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ES2 WS3 – 2012 Safety Surveys
Improvement Tools for Safety Surveys

Luxembourg
21-22 Nov 2012

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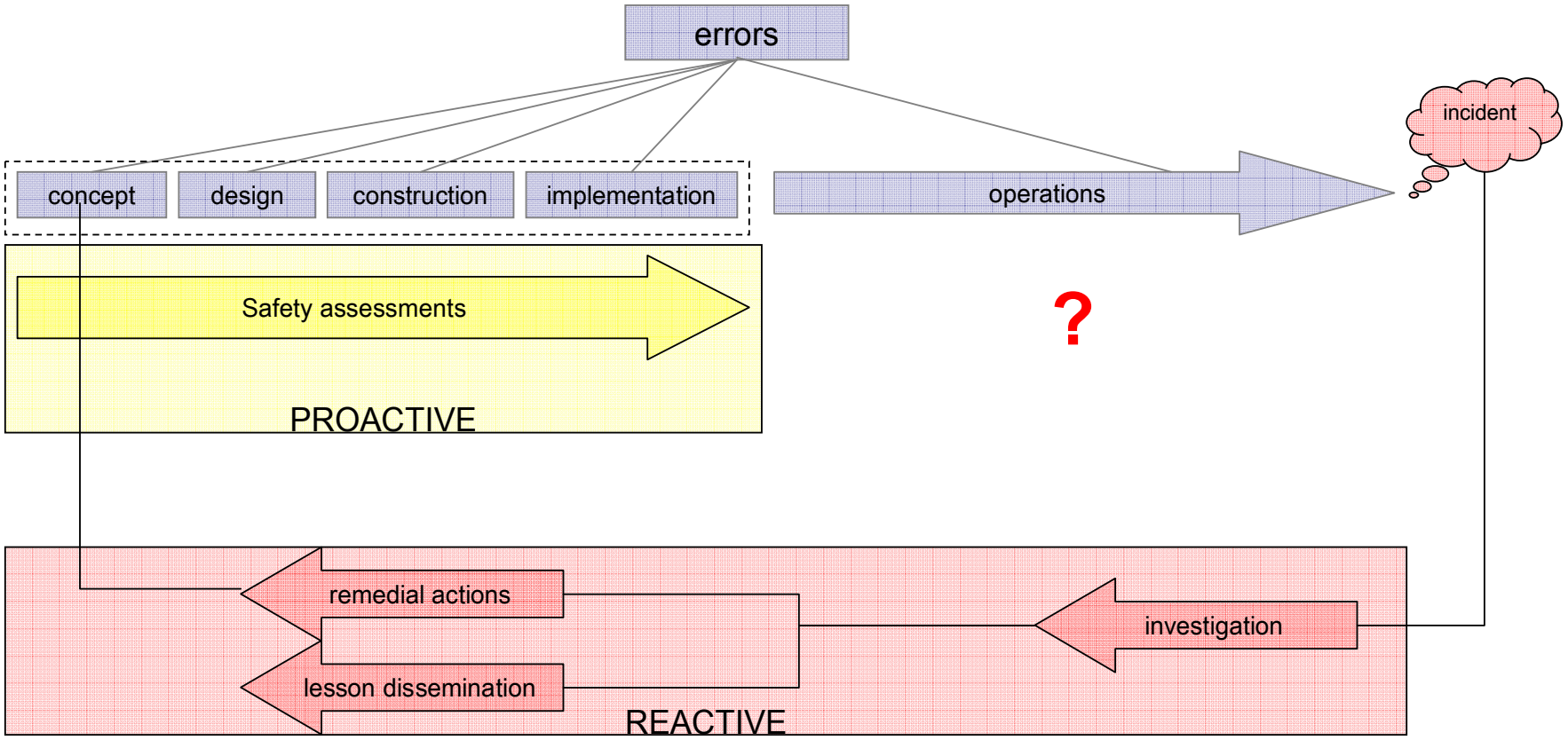
What is Safety Survey



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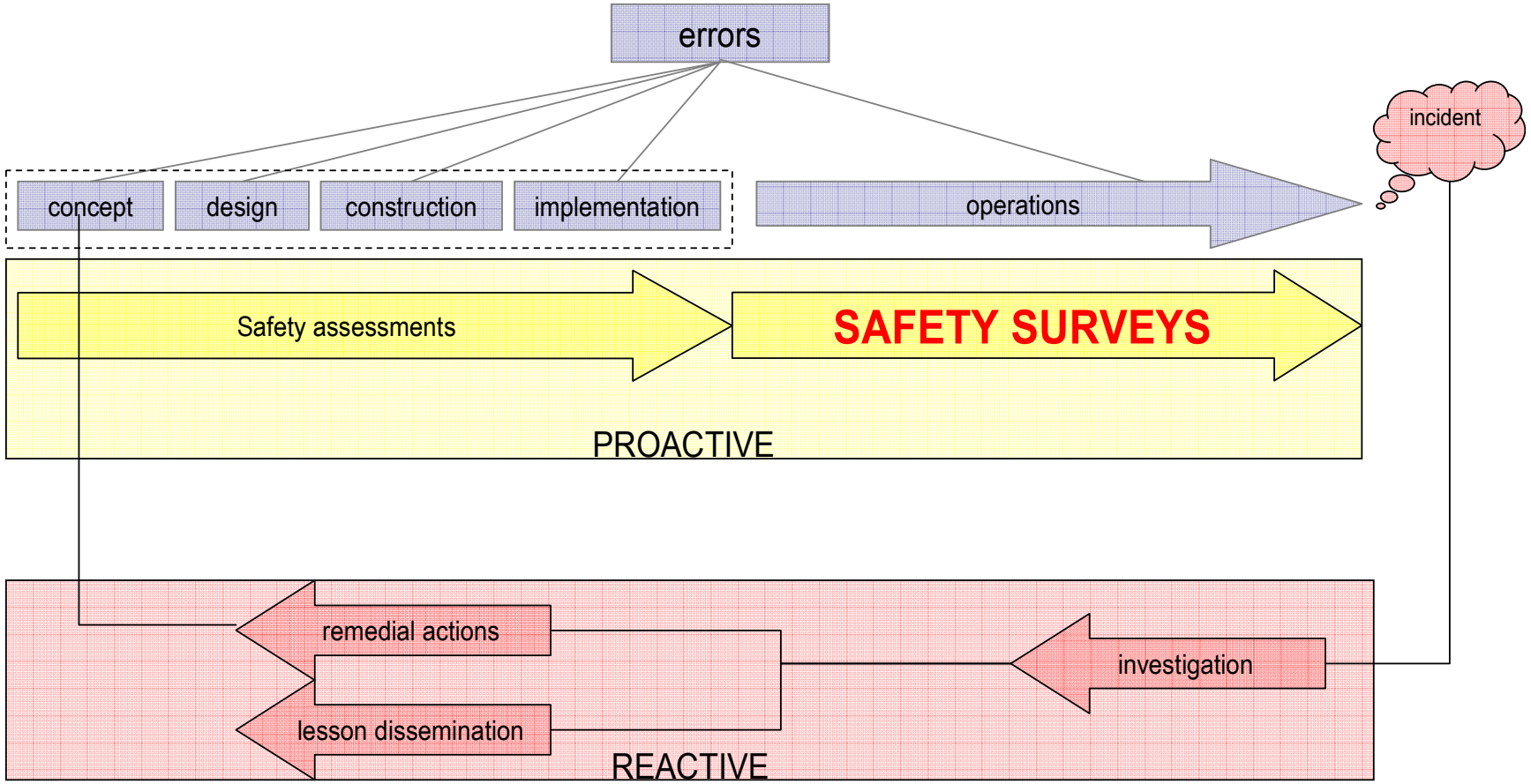
What is Safety Survey



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Surveys defined in contrast to audits (why)

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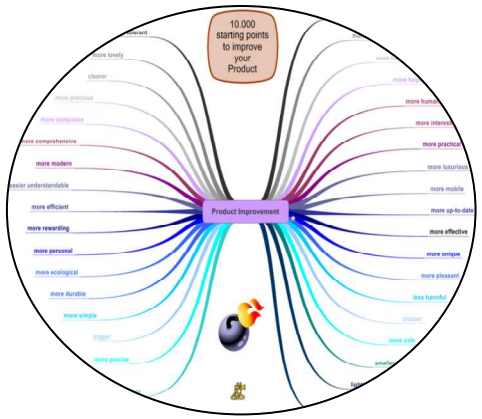
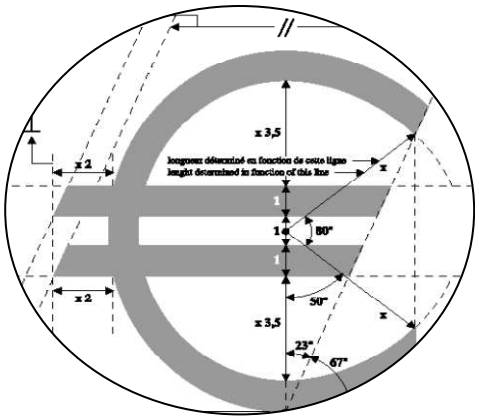
Audit (external & internal)	Survey
Accreditation/certification	Improvement
	Efficiency
	Cost effectiveness ✓





Surveys defined in contrast to audits (requirements)

Audit (external & internal)	Survey
Trained/experienced Auditors	Experts of the field trained to safety survey techniques ✓

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Survey / Audit

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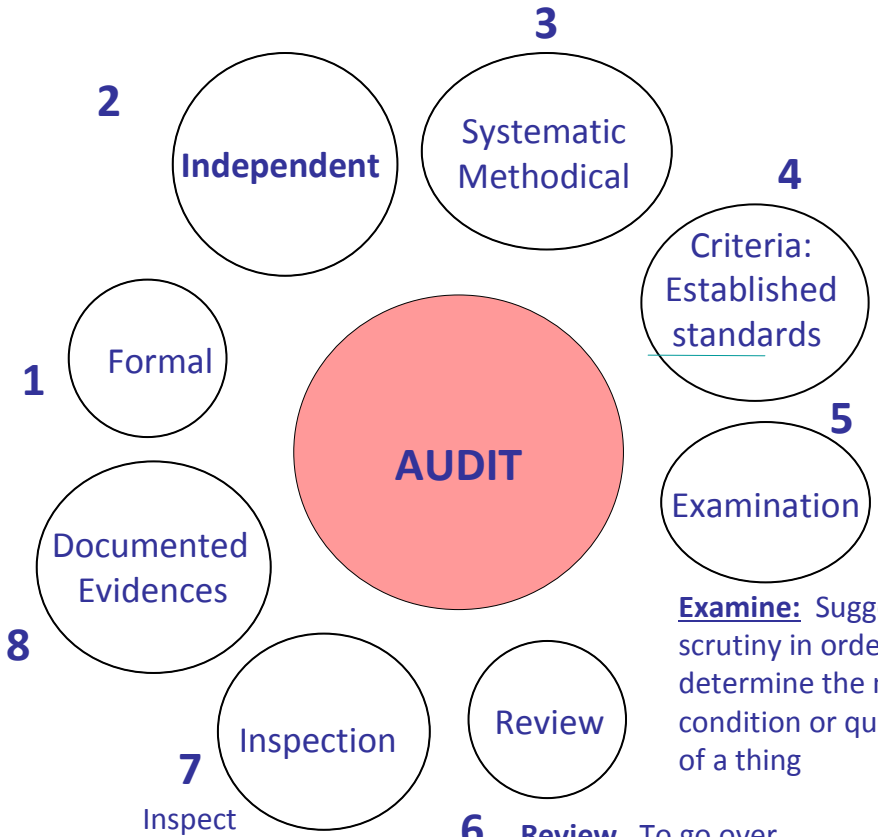
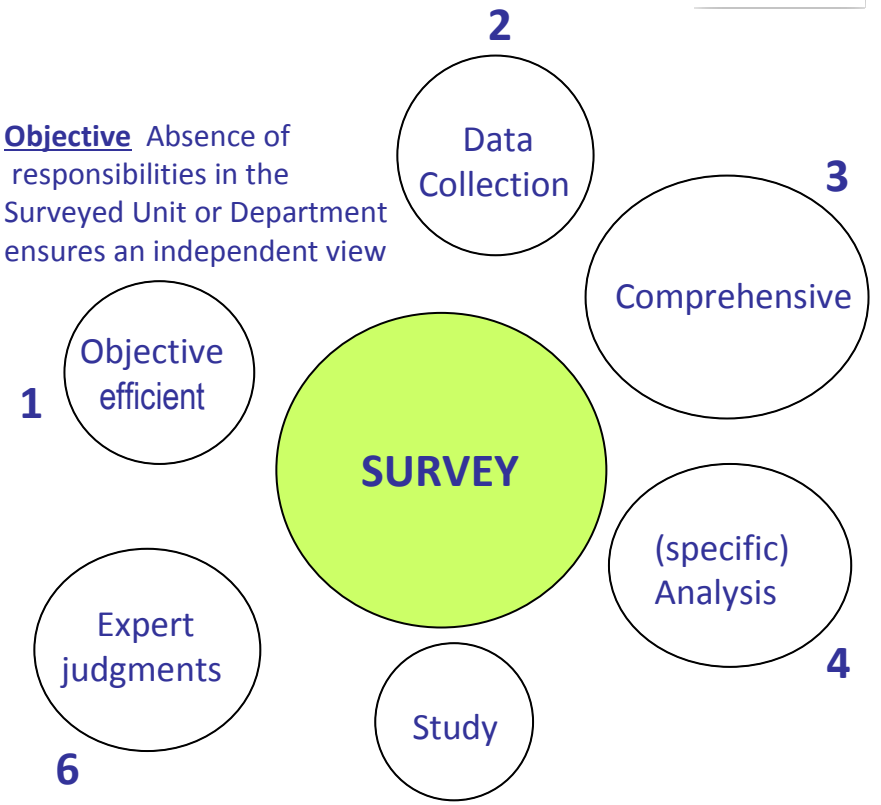


Examine: Suggest scrutiny in order to determine the nature condition or quality of a thing

Review To go over or examine critically or deliberately

7 Inspect
Implies scrutinizing for errors or defects

Non compliance / Non conformities



Objective Absence of responsibilities in the Surveyed Unit or Department ensures an independent view

Study A careful examination or analysis of a phenomenon, development, or question the published report of such a study

Evaluation of status

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How much do we know

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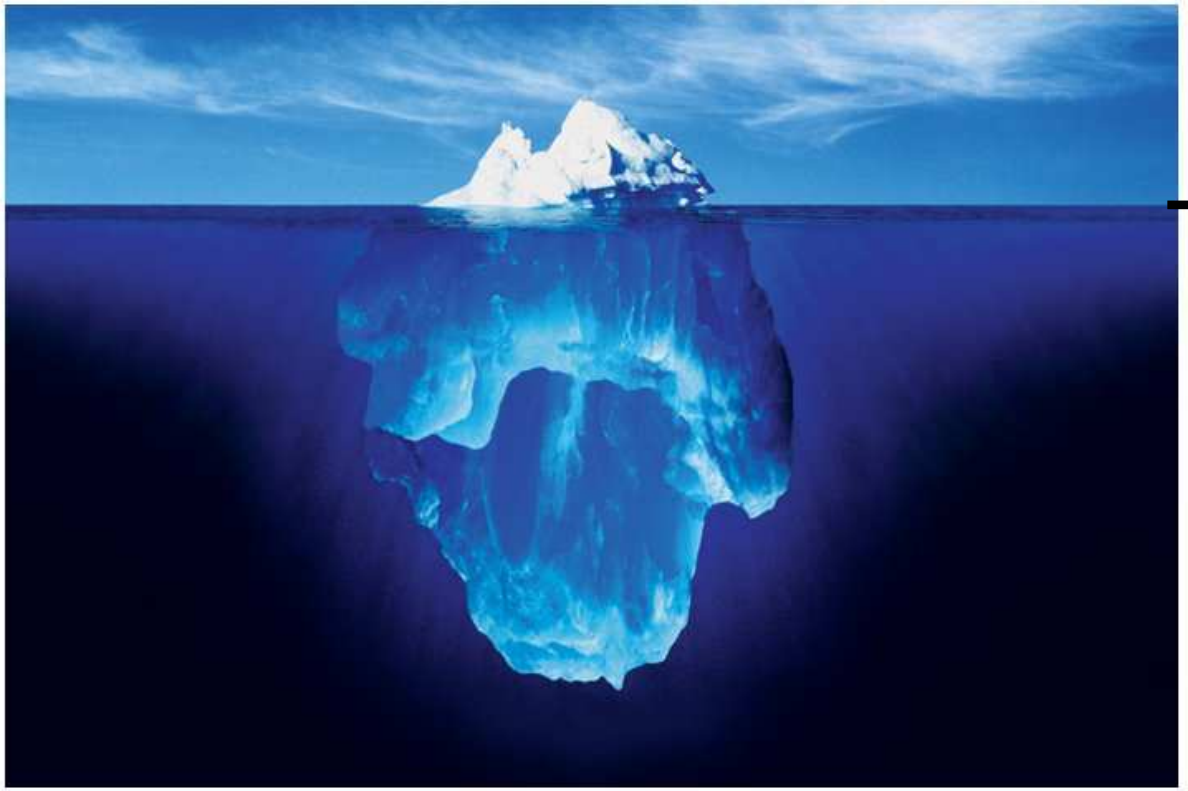

External View

Internal View

Hidden in the system!

It is about Visibility

Then How deep are Findings

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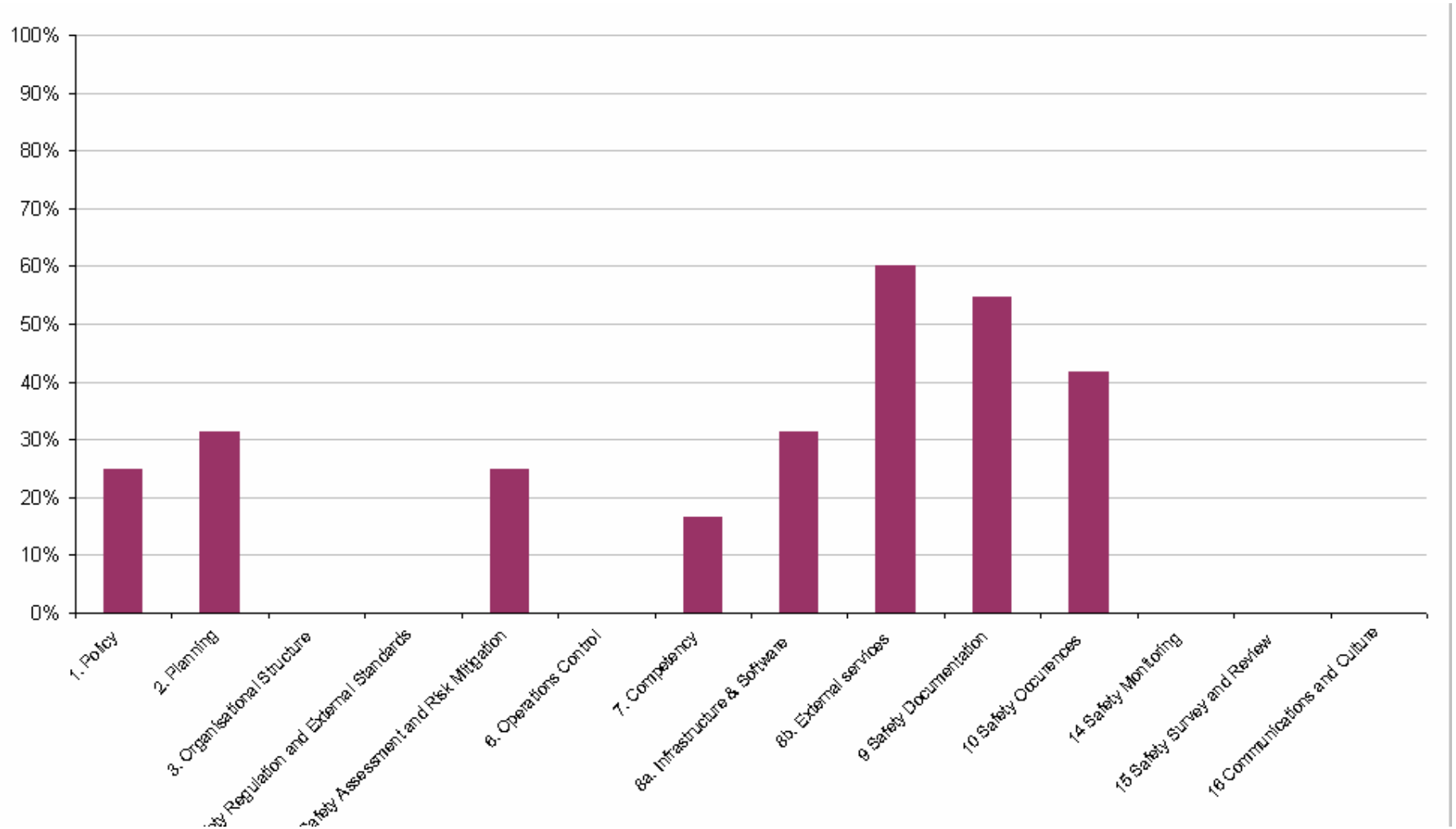
Early Days of SMS requirements fulfillment
SMS Survey Protocol



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Record the survey observations, results and recommendations

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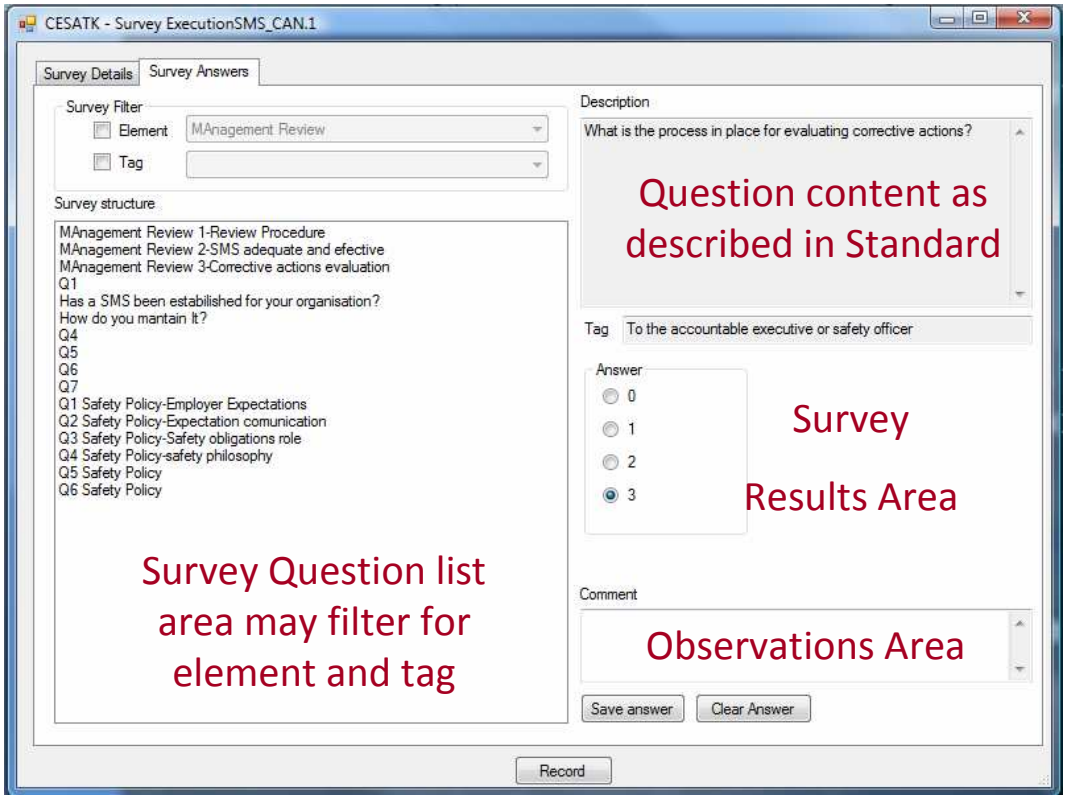

Observations and

Results

Recommendations

Results and Observations recorded at the survey time or later at the review time for each question

Survey results automatically mapped between sessions

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Record the survey observations, results and recommendations

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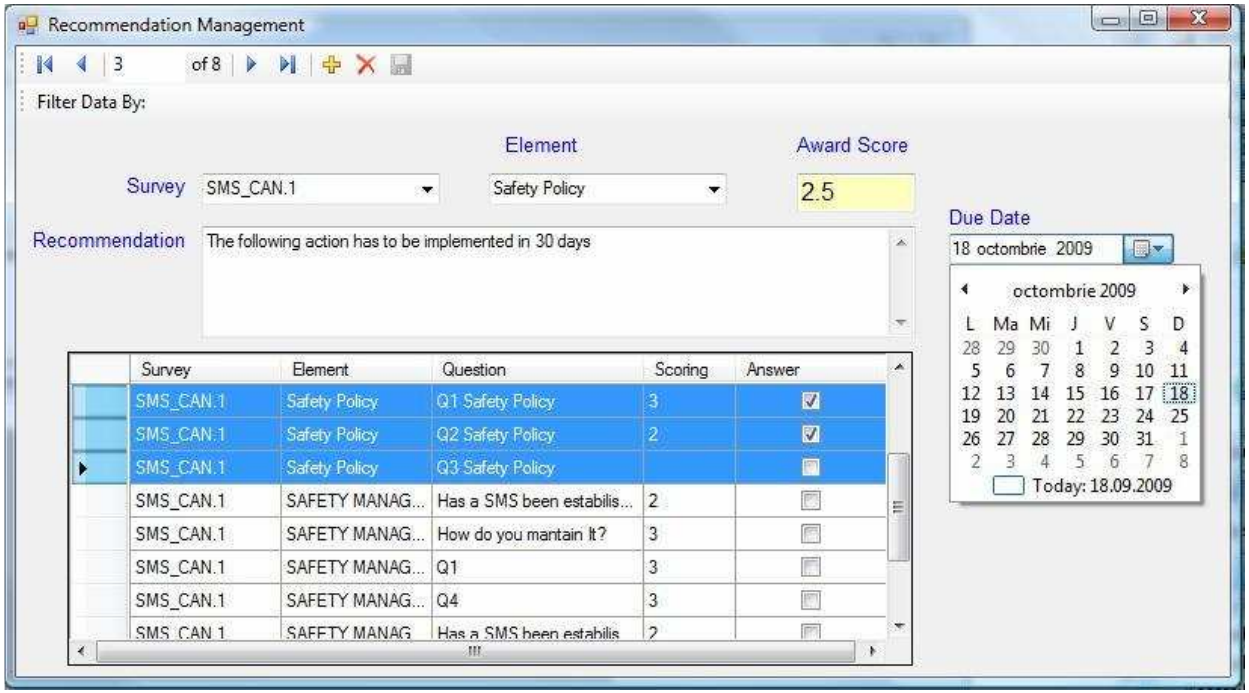

Observations and

Results

Recommendations

Recommendations recorded later at the evaluation for each Element in a distinct module

Survey questions, results and observations also included to provide consistency with scoring (Award score assignment) and follow-up actions

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Surveyors trained to use the same approach in a consistent manner

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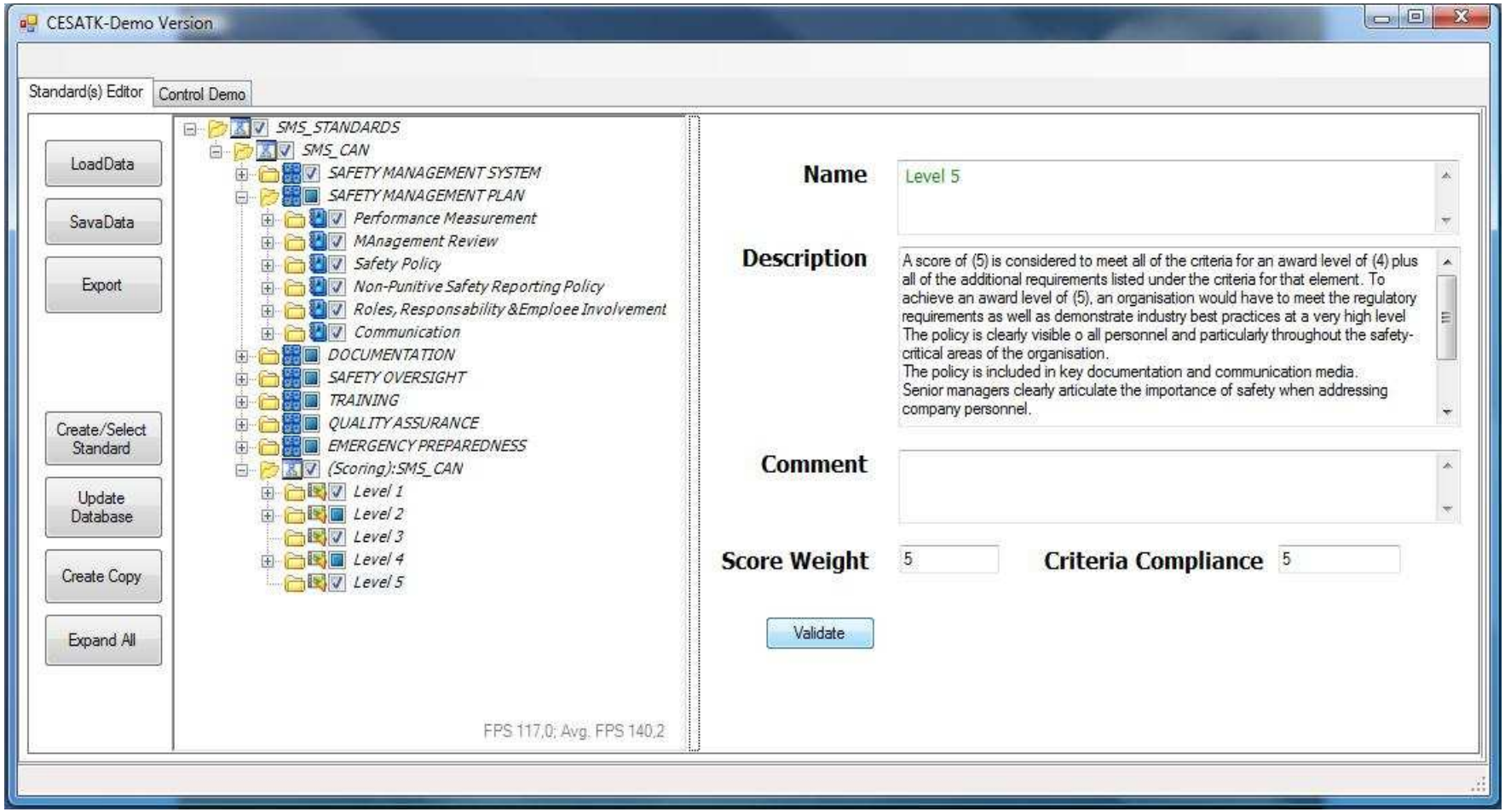

Standard Definition: Tree map organisation of Components, Elements, Questions, Criteria, Scoring in a graphical manner

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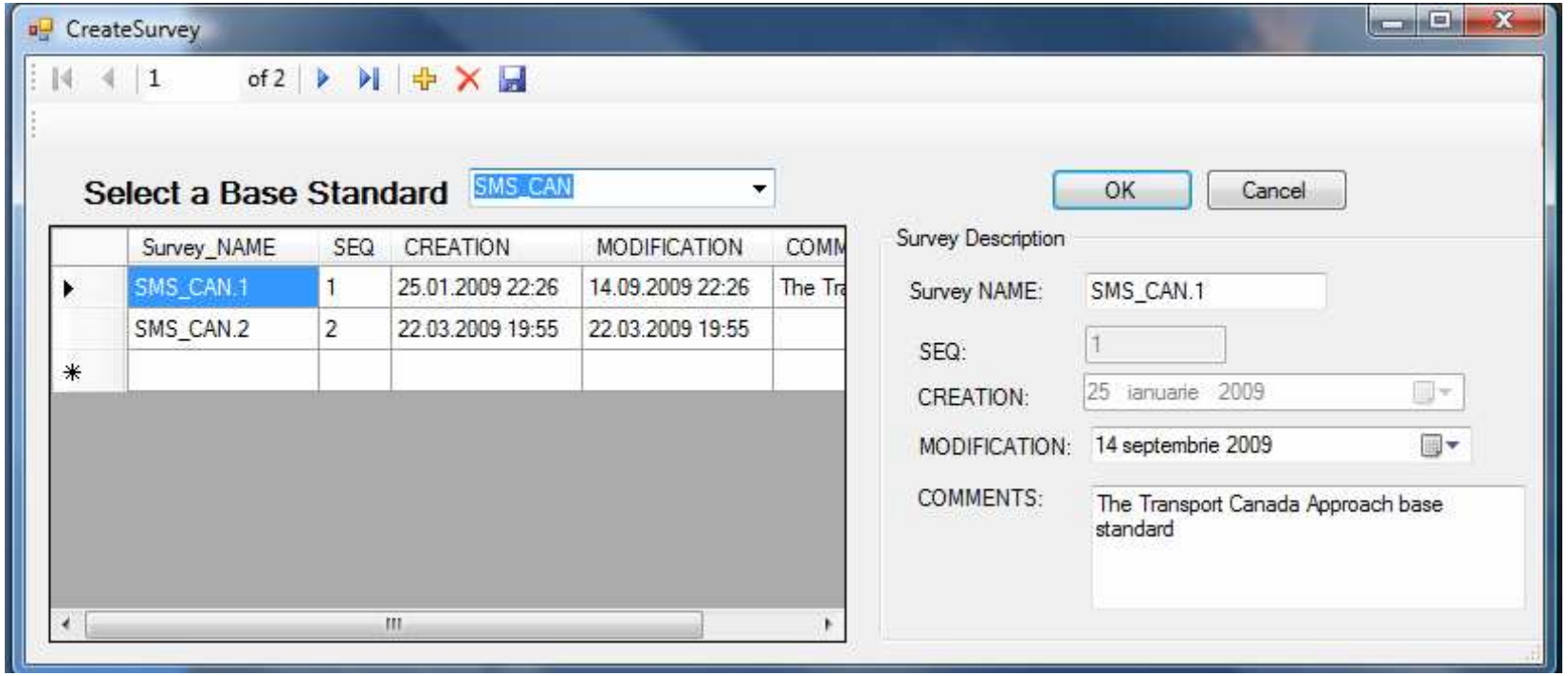

Selection of a base standard to create a Survey Definition.

All attributes of the standard are inherited to enable a consistent approach to survey execution

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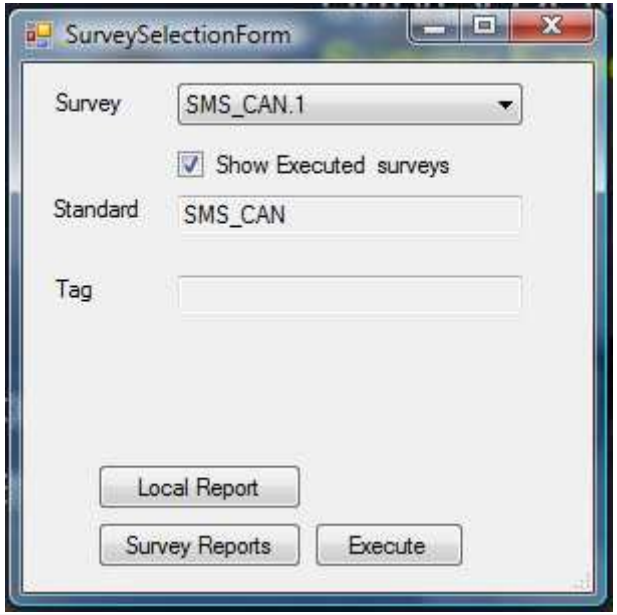
Standard definition
Survey Definition
Survey Execution Selection

Select Prepared not executed surveys



Review executed surveys

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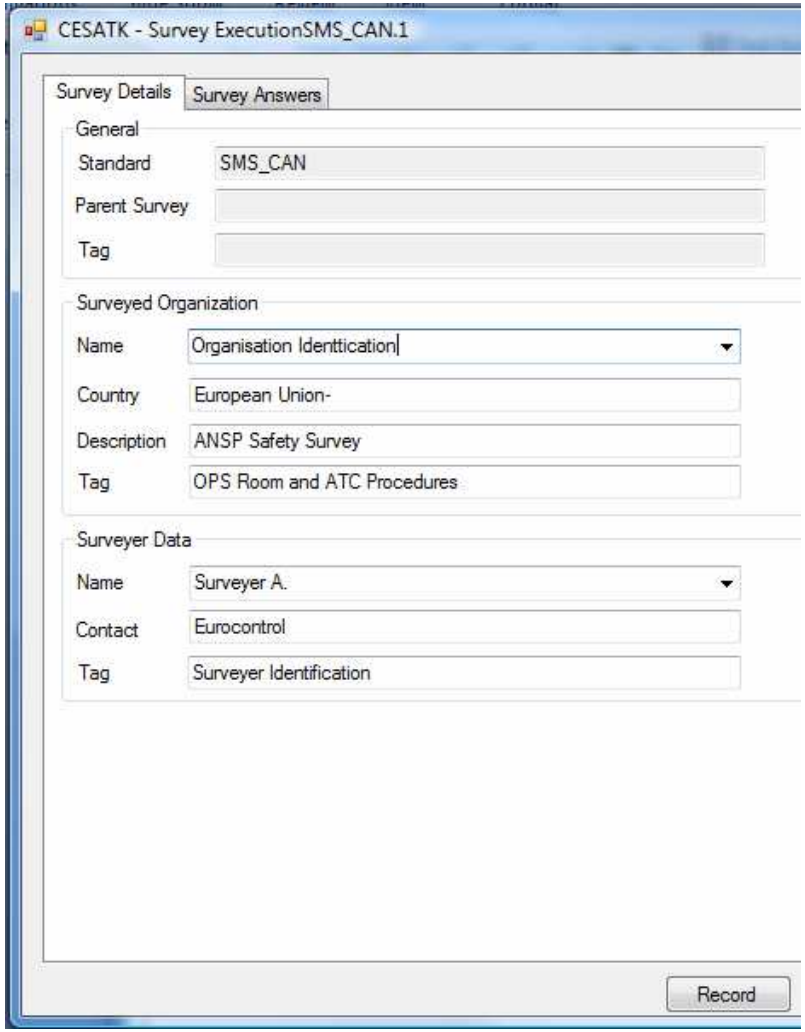

Standard definition
Survey Definition
Survey Execution Selection

Additional data sections to be added for survey identification:
- General Data
- Target Organisation
- Surveyor identification

Multiple attributes for each section, database self recording

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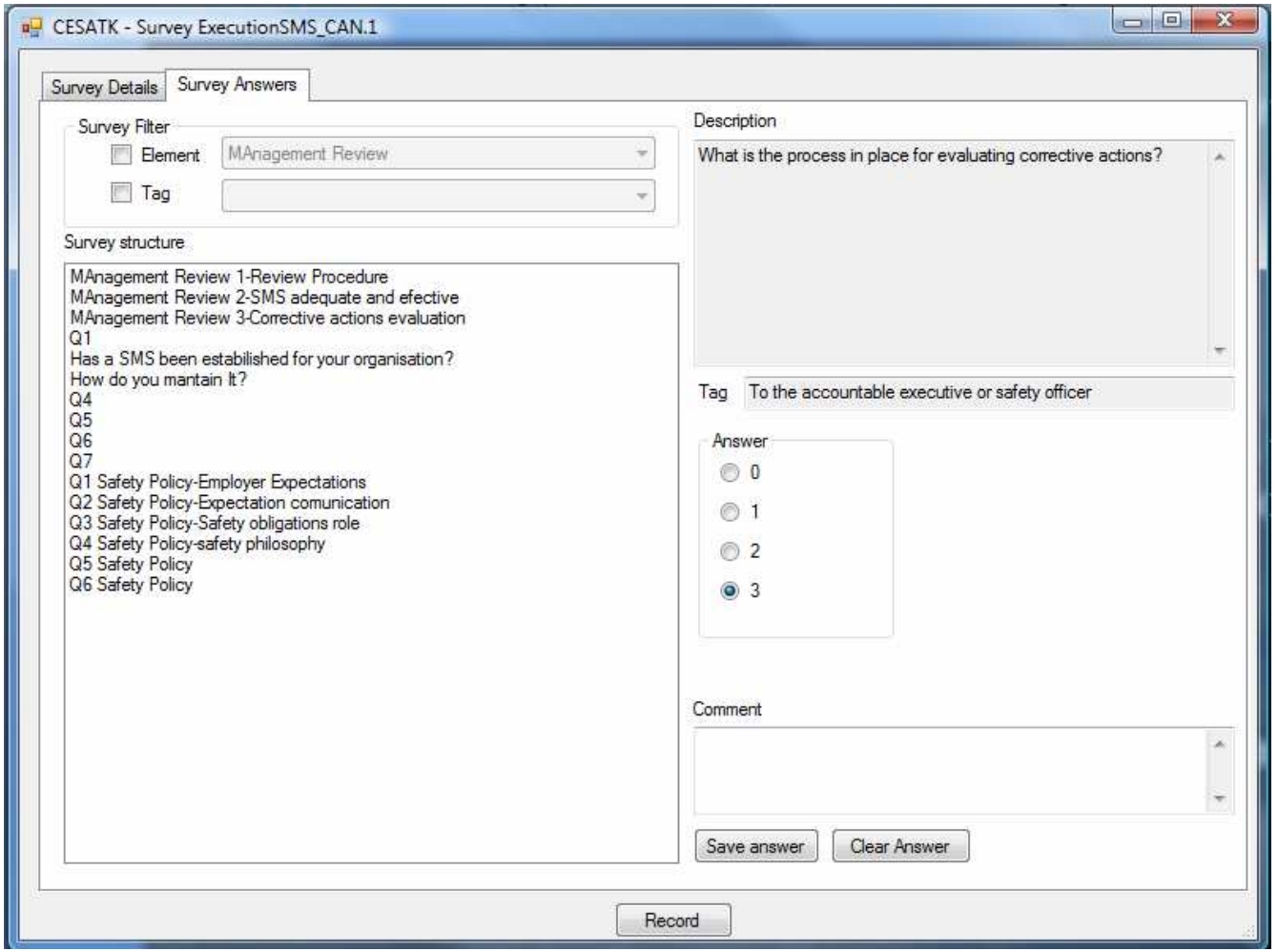

Survey Execution: Survey questions and all necessary information in a single form

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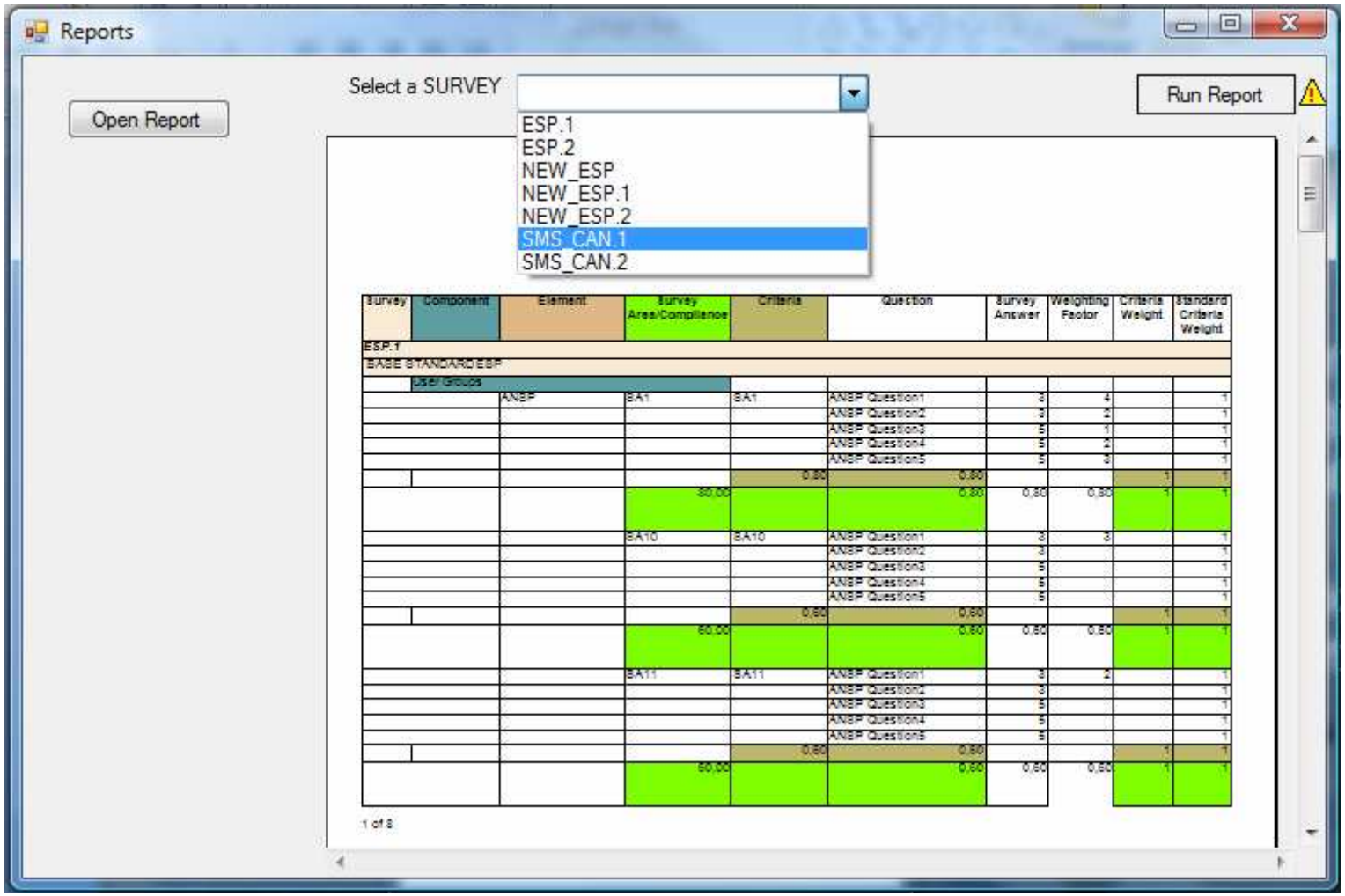

Reports: Dynamic tabular off-line reports stored outside database, standard reporting language

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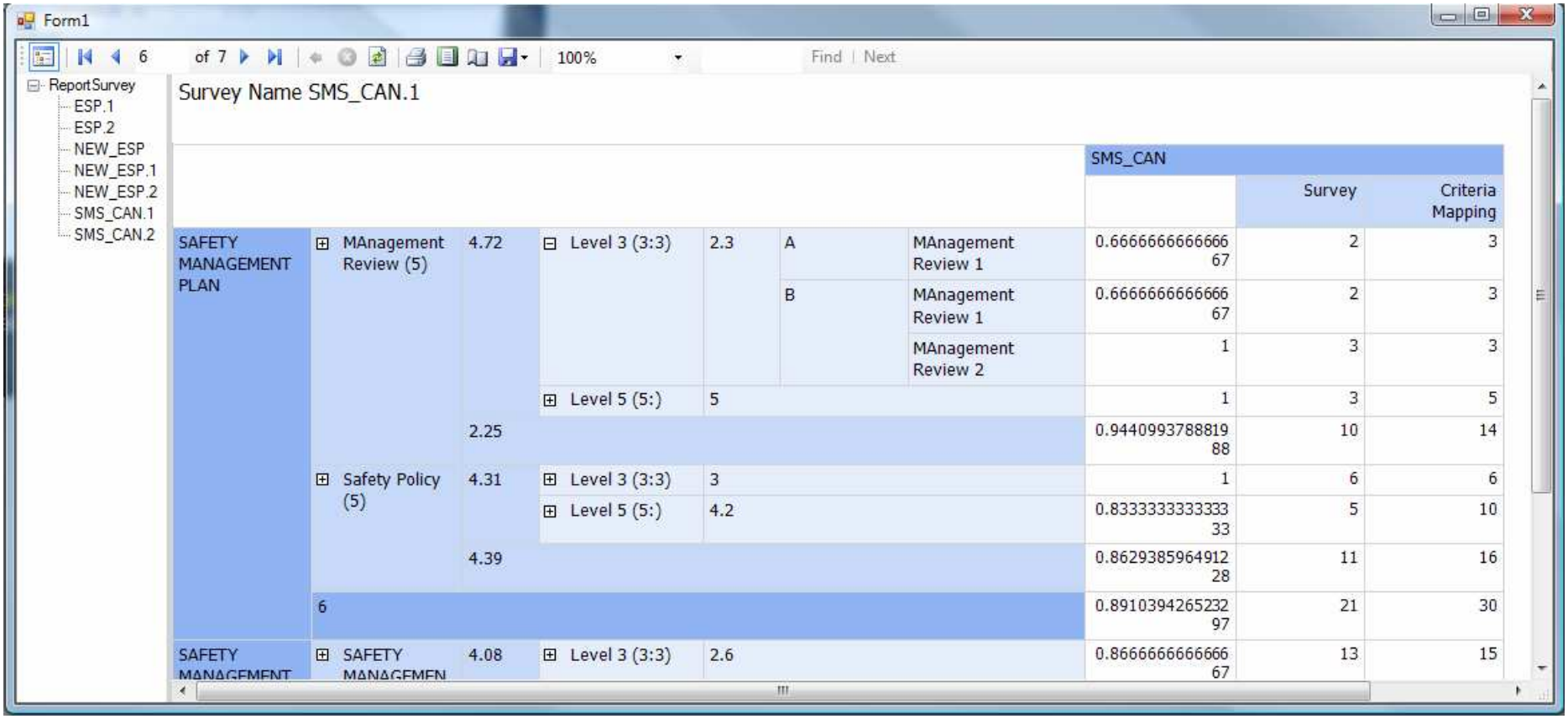

							SMS_CAN		
								Survey	Criteria Mapping
SAFETY MANAGEMENT PLAN	MAnagement Review (5)	4.72	Level 3 (3:3)	2.3	A	MAnagement Review 1	0.666666666666667	2	3
					B	MAnagement Review 1	0.666666666666667	2	3
						MAnagement Review 2	1	3	3
			Level 5 (5:)	5			1	3	5
		2.25					0.944099378881988	10	14
	Safety Policy (5)	4.31	Level 3 (3:3)	3			1	6	6
			Level 5 (5:)	4.2			0.833333333333333	5	10
		4.39					0.862938596491228	11	16
	6						0.891039426523297	21	30
SAFETY MANAGEMENT	SAFETY MANAGEMEN	4.08	Level 3 (3:3)	2.6			0.866666666666667	13	15

Reports: Web-style predefined dynamic reports stored outside database, standard reporting language, single survey detail

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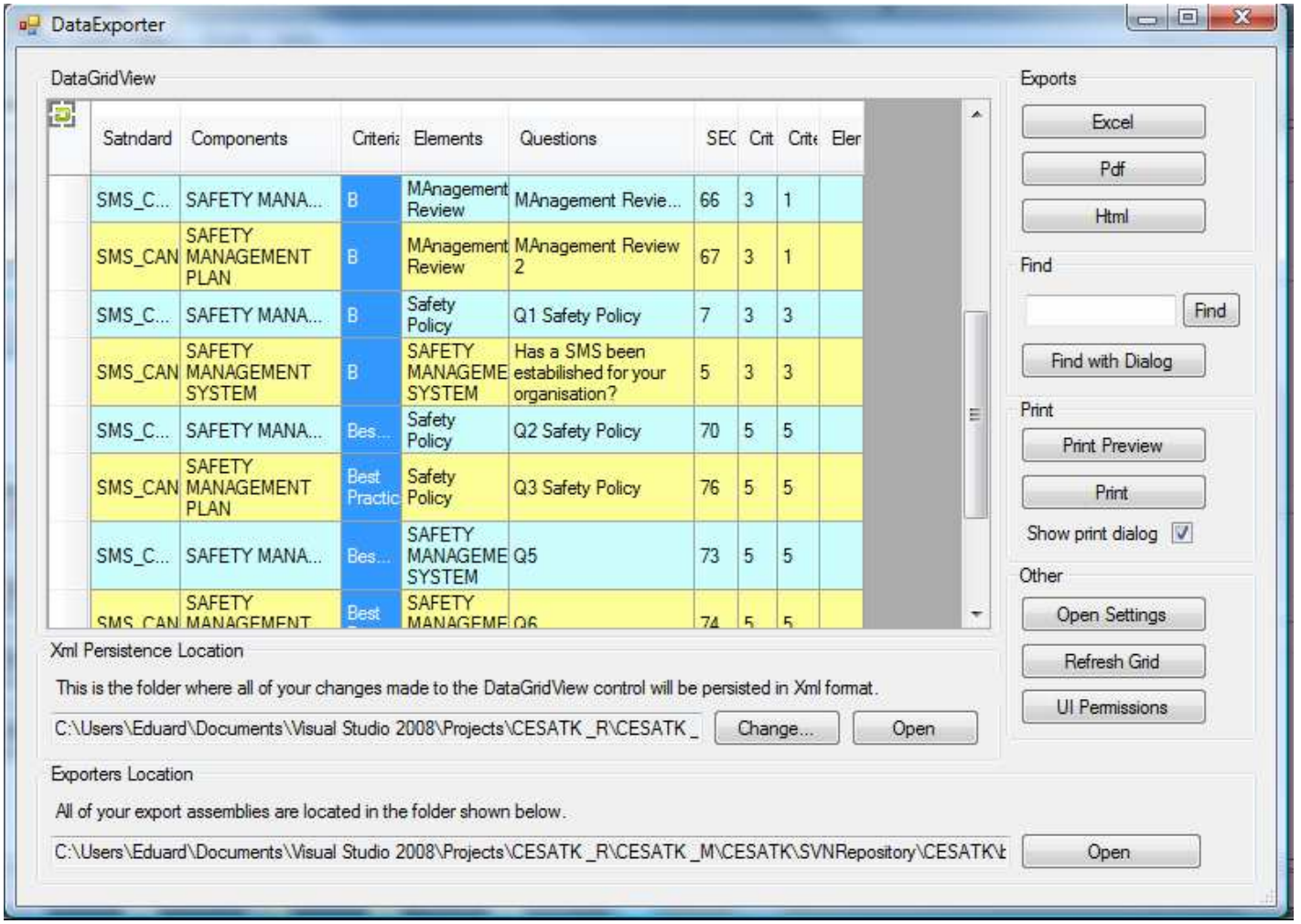
Surveyors trained to use the same approach in a consistent manner



Support functions Preview, Export/Import, Print, with full user customised options

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Define your own survey "standard" based on what you are going to survey : SMS, OPS Room, Equipment , etc

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Flexible Standard structure to enable different Survey types and complexity in definition

Component	Node containing other components or children element nodes
Element	Node containing question nodes and a single element scoring node
Question	Node that contains Criteria nodes
Global Scoring	Node that is unique for each standard and contains global criteria
Global criterion	Child node of global scoring and element scoring nodes which are used to compute the score and contain element criteria nodes
Element Scoring	Unique child node of an element node that contains element criteria nodes
Element criterion	**N**ode that appears under the Global Criteria nodes

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Define your own survey "standard" based on different survey structure,

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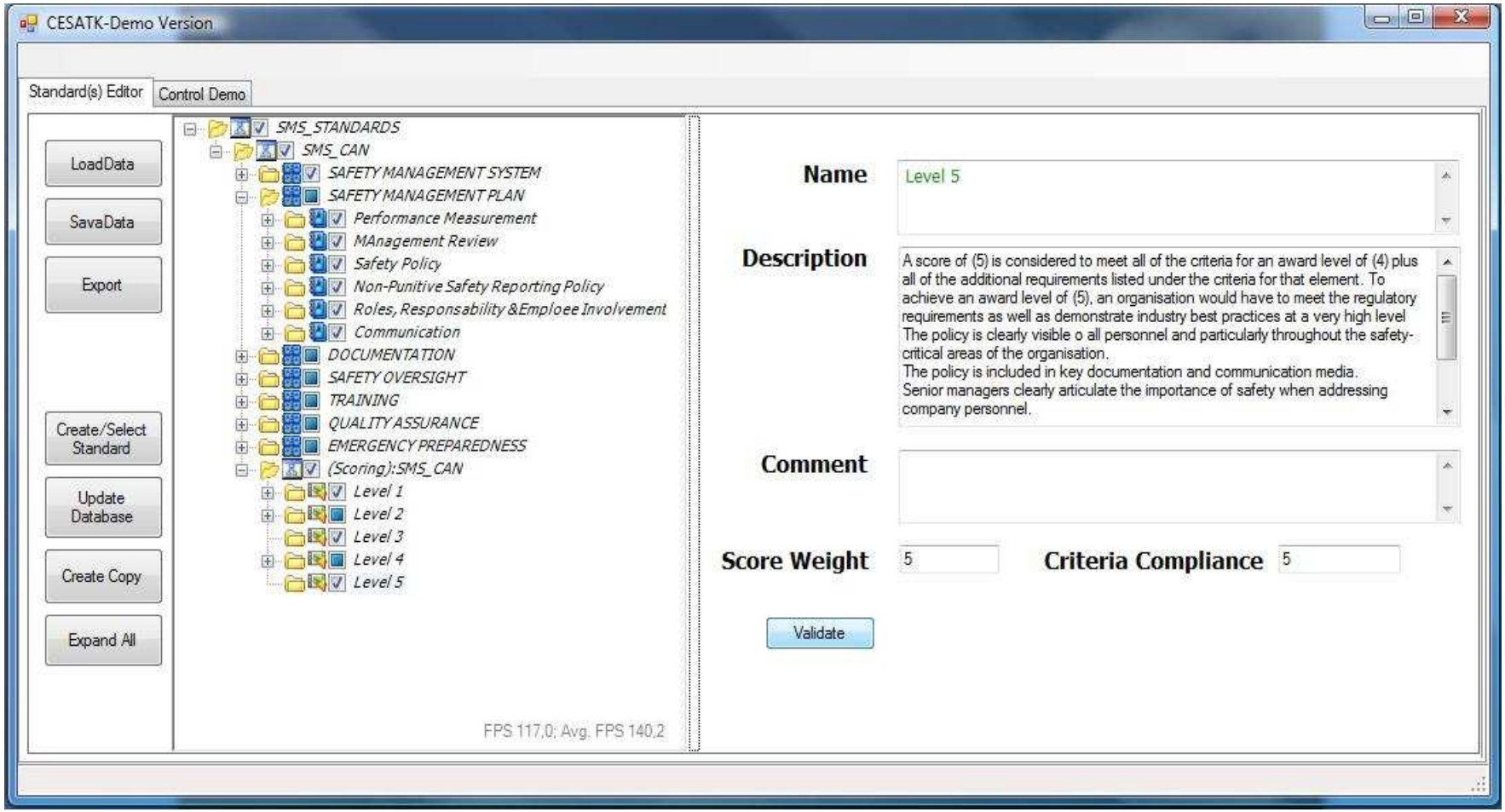

Standard Definition: Graphical mapping of database objects identifying survey structure

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Present the results so as to identify trends, good practices and less good practices

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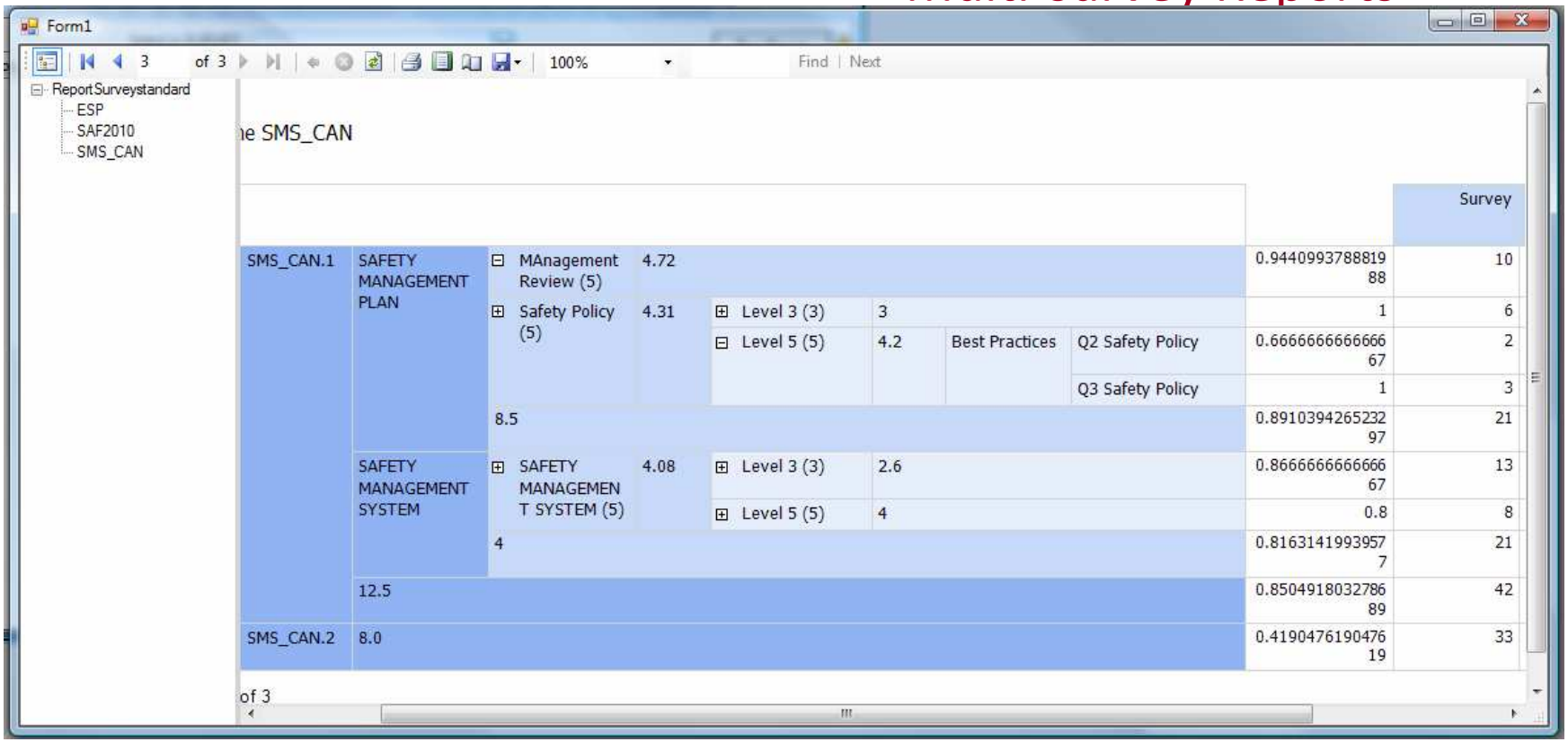
Multi-survey Reports



							Survey
SMS_CAN.1	SAFETY MANAGEMENT PLAN	MAnagement Review (5)	4.72			0.944099378881988	10
		Safety Policy (5)	4.31	Level 3 (3)	3	1	6
				Level 5 (5)	4.2	Best Practices / Q2 Safety Policy	0.666666666666667	2
						Q3 Safety Policy	1	3
		8.5					0.891039426523297	21
	SAFETY MANAGEMENT SYSTEM	SAFETY MANAGEMENT SYSTEM (5)	4.08	Level 3 (3)	2.6		0.866666666666667	13
				Level 5 (5)	4		0.8	8
		4					0.816314199395777	21
	12.5						0.850491803278689	42
SMS_CAN.2	8.0						0.419047619047619	33

Reports: Web-style predefined dynamic reports side-by-side surveys based on standard selection for comparison automatic scoring computation

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User requirements to Functional requirements mapping

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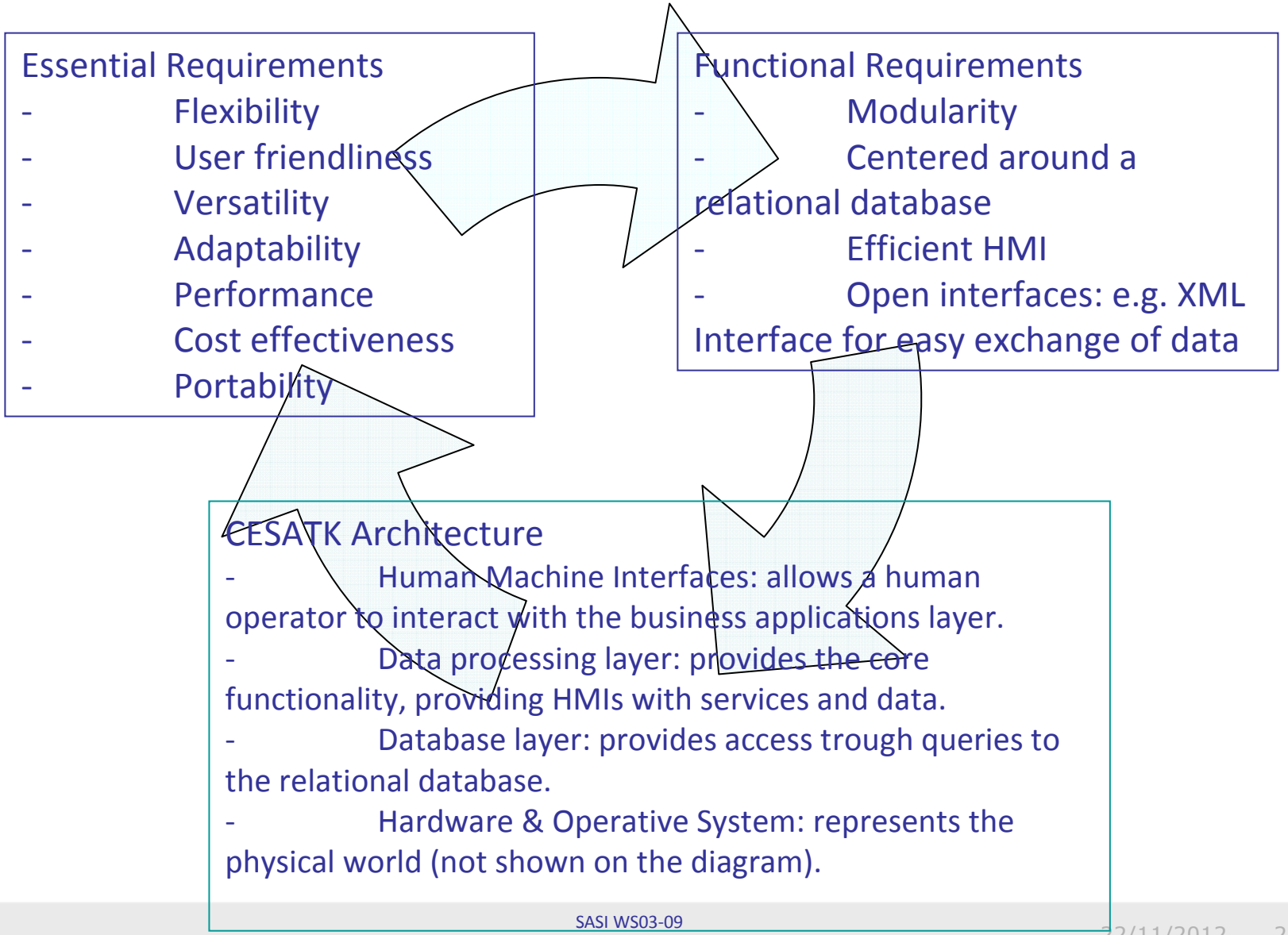

What other tools we have

- Dashboard
- What If
- DSNA – FABEC TOOL

- DEMOs

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Thank you

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End of tools presentation survey

1. Questions? YES ◯ NO ◯

2. If YES above, were questions interesting?

YES ◯ NO ☑

3. If YES in 1 and NO in 2 above were the ANSWERS interesting?

YES ☑ NO ◯

4. If NO in 1 above does this mean the presentation was NOT interesting?

YES ◯ NO ☑

5. If NO in 1 above does this mean the presentation was very clear and complete?

YES ☑ NO ◯