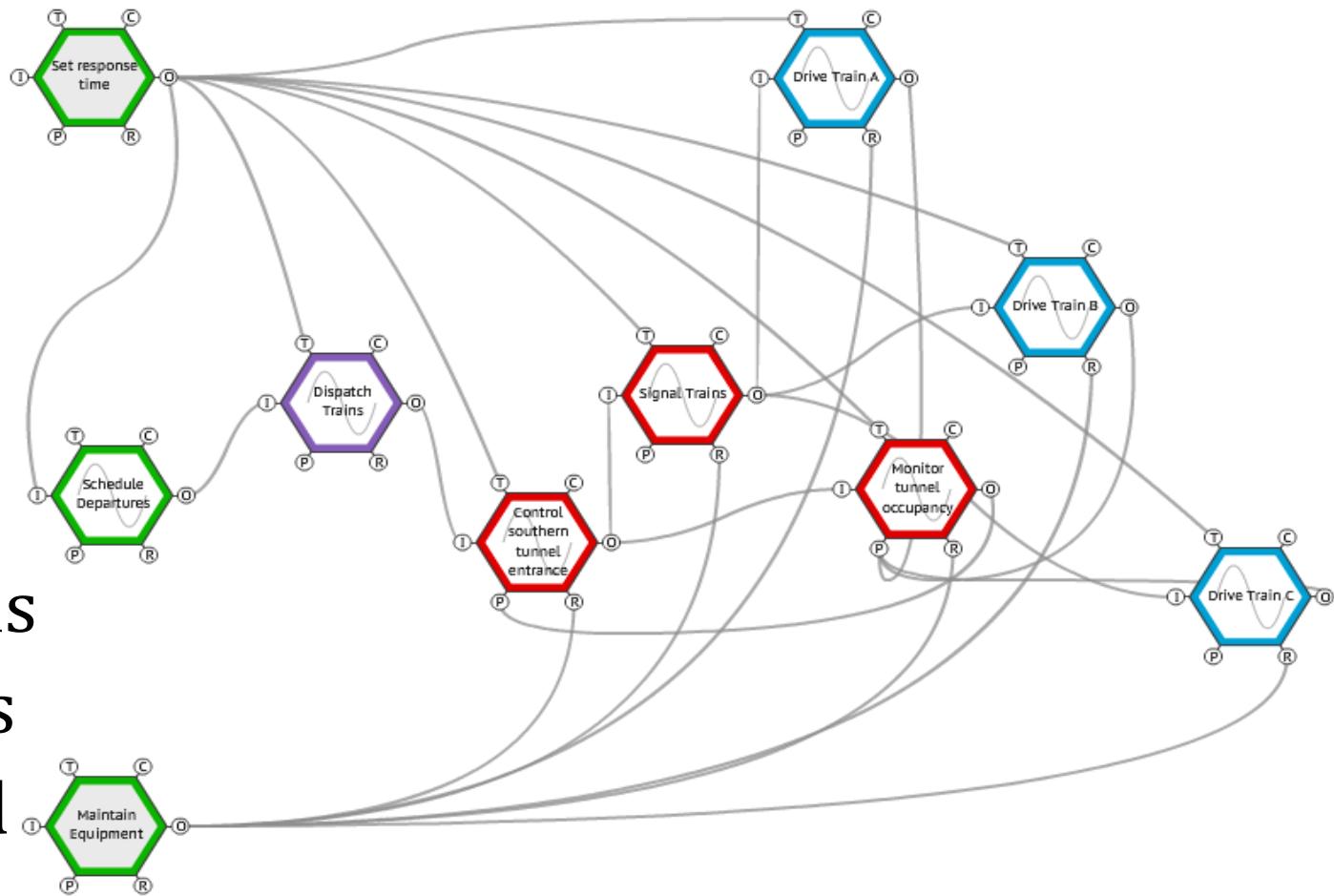


FRAM - First Define your Functions

- **Input (I):** that which the function processes or transforms or that which starts the function,
- **Output (O):** that which is the result of the function, either an entity or a state change,
- **Preconditions (P):** conditions that must be exist before a function can be executed,
- **Resources (R):** that, which the function needs or consumes to produce the output,
- **Time (T):** temporal constraints affecting the function (with regard to starting time, finishing time, or duration), and
- **Control (C):** how the function is monitored or controlled.

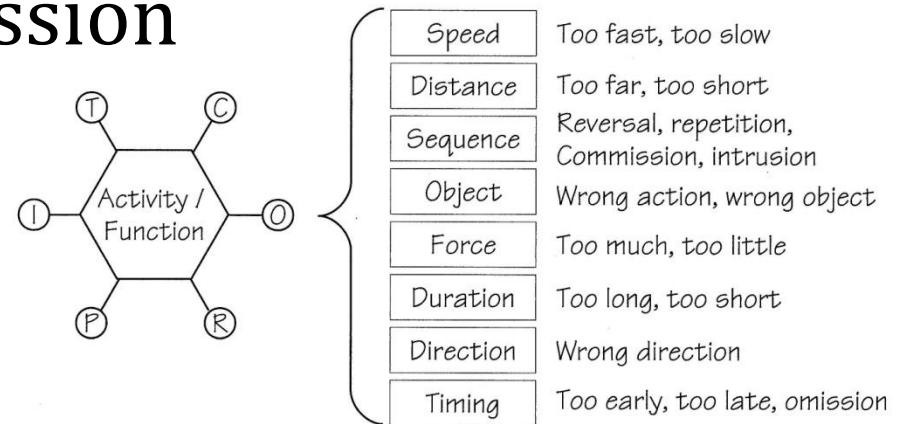
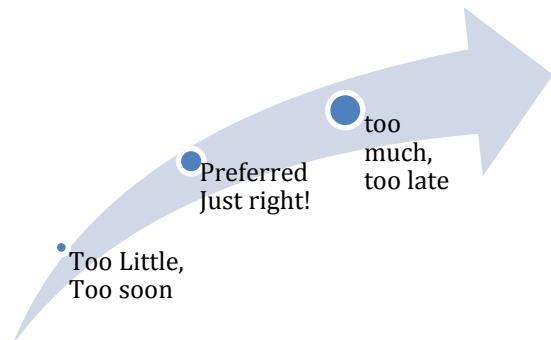
E.g. Functions needed for Clayton Tunnel

- Dispatch Trains
- Control Tunnel Entrance
- Monitor Tunnel Exit
- Signal Trains
- Drive Trains
- Background Functions



Then Vary the Interaction Aspects?

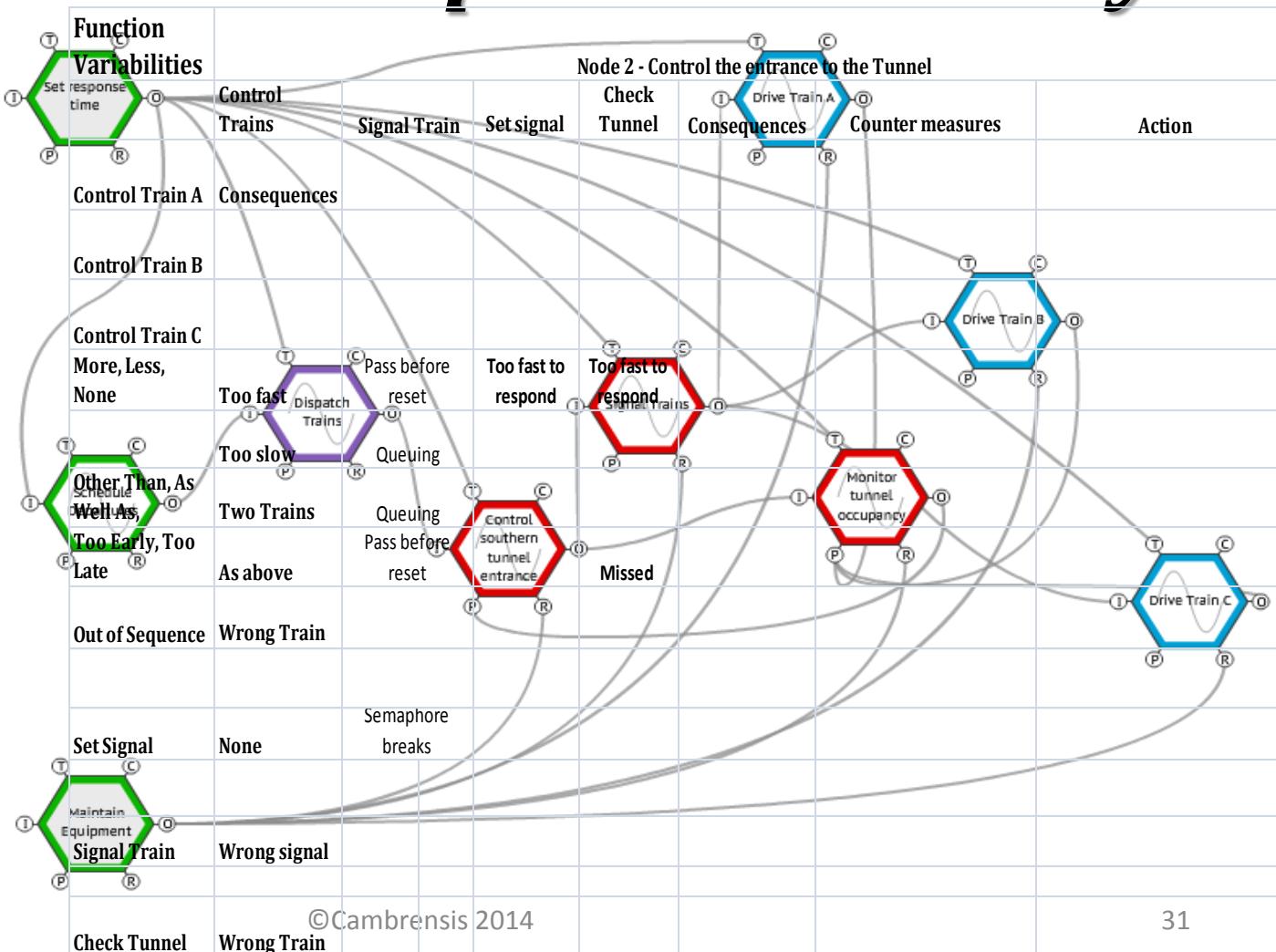
- How?
- Luck of the draw, roll the dice?
- By inspection, Discussion



- Or use HAZOP type guidewords?

Use the HAZOP Guidewords to explore Variability?

- Too Much?
- Too Little?
- Too Early?
- Too Late?



FRAM Model Visualiser

Open Save PDF Report

Function

Name Control southern tunnel entrance

Description Operate smephore or back up signals

Function Type Human

Aspect Description of aspect

Input Train dispatched

Output Set correct signal
Is train out?

Precondition Train is out

Resource Working equipment

Control

Time Sufficient time

Function Colour white

Model Rendering Traditional

Show Aspect Labels Show Variability

PNG Image zoom 100% zoom 1.0

Visualiser - Clayton 3.1

Possible source of variability

| Internal | Likelihood |
|--|--------------------------------------|
| Very many, physiological and psychological | High frequency, large amplitude |
| External | Very many, social and organisational |

Potential Output variability with regard to time

| Actual | |
|------------|--------------------------------------|
| Too early | Possible (snap answer, serendipity) |
| On time | Possible, should be typical |
| Too late | Possible, more likely than too early |
| Not at all | Possible, to a lesser degree |

Potential Output variability with regard to precision

| Actual | |
|------------|------------------------|
| Precise | Possible, but unlikely |
| Acceptable | Typical |
| Imprecise | Possible, likely |

Diagram illustrating the FRAM model for 'Control southern tunnel entrance'. The model shows a sequence of events and their dependencies. Key nodes include 'Set response time', 'Schedule Departures', 'Dispatch Trains', 'Control southern tunnel entrance', 'Drive Train A', 'Drive Train B', 'Drive Train C', 'Monitor turn signal to driver occupancy', and 'Maintain Equipment'. Transitions are labeled with conditions like 'Sufficient time', 'Signal to Driver', 'Is train out?', 'Working equipment', and 'Time for departure'. The 'Control southern tunnel entrance' node is highlighted in blue, indicating it is the current focus of the visualiser.

Or use the FMV*

- Here we can set estimated variabilities using the preset options,
- or set “Actual” to test What if.
- E.g. Best guess if signalman is “On Time”

9/29/2014

©Cambrensis 2014

32

FRAM Model Visualiser

Open Save PDF Report

Function < > Delete +

| | |
|--------------------|---|
| Name | Control southern tunnel entrance |
| Description | Operate semaphore or back up signals |
| Function Type | Human Less << |
| Aspect | Description of aspect |
| Input | Train dispatched Delete |
| Output | Set correct signal Delete Is train out? Delete |
| Precondition | Train is out Delete |
| Resource | Working equipment Delete |
| Control | + |
| Time | Sufficient time Delete |
| Function Colour | white |
| Model Rendering | Traditional More |
| Show Aspect Labels | <input checked="" type="checkbox"/> Show Variability More |

PNG Image zoom 100% zoom 1.0

Visualiser - Clayton 3.1

| Possible source of variability | | Likelihood |
|--------------------------------|--|---------------------------------|
| Internal | Very many, physiological and psychological | High frequency, large amplitude |
| External | Very many, social and organisational | High frequency, large amplitude |

| Potential Output variability with regard to time | | Actual |
|--|--------------------------------------|---|
| <input type="radio"/> Too early | Possible (snap answer, serendipity) | Low Medium High Very High |
| <input checked="" type="radio"/> On time | Possible, should be typical | Low Medium High Very High |
| <input type="radio"/> Too late | Possible, more likely than too early | Low Medium High Very High |
| <input type="radio"/> Not at all | Possible, to a lesser degree | Low Medium High Very High |

| Potential Output variability with regard to precision | | Actual |
|---|------------------------|---|
| <input type="radio"/> Precise | Possible, but unlikely | Low Medium High Very High |
| <input checked="" type="radio"/> Acceptable | Typical | Low Medium High Very High |
| <input type="radio"/> Imprecise | Possible, likely | Low Medium High Very High |

As Imagined?

- And if the signalman is “Too Late”

9/29/2014

©Cambreensis 2014

33

FRAM Model Visualiser

Open Save PDF Report

Function

Name: Control southern tunnel entrance

Description: Operate smephore or back up signals

Function Type: Human

Aspect: Description of aspect

Input: Train dispatched

Output: Set correct signal, Is train out?

Precondition: Train is out

Resource: Working equipment

Control:

Time: Sufficient time

Function Colour: white

Model Rendering: Traditional

Show Aspect Labels: Show Variability

PNG Image zoom 100% zoom 1.0

Visualiser - Clayton 3.1

Possible source of variability

| Internal | Likelihood |
|--|--------------------------------------|
| Very many, physiological and psychological | High frequency, large amplitude |
| External | Very many, social and organisational |

Potential Output variability with regard to time

| Actual | |
|------------|--------------------------------------|
| Too early | Possible (snap answer, serendipity) |
| On time | Possible, should be typical |
| Too late | Possible, more likely than too early |
| Not at all | Possible, to a lesser degree |

Potential Output variability with regard to precision

| Actual | |
|------------|------------------------|
| Precise | Possible, but unlikely |
| Acceptable | Typical |
| Imprecise | Possible, likely |

As Was!

- We are now clearly outside “Acceptable Limits” of operation

Diagram description: The diagram is a FRAM (Function Requirement Analysis Method) model. It shows a central node 'Control southern tunnel entrance' connected to various processes. These processes include 'Set response time', 'Schedule Departures', 'Dispatch Trains', 'Drive Train A', 'Drive Train B', 'Drive Train C', 'Monitor turn/tun occupancy', 'Signal to Driver', 'Set correct signal', and 'Maintain Equipment'. The connections between nodes are labeled with conditions like 'Sufficient time', 'Signal to Driver', 'Is train out?', 'Working equipment', and 'Time for departure'. The nodes are represented as trapezoids with a central box containing a green 'T' (Time), a blue 'C' (Condition), and a red 'R' (Result). The connections are shown as lines with arrows, indicating the flow of information and control between the different parts of the system.

9/29/2014

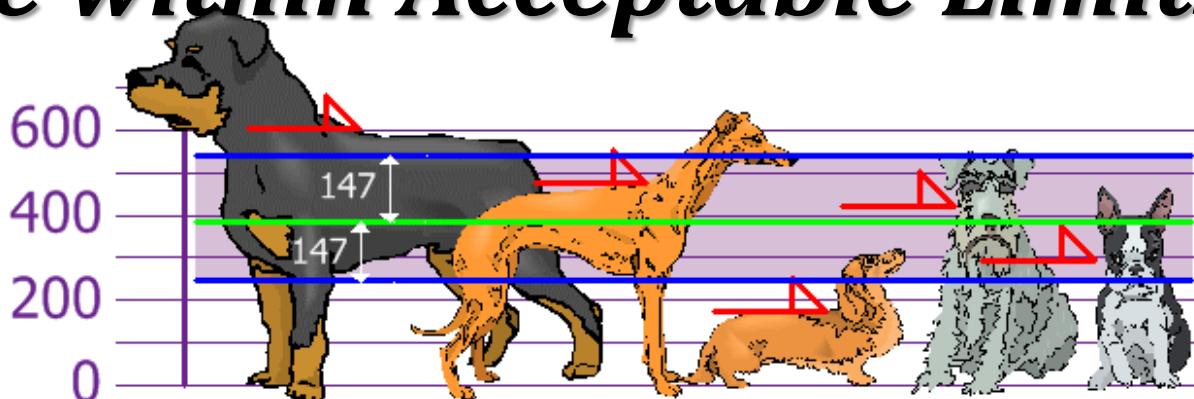
©Cambrensis 2014

34

What were the Acceptable Limits?

- The Timeline shows the “As Imagined” Cycle taking 10 minutes
- This probably allowed for 3 – 5 minutes for the reset cycle.
- The reported time separation at dispatch was 3 minutes, close to the limit
- The “As Was” time available for the response to the signal reset failure was probably of the order of a minute (Half a mile at 30mph)
- Insufficient time for (tired) signalman to respond.
- The FRAM analysis predicts system failure for Signal “Too late”

Then what is the Probability that we are within Acceptable Limits?

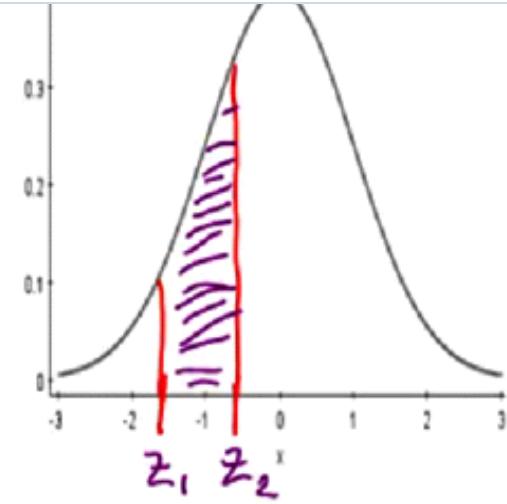


| | |
|------------------|-------------|
| Rand Var X | 500 |
| μ Mean | 420 |
| σ Std Dev | 240 |
| Z | 0.333333333 |

$$Z = \frac{X - \mu}{\sigma}$$

| | |
|-------------|-------------|
| 0.226627352 | 0.226627352 |
|-------------|-------------|

| Acceptable | |
|------------|-------|
| Z1 | -0.75 |
| X1 | 240 |
| Z2 | 0.75 |
| X2 | 600 |
| Mean | 420 |
| Std Dev | 240 |



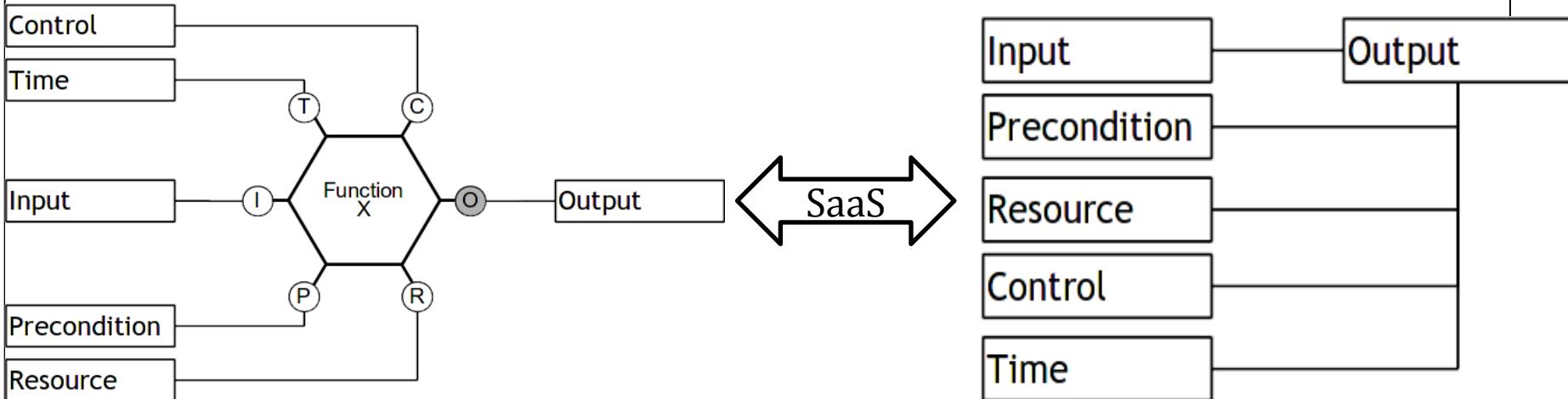
Type; Standard Normal Curve

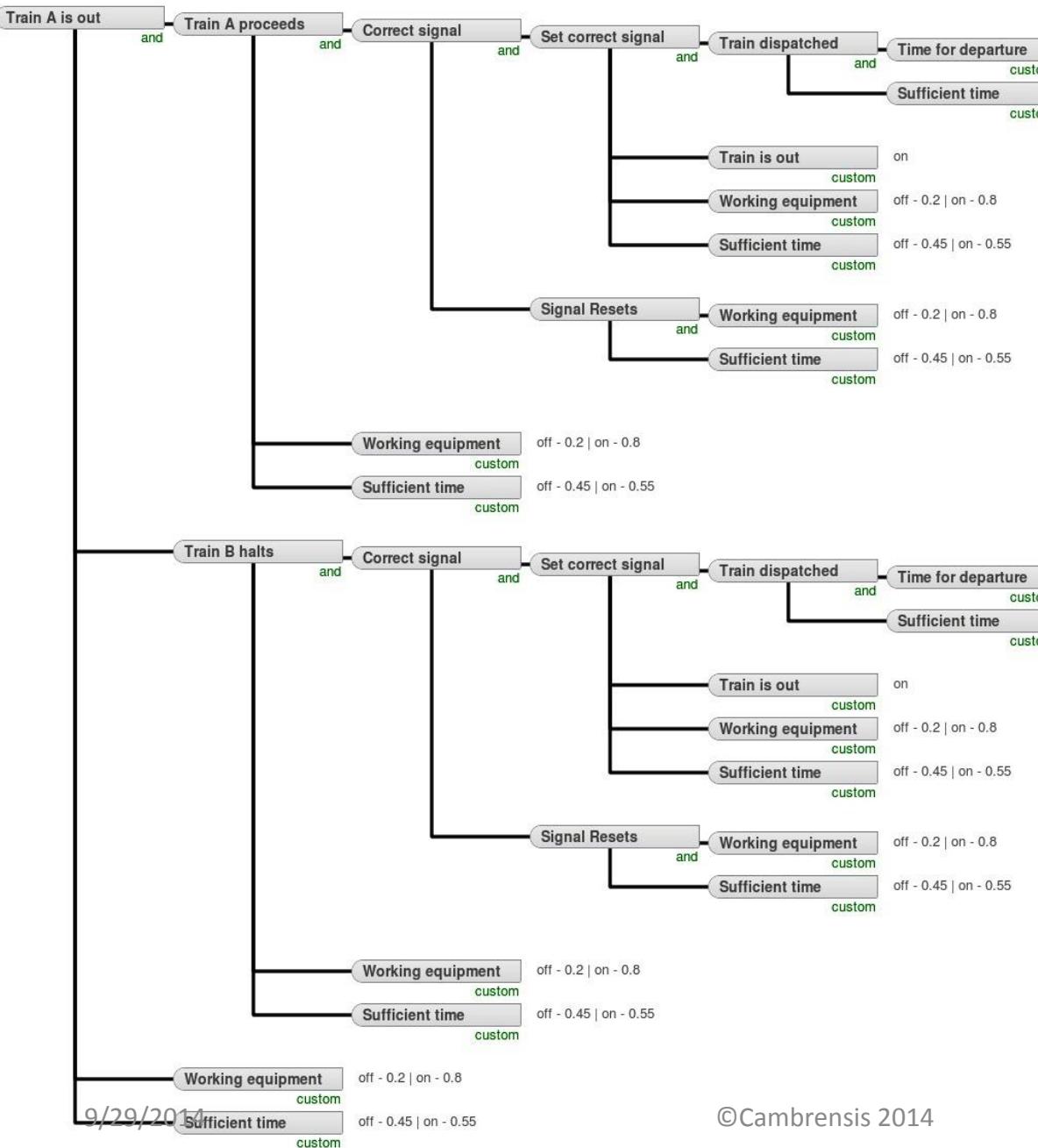
| | |
|---------|--|
| Mean | |
| Std Dev | |

PA 0.546745295

How do we use this probability?

From (FRAM) Function to Bayesian Net (BBN)





Calculate Probabilities?

This version of the FMV now allows me to upload and present this FRAM as a Dependency Model

But interestingly is only asking me for the probabilities of two background Functions

- Speed of response and
- Maintenance effectiveness

Probability of “Acceptability” of Background Outputs

- **Speed of response** - take CREAM* approach from the Swiss Tunnel Risk Study the tunnel operator's response time affects two particular input variables of the model.

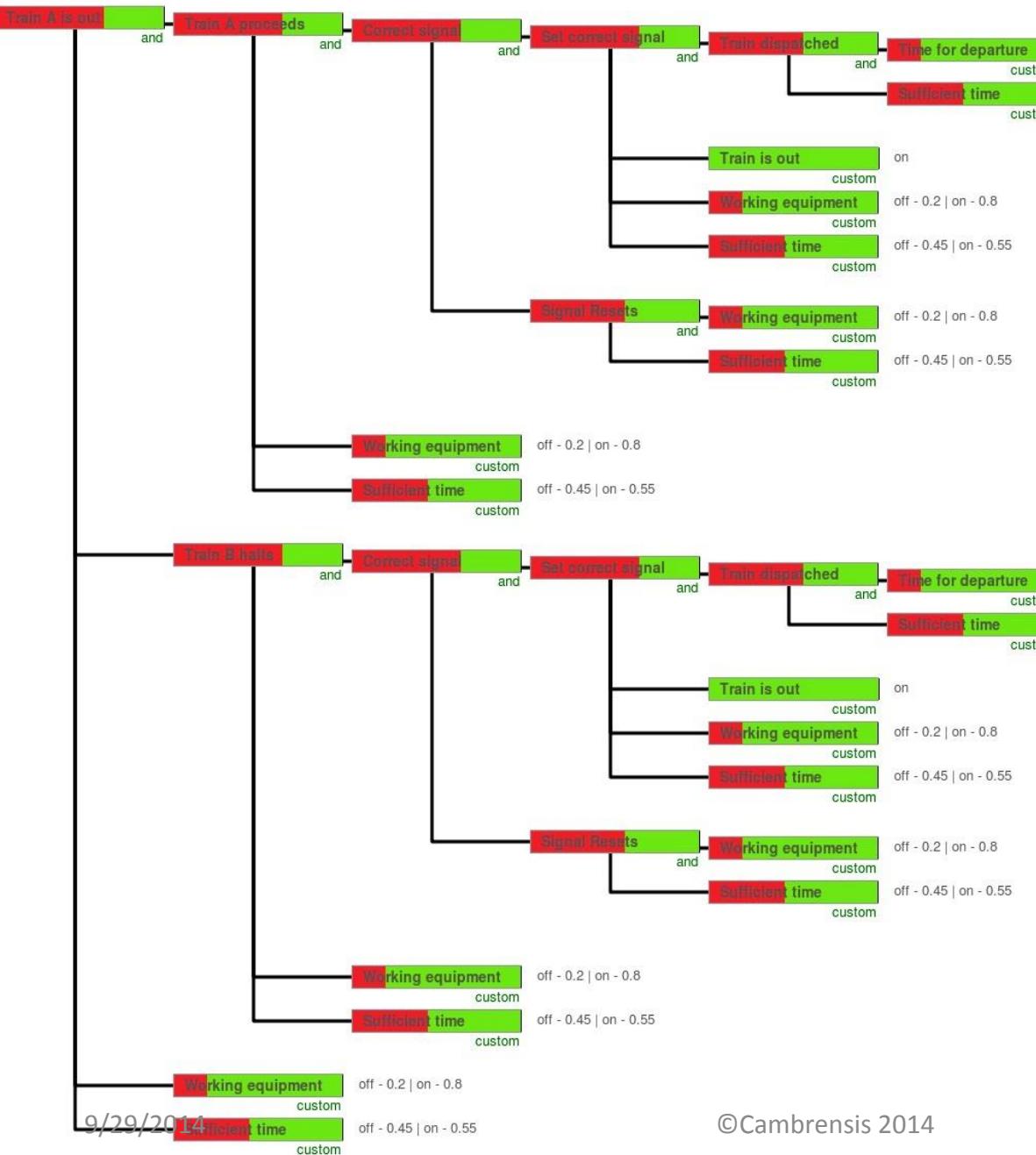
The first one is the

- ‘time taken to activate the emergency ventilation’ and the other one is
- the ‘time delay to stop approaching traffic’.

A tunnel operator with “inappropriate MMI”, “Appropriate Procedures” and “inadequate training” will react in the range of 240s to 600s (expected value 420s – 7 minutes)

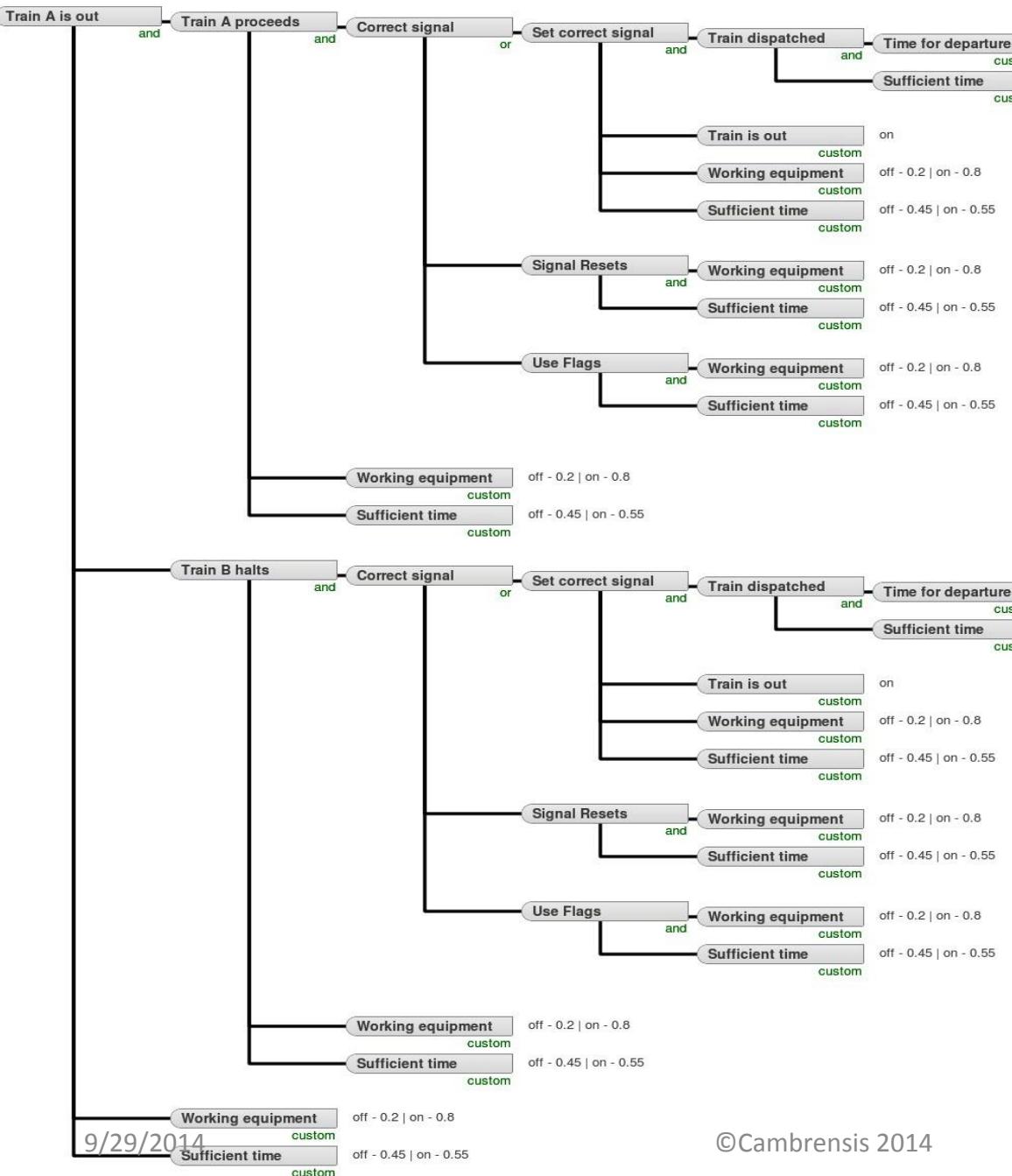
- **Maintenance** - assume 80/20 Pareto?





Output Probabilities?

- This predicts that on those numbers and this model the probability of a successful outcome is less than 30%
- So we need counter measures!

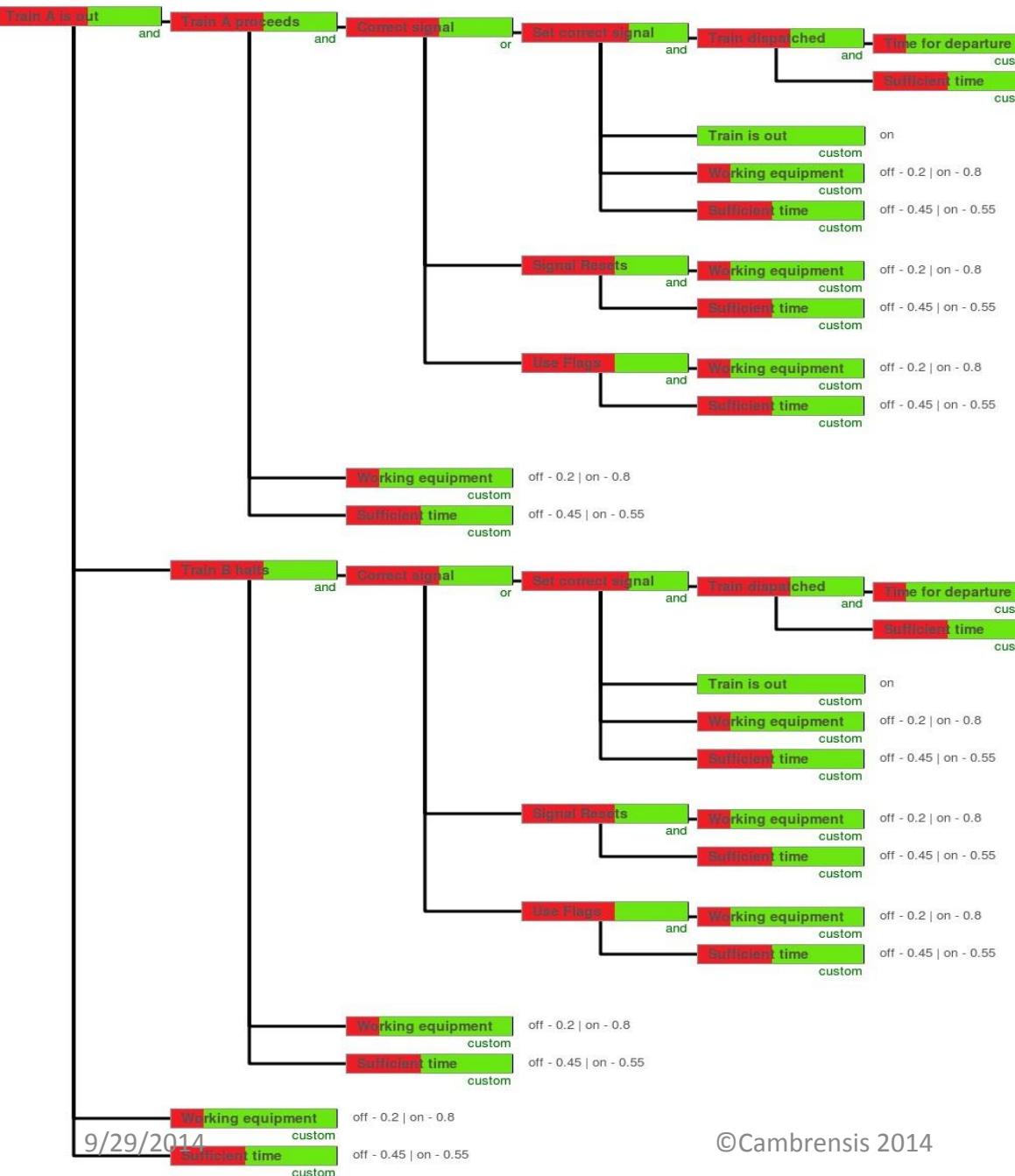


What about the Flags?

The dependency model will now allow us to add the use of flags as an OR dependency

As an alternative to the
Correct signal being set,
or resetting successfully

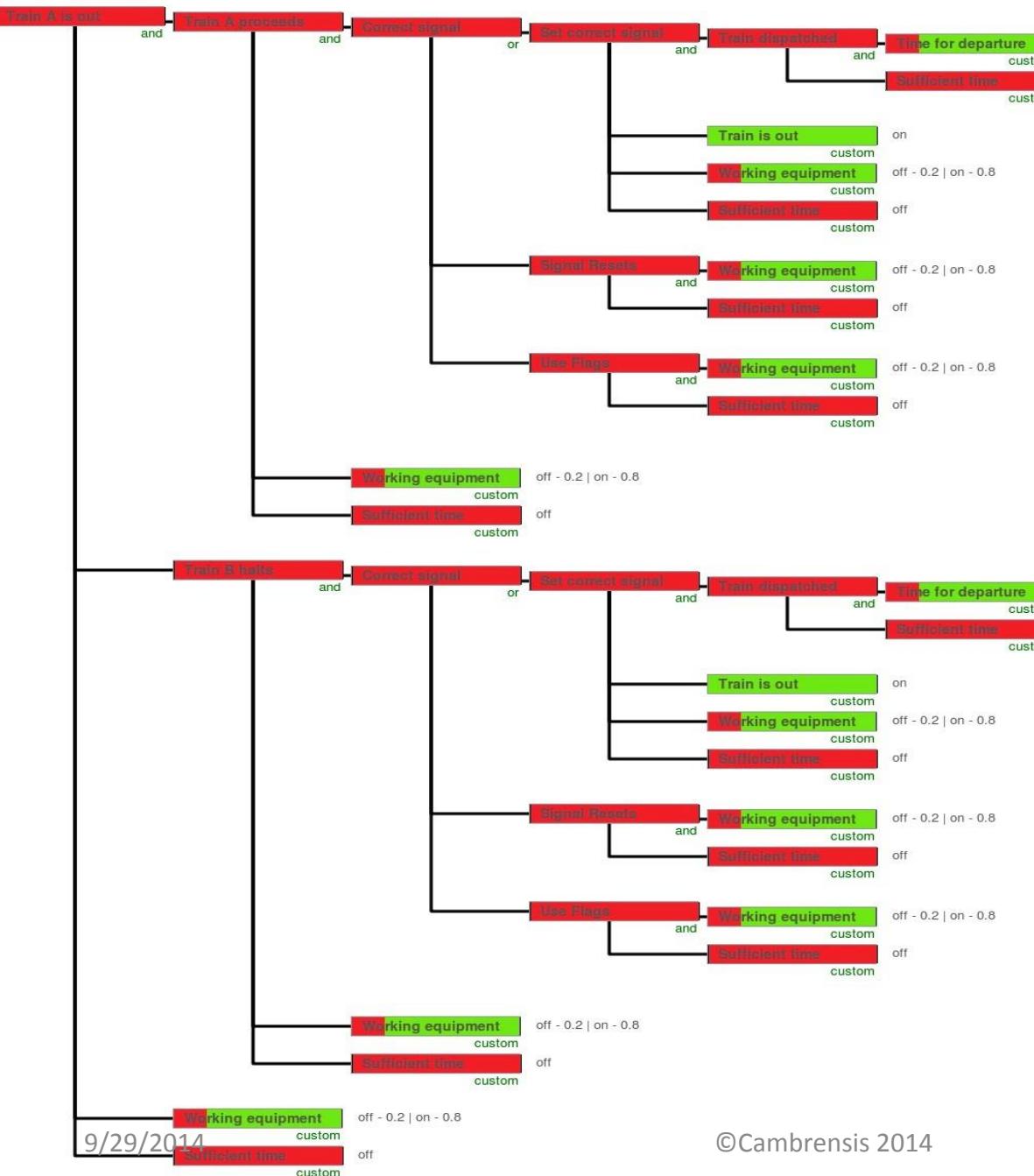
This is a normal way to add countermeasures in this kind of study



Does it Help?

The BBN now predicts the probability of successful Outcomes at some 45%

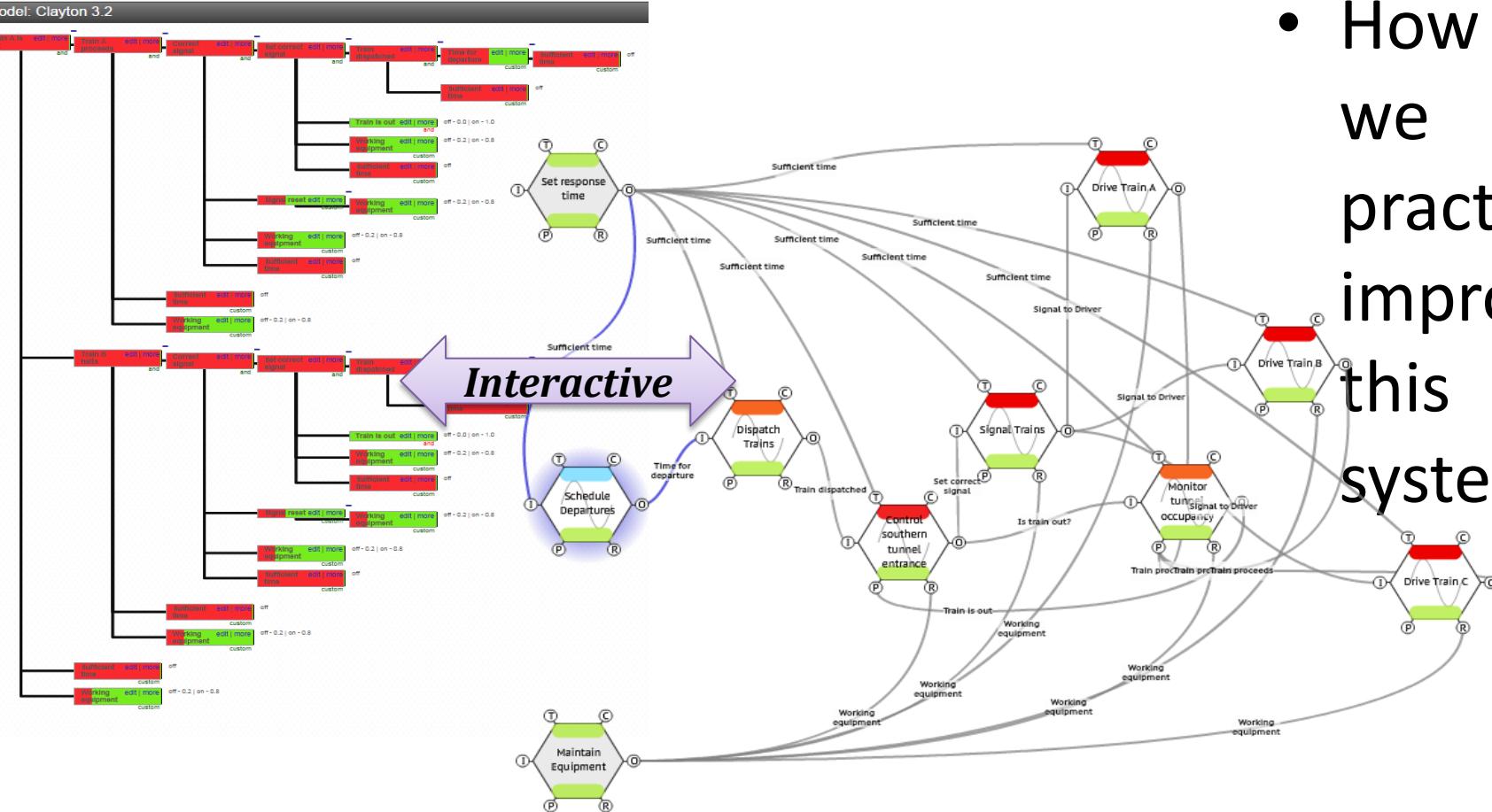
Significant Improvement but still not effective enough?
How much did it cost
What about alternatives, extras, ranking, prioritisation?



So what is the key issue?

- If we tell the model there is just insufficient Time, it all falls apart!
 - It is clear that this is the critical dependency

So Back to the Drawing Board and work on the FRAM Visualisation in FMV



- How can we practically improve this system?

The lessons from this Analysis?

“Root Cause”, (FMEA) “Not enough”- e.g. broken treadle or Signalman reliabilities only part?

- ***Processes/Functions are not independent*** - Complex, sequential Swiss Cheese “Barriers” assume linearity!- e.g. Dispatcher/signal/signalman/ Procedures “Barriers” are not stand alone (BP)
- ***Real Life is much more complicated*** - Complex, Interactive, Emergent – more insight is needed to identify and model systematically, real life , systemic (holistic) behaviours and variabilities. (AS IS?)

So What?

- The whole thing is dominated by the system dynamics,(not enough time to react!)
- This also affected the communications (right signal wrong kind of Train!)
- If the driver had not stopped to think - something's wrong? (Near miss?)
- Root Cause – Human Error?
- Signals Passed At Danger (SPAD's)are a recurring, (current) and not resolved problem on UK Railways and normally attributed to “Driver Error”!
- Very Safety I? Don't think, do!

So What?

- But the Driver was thinking/ responding.
- Maybe wrong, but human.
- Are Humans a liability and a hazard?(SAFETY I)
- Or a resource for system flexibility and resilience? (SAFETY II)
- You cannot control drivers by expecting (dumb) Pavlovian responses (all the time).
- So a better way is perhaps, to use the driver's ability to react by giving him the right information . (the signalman has an alarm?)
- Tell him the signal's failed (Stop, go and “wait a minute something's wrong”?) (or Fail Safe?)
- He's going to do it anyway (Uberlingen?)

Summary

- *We have looked at a simple Victorian Case Study*
- *And concluded it was not so simple!*
- *The fact that People were essential to its effective operation makes it "Complex" by definition!*
- *Thus "simple classical" methodologies might miss the subtleties, such as*
- *"As is" not "as imagined"*
- *The Impact of seemingly independent system interdependencies - the "non linearity"*
- *The Impact of "natural" variabilities - "Resonance"*
- *The crucial importance of "System Dynamics"*
- *Simple approaches can thus give misleading assessments of "System Integrity"*
- *What are the alternatives? Better mousetraps? (to protect the Cheese Slices!)?*
- *This comparative case study suggests -*
- *a SAFETY II, FRAM approach, quantified using integral BBN's*



9/29/2014

Photo courtesy of <http://500px.com/momodem>

Cambreensis 2014

49

In Memory
OF THOSE KILLED
On the L. & B. R., at Clayton Tunnel,
SUNDAY, AUG. 25th, 1861.

Christiana Manthorpe.

| | | |
|-------------------|----------------------|----------------|
| Ellen Lower | John Ingledew | Agnes Parker |
| George Wescott | Edward Charlwood | |
| Catherine Barnard | John Greenfield | Mary Gillett |
| Henry H. Hubbard | William Hubbard | |
| Mary Ann Parker | George Gardner | |
| Maria Edwing | John Wheeler. | John Lockstone |
| Elizabeth Wheeler | David Wheeler | |
| Rebecca Barclay | Mary Parker | Anthony Kean |
| Elizabeth Wright | Jane Elizabeth Biden | |