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# **TRAFFIC ALERT AND COLLISION AVOIDANCE SYSTEM (TCAS) – SELECTED STATISTICAL AND PERFORMANCE DATA IN CORE EUROPEAN AIRSPACE**

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| <b>Abstract</b>  |   |                |                       |
| <p>The main purpose of this document is to present a selection of statistical and performance data of Traffic Alert and Collision Avoidance System (TCAS) obtained through radar recordings in core European airspace. The data is intended to support safety and performance studies, as well as R&amp;D activities. Some data has been obtained directly from aircraft operators. No conclusions are drawn on the data presented in this document.</p> |   |                |                       |
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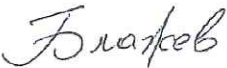


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## **Executive Summary**

TCAS RA not followed is one of the Top 5 ATM operational risk priorities. To supplement the previously conducted studies, including the study of pilot compliance with TCAS (Traffic Alert and Collision Avoidance System) Resolution Advisories (RA), this document provides detailed statistical data on TCAS RAs. The data was collected in core European airspace over 365 consecutive days (in pre-COVID traffic volumes).

The main purpose of this document is to present a selection of statistical and TCAS performance data. The data is intended to support safety and performance studies, as well as R&D activities. Some data has been obtained directly from aircraft operators to provide additional performance data.

No conclusions are drawn on the data presented in this document.

## 1 Objective

The purpose of this document is to present statistical data and performance data of TCAS in core European airspace. The data has been obtained through radar recording and, in some cases, directly from aircraft operators. No conclusions are drawn on the data presented in this document.

As specified in EU Regulation 2019/123, Network Manager is tasked with identifying operational safety hazards at European ATM network level and assess the associated network safety risk. To fulfil this obligation, the Network Manager implemented a dedicated risk identification and monitoring process.

The current ATM Top 5 operational risk priorities are:

- Blind spot (conflict between aircraft in close proximity not detected by air traffic controller);
- TCAS RA not followed;
- Flight without a transponder or with a dysfunctional one;
- Detection of potential runway conflict by air traffic controllers;
- Sudden, high energy runway conflict.

This study has been carried out to support the “TCAS RA not followed”<sup>1</sup> operational risk in order to provide operational data assessing pilot responses to TCAS RAs, as well as TCAS operational mode and serviceability. This study supplements the survey conducted in 2017<sup>2</sup> in which a significant number of pilots admitted that RAs are often not followed. Also, previous monitoring activities established that pilots often do not follow RAs correctly<sup>3</sup>.

In the autumn of 2020, EUROCONTROL released the result of the “*assessment of pilot compliance with TCAS RAs, TCAS mode selection and serviceability using ATC radar data*” [study](#). This study triggered discussions amongst Stakeholders and it became evident that in order to support an informed discussion and any follow up action, a comprehensive view on TCAS performance and statistical data is needed. The current study is using the same data set as the above-mentioned pilot compliance study.

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<sup>1</sup> See SKYbrary [article](#).

<sup>2</sup> Available on [SKYbrary](#).

<sup>3</sup> [EVAIR Safety Bulletin no 14](#) (2010-2014).

## 2 Introduction

### 2.1 Data set

EUROCONTROL used radar data, which was gathered recently in core European airspace<sup>4</sup> over a period of 12 months, to extract comprehensive TCAS statistics and performance data.

When a Resolution Advisory (RA) is generated the aircraft's transponder can downlink a message providing details of RAs and RA termination to a Mode S ground station on each radar interrogation. Each downlinked RA message also contains details concerning the threat aircraft. These RA downlink messages together with the ATC track data were used obtain the statistical data and performance data presented in this document. The data is limited only to the events in which at least one aircraft involved received an RA.

The recording sets were obtained from three different sources, each set covering 365 consecutive days. The recordings overlapped for a period of 9 months (see Figure 2-1).

| Source | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Jan | Feb | Mar | Apr | May | Jun | Jul |
|--------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| A      |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |
| B      |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |
| C      |     |     |     |     |     |     |     |     |     |     |     |     |     |     |     |

Figure 2-1: Recording periods

The data is presented in tables and, where appropriate, illustrated by graphs.

### 2.2 Data limitations and accuracy

The Reader is advised to note the following regarding the limitations and accuracy of the data:

- Statistical data presented in this document has been obtained through ATC radar recordings. Radar data is in turn processed by ATC trackers every 4 seconds. Additionally, some latency may also be caused by rotation of a radar antenna. Therefore, events such as RAs or RA termination occur in the preceding 4-second period but the timing cannot be precisely determined (RA messages are downlinked without a timestamp).
- Altitudes and vertical rates may be inaccurately determined by the ATC system tracker. In order to deliver optimal display performance of radar data to air traffic controllers, the ATC system tracker software makes assumptions regarding the estimated position of tracks and approximates the data accordingly. The "tracker effect" has been significantly reduced by applying additional filtration.
- All aircraft were assumed to be equipped with TCAS II version 7.1.

<sup>4</sup> Airspace in western and central part of Europe where traffic density is high.

- Some data and results have been obtained from the radar recordings (e.g. RA types from RA downlink messages), while some are the results of calculations obtained from recorded trajectories (e.g. calculation of the Closet Point of Approach – CPA). The recorded trajectories are subject to the limitation outlined above and any calculations based on them carry some degree of uncertainty.

## 2.3 General monitoring results

The monitoring period covered 9.02 million flight hours, of pre-Covid European traffic. That represents approximately 55% of all flight hours in EUROCONTROL Area (ESRA08) in the corresponding period. There were 1256 first RAs<sup>5</sup> recorded in 1084 encounters<sup>6</sup>. Out of 1256 first recorded RAs 14 were deemed to be anomalous (non-nominal) – see section 2.5 for more details.

## 2.4 RA types

In order to provide the Reader with a higher level of detail regarding the recorded RAs, the RA names not providing their vertical sense have been amended to indicate the sense, e.g. for a Level Off RA while climbing a “LO (DN)” abbreviation is used rather than usual “LO” or MVS (LCL) instead of MVS.

**Level Off RAs** (LO) always require a reduction of the vertical speed to 0 ft/min., i.e. a level off. A Level Off RA can be issued in Upward Sense<sup>7</sup> or Downward Sense<sup>8</sup>.

For initial RAs or some subsequent RAs (strengthening RAs):

- a LO (UP) (Level Off Upward Sense RA) is typically issued while the aircraft is descending and will prohibit any further descent by displaying the red arc (zone) below zero on the cockpit instruments;
- a LO (DN) (Level Off Downward Sense RA) RA is typically issued while the aircraft is climbing and will prohibit any further climb by displaying the red arc (zone) above zero on the cockpit instruments.

For **weakening RAs** (issued to limit a deviation from the cleared level when the previously issued RA has already provided sufficient separation):

- a LO (UP) (Level Off Upward Sense RA) is issued when a climb (required by the previous RA) is no longer needed and will prohibit any further climb by displaying the red arc (zone) above zero on the cockpit instruments;

---

<sup>5</sup> First RA refers to the initial RA issued to an aircraft during an encounter. The first RA may or may not be the only RA in this encounter.

<sup>6</sup> Cases when two aircraft are in proximity and one or both of them receive an RA.

<sup>7</sup> RA downlink bit 43 = 0

<sup>8</sup> RA downlink bit 43 = 1

- a LO (DN) (Level Off Downward Sense RA) is issued when a descent (required by the previous RA) is no longer needed and will prohibit any further descent by displaying the red arc (zone) below zero on the cockpit instruments.

**Monitor Vertical Speed RAs** (MVS) are preventive RAs<sup>9</sup> that prohibit certain vertical rates (0, 500, 1000, 2000 ft/min.) either for climb or descent (the value of the prohibited vertical speeds is not available in the RA downlink messages). Here, these RAs are referred to as MVS (LCL) (Limit Climb)<sup>10</sup> for RAs prohibiting any climb rates or MVS (LDE) (Limit Descent)<sup>11</sup> for RAs prohibiting any descent rates.

**Maintain Vertical Speed** RAs that require the aircraft to continue the current climb are referred to as MaVS (CL)<sup>12</sup> while the RAs that require the aircraft to continue the current descent are referred to as MaVS (DE)<sup>13</sup>.

The list all RA abbreviations is shown in Table 2-1. RAs in grey-shaded rows were not recorded during this monitoring activity and they are listed here only for completeness. RAs marked with an asterisk (\*) are only possible as a subsequent RA, not as the first RA in the encounter.

Table 2-1: Abbreviations of RA types

| Abbreviation | RA  |
|--------------|---|
| CL           | Climb   |
| DE           | Descend   |
| XCL          | Crossing Climb                                      |
| XDE          | Crossing Descend                                    |
| ICL *        | Increase Climb *                                    |
| IDE *        | Increase Decent *                                   |
| RCL *        | Reversal Climb *                                    |
| RDE *        | Reversal Decent *                                   |
| LO (UP)      | Level Off (upward sense)                            |
| LO (DN)      | Level Off (downward sense)                          |
| MaVS (CL)    | Maintain Vertical Speed (while climbing)            |
| XMaVS (CL)   | Crossing Maintain Vertical Speed (while climbing)   |
| MaVS (DE)    | Maintain Vertical Speed (while descending)          |
| XMaVS (DE)   | Crossing Maintain Vertical Speed (while descending) |
| MVS (LCL)    | Monitor Vertical Speed (limit climb)                |
| MVS (LDE)    | Monitor Vertical Speed (limit descent)              |
| COC          | Clear of Conflict (RA termination)                  |

<sup>9</sup> An RA that instructs the pilot to avoid certain deviations from current vertical rate,

<sup>10</sup> RA downlink bit 43 = 1

<sup>11</sup> RA downlink bit 43 = 0

<sup>12</sup> RA downlink bit 43 = 0

<sup>13</sup> RA downlink bit 43 = 1

Other abbreviations used in this document can be found in Table 6-1 on page 50. A Glossary of Terms is provided in section 6.2 on page 50.

## 2.5 Non-nominal RA sequences

During the course of an encounter, the RA strength is evaluated every second and it will be strengthened, reversed or weakened, as needed. While some RAs will change in the course of the encounter, some will be retained until the RA is terminated. In the vast majority of the cases, the recorded RAs in each sequence went through an expected sequence of single, weakening, strengthening or reversal RAs.

However, occasionally an RA sequence was observed which was deemed non-nominal (anomalous). Those were:

- A. The first recorded was an RA which is possible only as a subsequent RA (RCL, RDE, ICL, IDE).
- B. Second, third, or forth RA in sequence was recorded with the termination bit set (RAT=1).
- C. The RA was split. That could have occurred due to a loss surveillance or the geometry of encounter (e.g. the aircraft first manoeuvres in such way that the RA termination is justified only to manoeuvre later towards the threat aircraft), the RA terminated (with or without a Clear of Conflict annunciation) only to be started again against the same intruder (instantaneously or after several seconds).
- D. The recorded RA sequence was illegal (not allowed) by the TCAS logic, e.g. an RA sense changed with a reversal (from Climb RA to Descend RA or other way around). In reality, the sequence was most likely legal but not all RAs in the sequence were recorded, rendering the sequence illegal.

In the examined data set of 1256, there were 14 (1.1%) RA sequences deemed non-nominal (see Table 2-2). The abbreviation used for RA types are explained at the back of the document.

**Non-nominal RA sequences have been excluded from further calculations and statistics.**

Table 2-2: Non-nominal RA sequences encounters

| RA sequence              | Number | Anomaly type |
|--------------------------|--------|--------------|
| RDE – LO (DN)            | 1      | A            |
| RCL – LO (UP)            | 1      | A            |
| RCL                      | 1      | A            |
| ICL – RDE                | 1      | A & B        |
| DE – LO (DN)             | 2      | B            |
| LO (DN) – XDE – DE       | 1      | B            |
| LO (UP) – DE – LO (DN)   | 1      | B            |
| LO (UP) – MVS (LDE) – CL | 1      | C            |
| DE – LO (DN)             | 1      | C            |
| CL – LO (UP)             | 1      | C            |

|                           |           |   |
|---------------------------|-----------|---|
| CL – LO (UP) – MVS (LDE)  | 1         | C |
| DE – CL – RDE             | 1         | D |
| LO (UP) – ICL – MaVS (DE) | 1         | D |
| <b>Total:</b>             | <b>14</b> |   |

### 3 Results

#### 3.1 RA and encounter frequency

The frequency of RAs and encounters are presented in Table 3-1 below. Additional information concerning RA and TA frequencies is available in Section 4 on page 48.

Table 3-1: RA and encounter frequency

| Event                              | Number |
|------------------------------------|--------|
| <b>1<sup>st</sup> RAs</b>          | 1242   |
| Frequency (flight hours/RA)        | 7259   |
| Frequency (RAs/day)                | 3.4    |
| <b>Encounters</b>                  | 1072   |
| Frequency (flight hours/encounter) | 8410   |
| Frequency (encounter/day)          | 2.9    |

#### 3.2 RA sequences statistics

During an encounter, an aircraft may receive one or more RAs. All the RAs received in one encounter are referred to as an *RA sequence*.

There were 32 types of non-anomalous RA sequence recorded. The number of RAs each of the sequence types is shown in (see Table 3-2). Table 3-3 shows the number of each unique sequence type and the overall total of RAs.

Table 3-2: RA sequence types

|    | 1 <sup>st</sup> RA | 2 <sup>nd</sup> RA | 3 <sup>rd</sup> RA | 4 <sup>th</sup> RA | Number | Percentage |
|----|--------------------|--------------------|--------------------|--------------------|--------|------------|
| 1  | LO (DN)            |                    |                    |                    | 413    | 33.3%      |
| 2  | LO (UP)            |                    |                    |                    | 361    | 29.1%      |
| 3  | MVS (LDE)          |                    |                    |                    | 116    | 9.3%       |
| 4  | CL                 | LO (UP)            |                    |                    | 91     | 7.3%       |
| 5  | DE                 | LO (DN)            |                    |                    | 66     | 5.3%       |
| 6  | CL                 |                    |                    |                    | 59     | 4.8%       |
| 7  | DE                 |                    |                    |                    | 52     | 4.2%       |
| 8  | MVS (LCL)          |                    |                    |                    | 30     | 2.4%       |
| 9  | LO (UP)            | CL                 |                    |                    | 10     | 0.8%       |
| 10 | MVS (LDE)          | LO (UP)            |                    |                    | 6      | 0.5%       |
| 11 | LO (DN)            | DE                 | LO (DN)            |                    | 6      | 0.5%       |
| 12 | MVS (LCL)          | LO (DN)            |                    |                    | 4      | 0.3%       |
| 13 | DE                 | MaVS (CL)          |                    |                    | 3      | 0.2%       |
| 14 | LO (UP)            | CL                 | LO (UP)            |                    | 3      | 0.2%       |
| 15 | CL                 | RDE                |                    |                    | 2      | 0.2%       |
| 16 | XDE                | LO (DN)            |                    |                    | 2      | 0.2%       |
| 17 | MVS (LCL)          | DE                 |                    |                    | 2      | 0.2%       |
| 18 | LO (DN)            | DE                 |                    |                    | 2      | 0.2%       |



|               | 1 <sup>st</sup> RA | 2 <sup>nd</sup> RA | 3 <sup>rd</sup> RA | 4 <sup>th</sup> RA | Number      | Percentage    |
|---------------|--------------------|--------------------|--------------------|--------------------|-------------|---------------|
| 19            | DE                 | XCL                | LO (UP)            |                    | 1           | 0.1%          |
| 20            | CL                 | ICL                |                    |                    | 1           | 0.1%          |
| 21            | CL                 | LO (UP)            | CL                 |                    | 1           | 0.1%          |
| 22            | CL                 | RDE                | LO (DN)            |                    | 1           | 0.1%          |
| 23            | XDE                |                    |                    |                    | 1           | 0.1%          |
| 24            | DE                 | IDE                | RCL                | LO (UP)            | 1           | 0.1%          |
| 25            | DE                 | RCL                |                    |                    | 1           | 0.1%          |
| 26            | DE                 | RCL                | LO (UP)            |                    | 1           | 0.1%          |
| 27            | MVS (LDE)          | CL                 |                    |                    | 1           | 0.1%          |
| 28            | MVS (LDE)          | CL                 | LO (UP)            |                    | 1           | 0.1%          |
| 29            | LO (UP)            | CL                 | MaVS (DE)          |                    | 1           | 0.1%          |
| 30            | MaVS (CL)          | LO (UP)            |                    |                    | 1           | 0.1%          |
| 31            | MaVS (DE)          |                    |                    |                    | 1           | 0.1%          |
| 32            | MaVS (DE)          | LO (DN)            |                    |                    | 1           | 0.1%          |
| <b>Total:</b> |                    |                    |                    |                    | <b>1242</b> | <b>100.0%</b> |

Table 3-3: Number of RAs in each sequence

| Number of RAs in a sequence | Number of unique sequences | Number of recorded sequences | Percentage |
|-----------------------------|----------------------------|------------------------------|------------|
| <b>1</b>                    | 8                          | 1033                         | 83.2%      |
| <b>2</b>                    | 15                         | 193                          | 15.5%      |
| <b>3</b>                    | 8                          | 15                           | 1.2%       |
| <b>4</b>                    | 1                          | 1                            | 0.1%       |

### 3.3 Duration of RA sequences

Table 3-4 below shows the maximum, average and median duration (in seconds) for each non-anomalous RA sequence.

Table 3-4: Duration of RA sequences

| 1 <sup>st</sup> RA | 2 <sup>nd</sup> RA | 3 <sup>rd</sup> RA | 4 <sup>th</sup> RA | Number | Sequence Duration Max [s] | Sequence Duration Average [s] | Sequence Duration Median [s] |
|--------------------|--------------------|--------------------|--------------------|--------|---------------------------|-------------------------------|------------------------------|
| LO (DN)            |                    |                    |                    | 413    | 316                       | 27                            | 28                           |
| LO (UP)            |                    |                    |                    | 361    | 204                       | 28                            | 28                           |
| MVS (LDE)          |                    |                    |                    | 116    | 40                        | 19                            | 20                           |
| CL                 | LO (UP)            |                    |                    | 91     | 44                        | 29                            | 28                           |
| DE                 | LO (DN)            |                    |                    | 66     | 88                        | 31                            | 32                           |
| CL                 |                    |                    |                    | 59     | 84                        | 15                            | 12                           |
| DE                 |                    |                    |                    | 52     | 68                        | 14                            | 12                           |
| MVS (LCL)          |                    |                    |                    | 30     | 40                        | 19                            | 20                           |
| LO (UP)            | CL                 |                    |                    | 10     | 24                        | 16                            | 16                           |
| MVS (LDE)          | LO (UP)            |                    |                    | 6      | 36                        | 33                            | 34                           |

| 1 <sup>st</sup> RA | 2 <sup>nd</sup> RA | 3 <sup>rd</sup> RA | 4 <sup>th</sup> RA | Number | Sequence Duration Max [s] | Sequence Duration Average [s] | Sequence Duration Median [s] |
|--------------------|--------------------|--------------------|--------------------|--------|---------------------------|-------------------------------|------------------------------|
| LO (DN)            | DE                 | LO (DN)            |                    | 6      | 28                        | 21                            | 22                           |
| MVS (LCL)          | LO (DN)            |                    |                    | 4      | 20                        | 18                            | 18                           |
| DE                 | MaVS (CL)          |                    |                    | 3      | 32                        | 29                            | 32                           |
| LO (UP)            | CL                 | LO (UP)            |                    | 3      | 24                        | 15                            | 12                           |
| CL                 | RDE                |                    |                    | 2      | 36                        | 30                            | 30                           |
| XDE                | LO (DN)            |                    |                    | 2      | 24                        | 22                            | 22                           |
| MVS (LCL)          | DE                 |                    |                    | 2      | 20                        | 20                            | 20                           |
| LO (DN)            | DE                 |                    |                    | 2      | 28                        | 22                            | 22                           |
| DE                 | MaVS (CL)          | LO (UP)            |                    | 1      | 24                        | 24                            | 24                           |
| CL                 | ICL                |                    |                    | 1      | 32                        | 32                            | 32                           |
| CL                 | LO (UP)            | CL                 |                    | 1      | 24                        | 24                            | 24                           |
| CL                 | RDE                | LO (DN)            |                    | 1      | 28                        | 28                            | 28                           |
| XCL                |                    |                    |                    | 1      | 20                        | 20                            | 20                           |
| DE                 | IDE                | RCL                | LO (UP)            | 1      | 28                        | 28                            | 28                           |
| DE                 | RCL                |                    |                    | 1      | 16                        | 16                            | 16                           |
| DE                 | RCL                | LO (UP)            |                    | 1      | 32                        | 32                            | 32                           |
| MVS (LDE)          | CL                 |                    |                    | 1      | 20                        | 20                            | 20                           |
| MVS (LDE)          | CL                 | LO (UP)            |                    | 1      | 20                        | 20                            | 20                           |
| LO (UP)            | CL                 | MaVS (DE)          |                    | 1      | 12                        | 12                            | 12                           |
| MaVS (CL)          | LO (UP)            |                    |                    | 1      | 68                        | 68                            | 68                           |
| MaVS (DE)          |                    |                    |                    | 1      | 4                         | 4                             | 4                            |
| MaVS (DE)          | LO (DN)            |                    |                    | 1      | 32                        | 32                            | 32                           |

### 3.4 Duration of each RA in a sequence

Table 3-5 below shows how many RA sequences were observed for each duration interval. Underneath, Table 3-6 lists overall Maximum, Average and Median duration values for all RA sequences. The distribution of total RA sequence duration is illustrated in Figure 3-1.

Table 3-7 on page 22 shows the maximum, average and median durations (in seconds) for each RA in a sequence.

Table 3-5: Total duration of RA sequences

| Total duration [s] | Number | Percentage |
|--------------------|--------|------------|
| 4                  | 60     | 4.8%       |
| 8                  | 100    | 8.1%       |
| 12                 | 121    | 9.7%       |
| 16                 | 121    | 9.7%       |
| 20                 | 132    | 10.6%      |
| 24                 | 150    | 12.1%      |
| 28                 | 118    | 9.5%       |
| 32                 | 132    | 10.6%      |
| 36                 | 135    | 10.9%      |

| Total duration [s] | Number | Percentage |
|--------------------|--------|------------|
| 40                 | 129    | 10.4%      |
| >41                | 44     | 3.5%       |

Table 3-6: Maximum, Average and Median values for total RA sequence duration

| Value   | Seconds |
|---------|---------|
| Max     | 316     |
| Average | 25      |
| Median  | 24      |

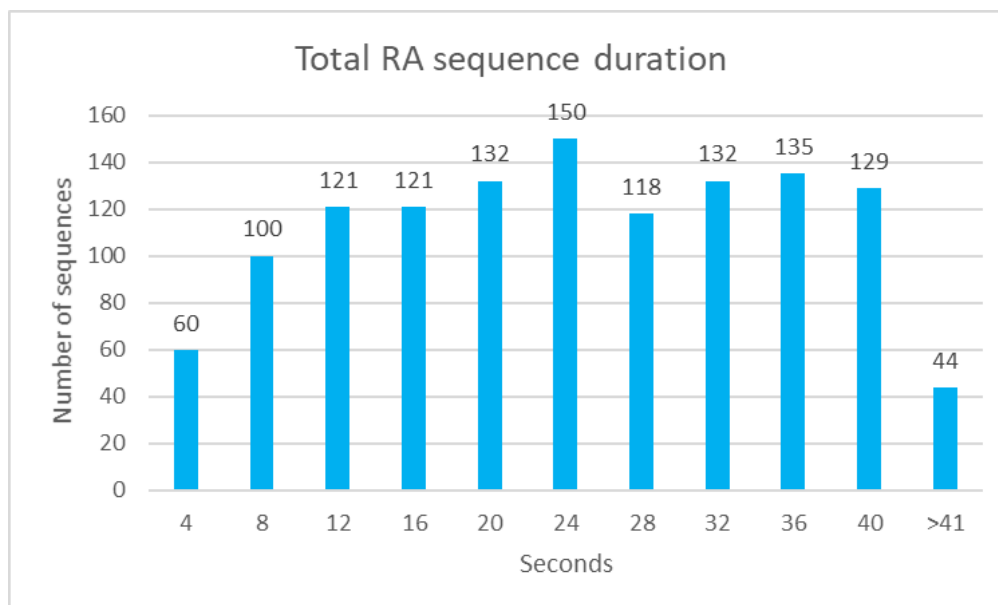


Figure 3-1: Total RA duration distribution

The figures below (Figure 3-2 through Figure 3-9) illustrate the distributions of duration of the whole RA sequence (for sequences that were recorded at least 30 times).

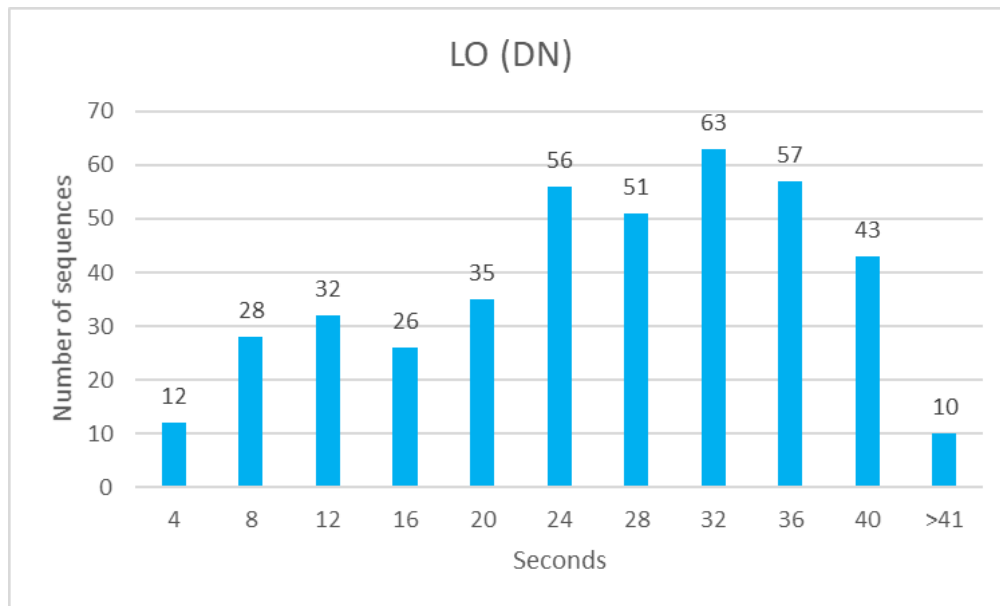


Figure 3-2: LO (DN) sequence duration distribution

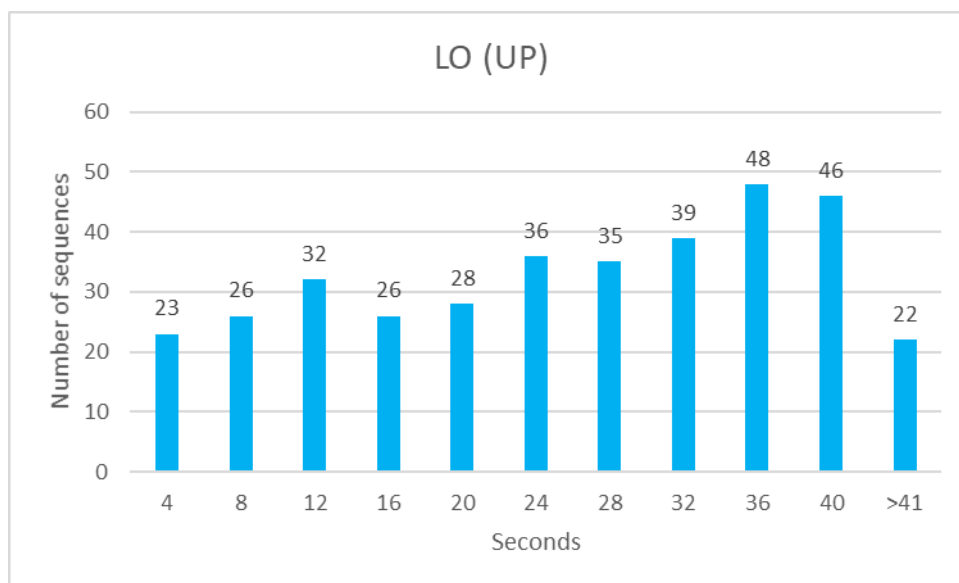


Figure 3-3: LO (UP) sequence duration distribution

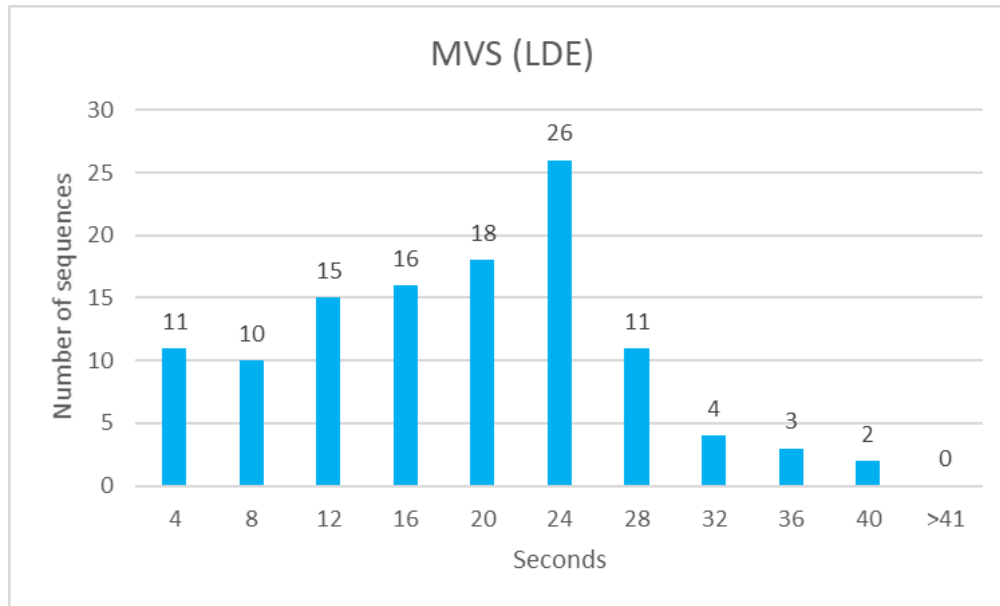


Figure 3-4: MVS (LDE) sequence duration distribution

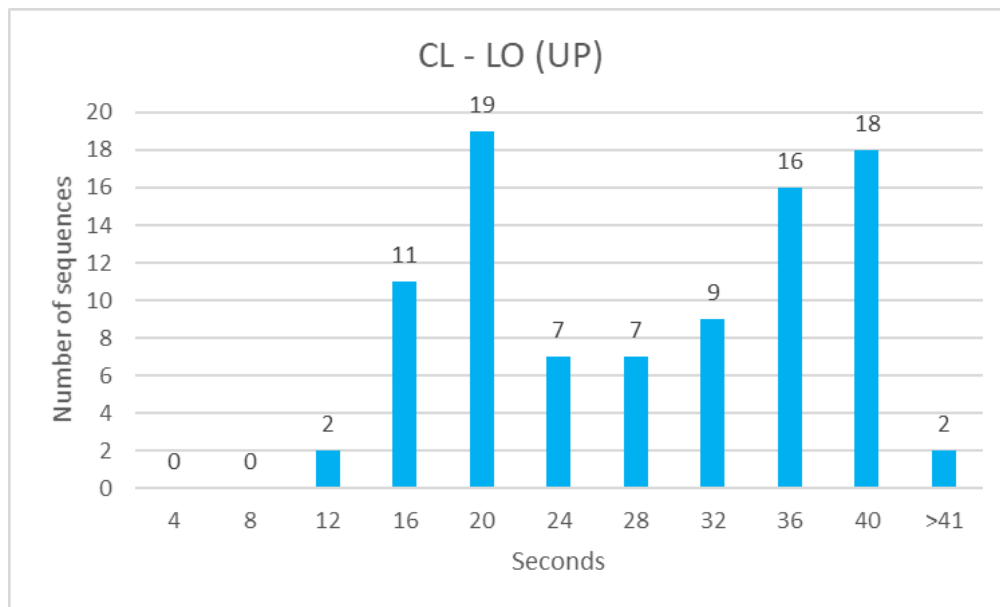


Figure 3-5: CL – LO (UP) sequence duration distribution

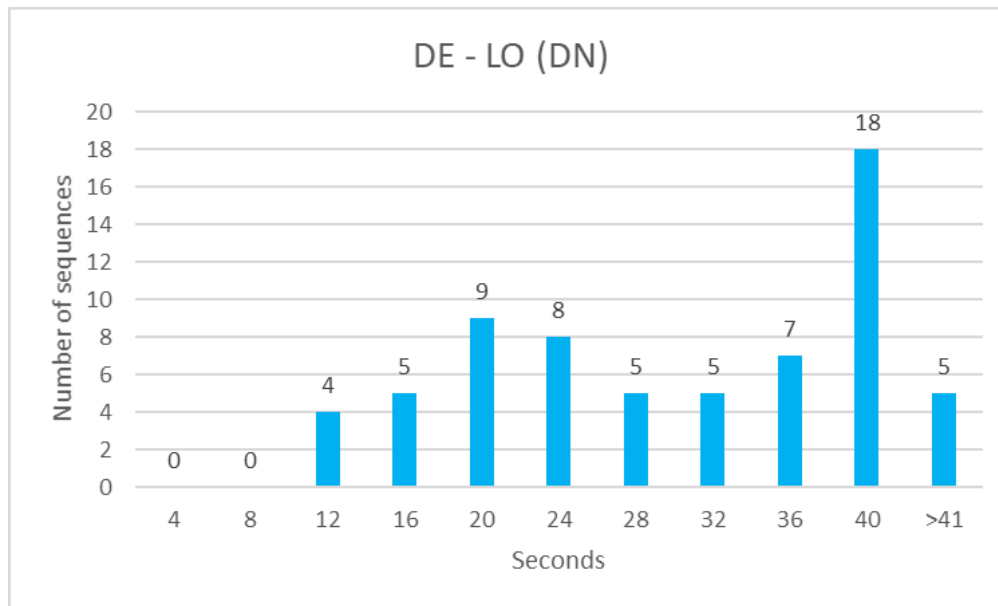


Figure 3-6: DE – LO (DN) sequence duration distribution

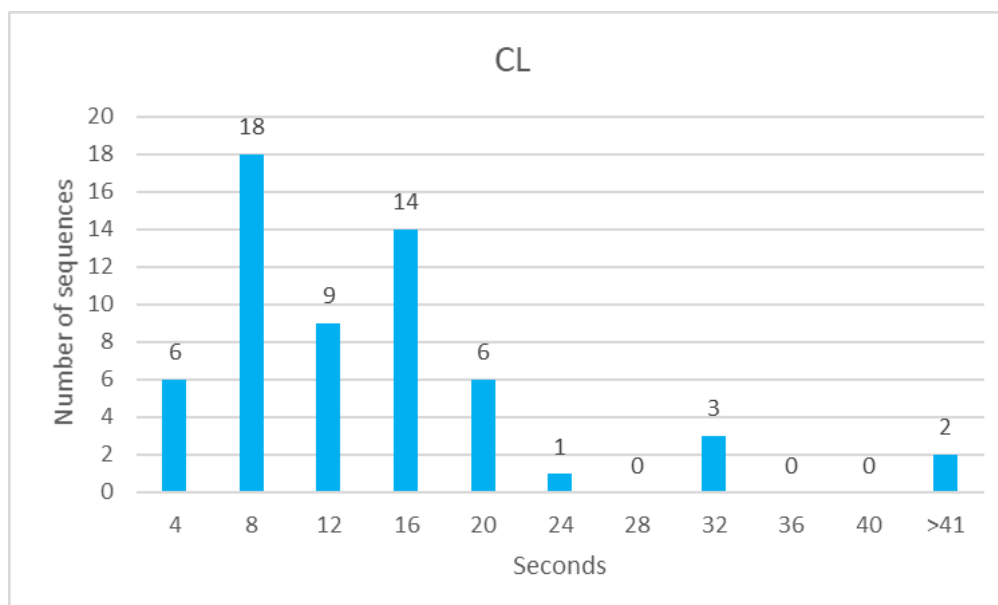


Figure 3-7: CL sequence duration distribution

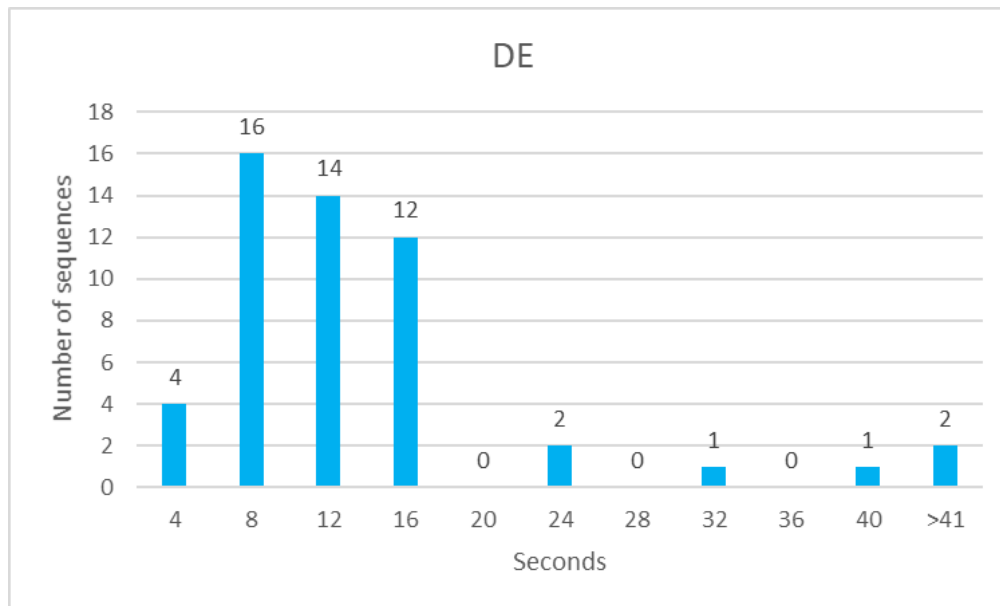


Figure 3-8: DE sequence duration distribution

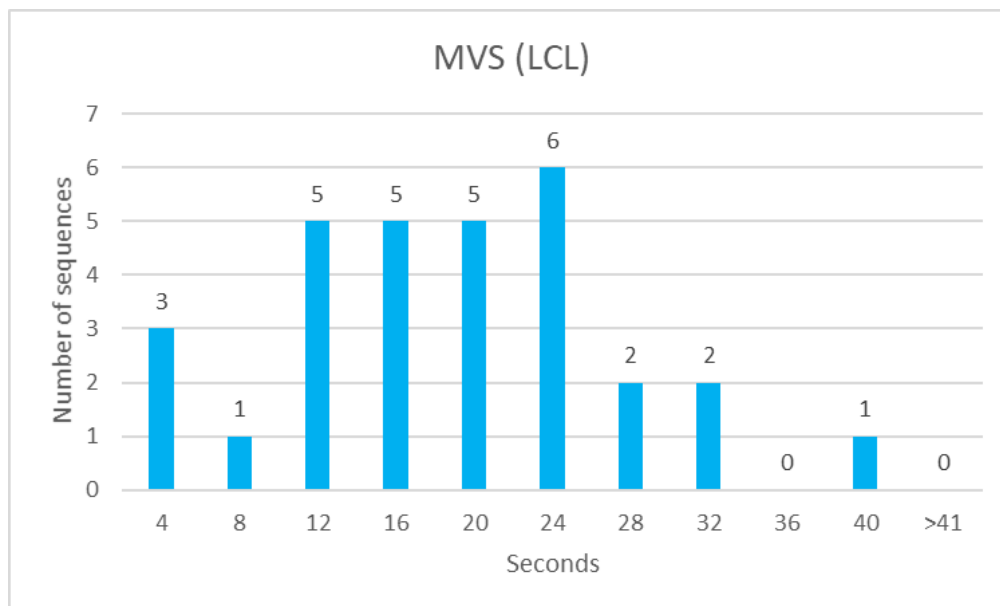


Figure 3-9: MVS (LCL) sequence duration distribution

Table 3-7: Duration of each RA in a sequence

| 1 <sup>st</sup> RA | 2 <sup>nd</sup> RA | 3 <sup>rd</sup> RA | 4 <sup>th</sup> RA | Number | 1 <sup>st</sup> RA<br>Duration<br>Max<br>[s] | 1 <sup>st</sup> RA<br>Duration<br>Average<br>[s] | 1 <sup>st</sup> RA<br>Duration<br>Median<br>[s] | 2 <sup>nd</sup> RA<br>Duration<br>Max<br>[s] | 2 <sup>nd</sup> RA<br>Duration<br>Average<br>[s] | 2 <sup>nd</sup> RA<br>Duration<br>Median<br>[s] | 3 <sup>rd</sup> RA<br>Duration<br>Max<br>[s] | 3 <sup>rd</sup> RA<br>Duration<br>Average<br>[s] | 3 <sup>rd</sup> RA<br>Duration<br>Median<br>[s] |
|--------------------|--------------------|--------------------|--------------------|--------|--|--|---|--|--|---|--|--|---|
| LO (DN)            |                    |                    |                    | 413    | 316  | 27   | 28  |  |  |   |  |  |   |
| LO (UP)            |                    |                    |                    | 361    | 204  | 28   | 28  |  |  |   |  |  |   |
| MVS (LDE)          |                    |                    |                    | 116    | 40   | 19   | 20  |  |  |   |  |  |   |
| CL                 | LO (UP)            |                    |                    | 91     | 20   | 11   | 12  | 36   | 18   | 20  |  |  |   |
| DE                 | LO (DN)            |                    |                    | 66     | 36   | 12   | 12  | 60   | 19   | 16  |  |  |   |
| CL                 |                    |                    |                    | 59     | 84   | 15   | 12  |  |  |   |  |  |   |
| DE                 |                    |                    |                    | 52     | 68   | 14   | 12  |  |  |   |  |  |   |
| MVS (LCL)          |                    |                    |                    | 30     | 40   | 19   | 20  |  |  |   |  |  |   |
| LO (UP)            | CL                 |                    |                    | 10     | 12   | 9  | 10  | 16   | 7  | 6   |  |  |   |
| MVS (LDE)          | LO (UP)            |                    |                    | 6      | 24   | 14   | 10  | 16   | 7  | 4   |  |  |   |
| LO (DN)            | DE                 | LO (DN)            |                    | 6      | 12   | 6  | 4   | 12   | 11   | 12  | 20   | 16   | 16  |
| MVS (LCL)          | LO (DN)            |                    |                    | 4      | 16   | 9  | 8   | 16   | 9  | 8   |  |  |   |
| DE                 | MaVS (CL)          |                    |                    | 3      | 20   | 9  | 4   | 8  | 5  | 4   |  |  |   |
| LO (UP)            | CL                 | LO (UP)            |                    | 3      | 8  | 8  | 8   | 12   | 9  | 8   | 16   | 12   | 12  |
| CL                 | RDE                |                    |                    | 2      | 20   | 14   | 14  | 8  | 8  | 8   |  |  |   |
| XDE                | LO (DN)            |                    |                    | 2      | 16   | 14   | 14  | 8  | 6  | 6   |  |  |   |
| MVS (LCL)          | DE                 |                    |                    | 2      | 20   | 18   | 18  | 20   | 12   | 12  |  |  |   |
| LO (DN)            | DE                 |                    |                    | 2      | 16   | 12   | 12  | 12   | 10   | 10  |  |  |   |
| DE                 | MaVS (CL)          | LO (UP)            |                    | 1      | 8  | 8  | 8   | 12   | 12   | 12  | 4  | 4  | 4   |
| CL                 | ICL                |                    |                    | 1      | 8  | 8  | 8   | 12   | 12   | 12  |  |  |   |
| CL                 | LO (UP)            | CL                 |                    | 1      | 8  | 8  | 8   | 4  | 4  | 4   | 12   | 12   | 12  |



| 1 <sup>st</sup> RA | 2 <sup>nd</sup> RA | 3 <sup>rd</sup> RA | 4 <sup>th</sup> RA | Number | 1 <sup>st</sup> RA Duration Max [s] | 1 <sup>st</sup> RA Duration Average [s] | 1 <sup>st</sup> RA Duration Median [s] | 2 <sup>nd</sup> RA Duration Max [s] | 2 <sup>nd</sup> RA Duration Average [s] | 2 <sup>nd</sup> RA Duration Median [s] | 3 <sup>rd</sup> RA Duration Max [s] | 3 <sup>rd</sup> RA Duration Average [s] | 3 <sup>rd</sup> RA Duration Median [s] |
|--------------------|--------------------|--------------------|--------------------|--------|-------------------------------------|---|--|-------------------------------------|---|--|-------------------------------------|---|--|
| CL                 | RDE                | LO (DN)            |                    | 1      | 4                                   | 4                                       | 4                                      | 12                                  | 12                                      | 12                                     | 4                                   | 4                                       | 4                                      |
| XCL                |                    |                    |                    | 1      | 4                                   | 4                                       | 4                                      |                                     |   |  |                                     |   |  |
| DE                 | IDE                | RCL                | LO (UP)            | 1      | 4                                   | 4                                       | 4                                      | 4                                   | 4                                       | 4                                      | 4                                   | 4                                       | 4*)                                    |
| DE                 | RCL                |                    |                    | 1      | 56                                  | 56                                      | 56                                     | 12                                  | 12                                      | 12                                     |                                     |   |  |
| DE                 | RCL                | LO (UP)            |                    | 1      | 16                                  | 16                                      | 16                                     | 8                                   | 8                                       | 8                                      | 4                                   | 4                                       | 4                                      |
| MVS (LDE)          | CL                 |                    |                    | 1      | 28                                  | 28                                      | 28                                     | 4                                   | 4                                       | 4                                      |                                     |   |  |
| MVS (LDE)          | CL                 | LO (UP)            |                    | 1      | 8                                   | 8                                       | 8                                      | 8                                   | 8                                       | 8                                      | 16                                  | 16                                      | 16                                     |
| LO (UP)            | CL                 | MaVS (DE)          |                    | 1      | 12                                  | 12                                      | 12                                     | 12                                  | 12                                      | 12                                     | 4                                   | 4                                       | 4                                      |
| MaVS (CL)          | LO (UP)            |                    |                    | 1      | 8                                   | 8                                       | 8                                      | 12                                  | 12                                      | 12                                     |                                     |   |  |
| MaVS (DE)          |                    |                    |                    | 1      | 32                                  | 32                                      | 32                                     |                                     |   |  |                                     |   |  |
| MaVS (DE)          | LO (DN)            |                    |                    | 1      | 8                                   | 8                                       | 8                                      | 4                                   | 4                                       | 4                                      |                                     |   |  |

\*) For 4<sup>th</sup> RA in the DE – IDE– RCL – LO (UP) sequence, the values were 4 seconds for Maximum Duration, Average and Median.

### 3.5 Distribution of altitudes of first RAs in each sequence

The figures below (Figure 3-10 through Figure 3-18) illustrate the distributions of altitude at the time of the first RA, firstly for all RA sequence types, and then for each individual RA sequence type (for sequences that were recorded at least 30 times).

Table 3-8 on page 29 shows the distribution of RA sequences in altitude layers, as well as the minimum and maximum recorded altitudes for each sequence type. The total distribution (for all first RAs) is also shown.

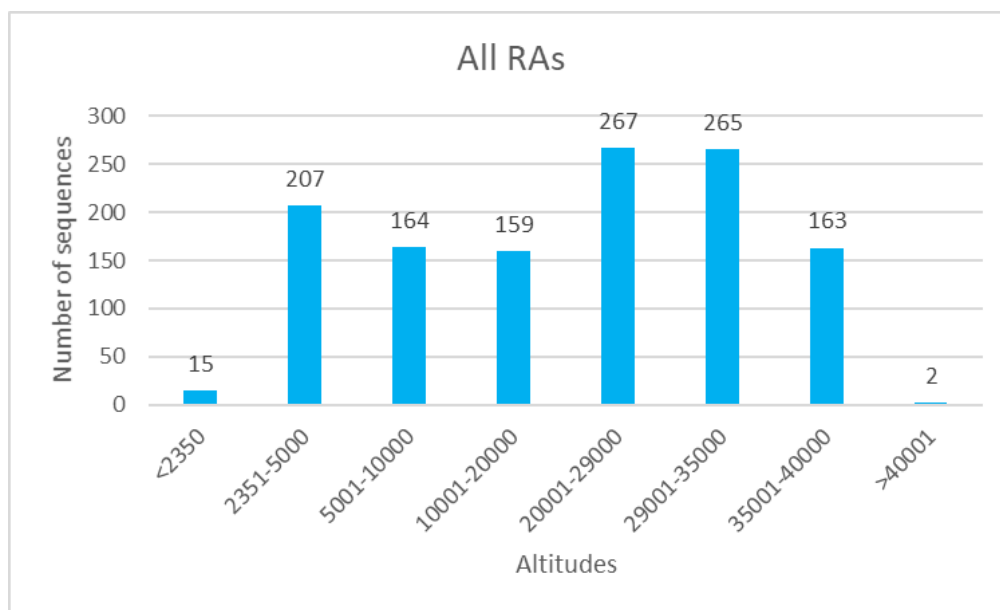


Figure 3-10: First RA altitude distribution for all RA sequence types.

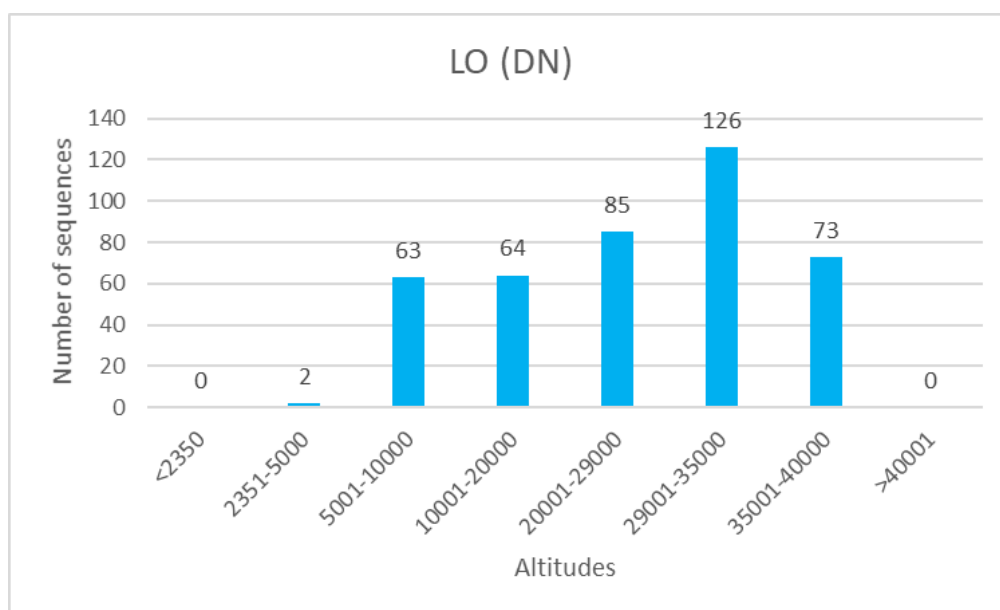


Figure 3-11: First RA altitude distribution for LO (DN) RA sequence type.

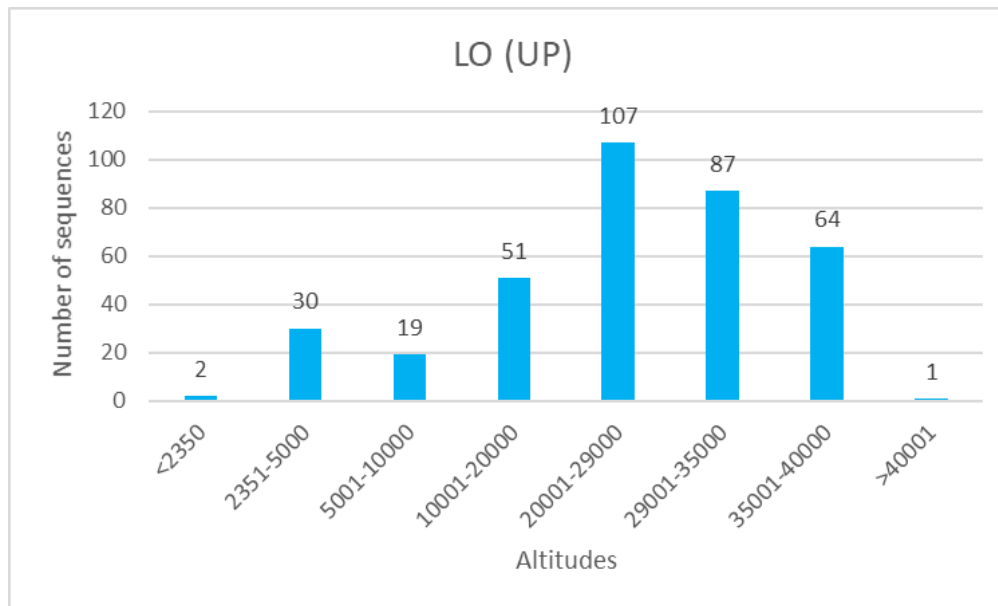


Figure 3-12: First RA altitude distribution for LO (UP) RA sequence type.

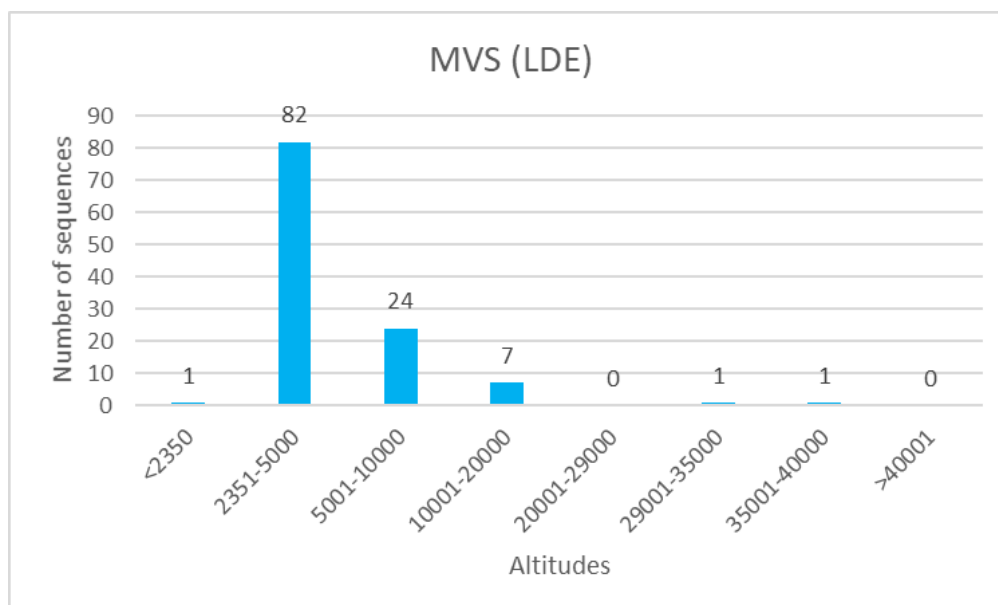


Figure 3-13: First RA altitude distribution for MVS (LDE) RA sequence type.

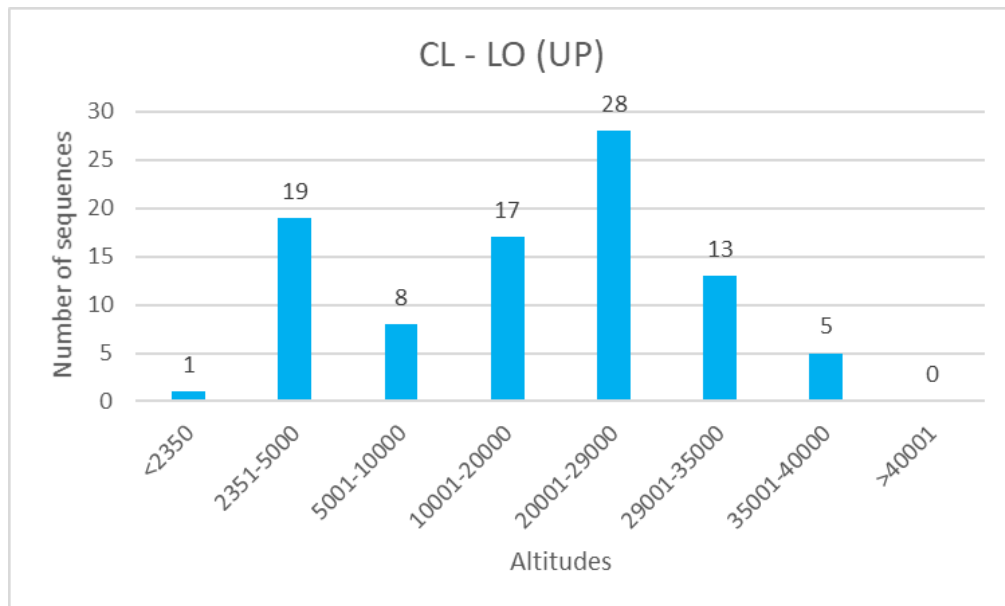


Figure 3-14: First RA altitude distribution for CL – LO (UP) RA sequence type.

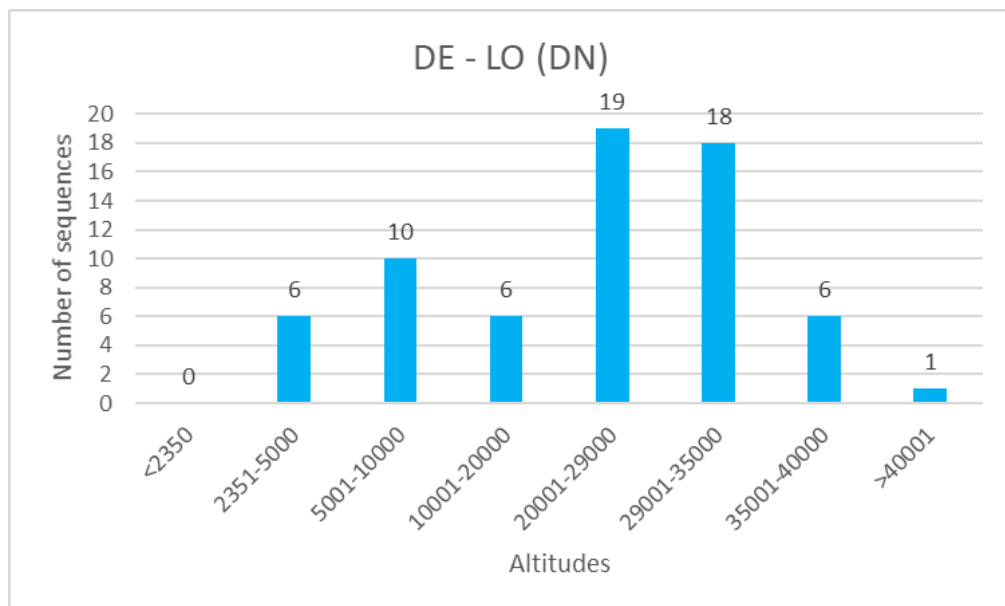


Figure 3-15: First RA altitude distribution for DE – LO (DN) RA sequence type.

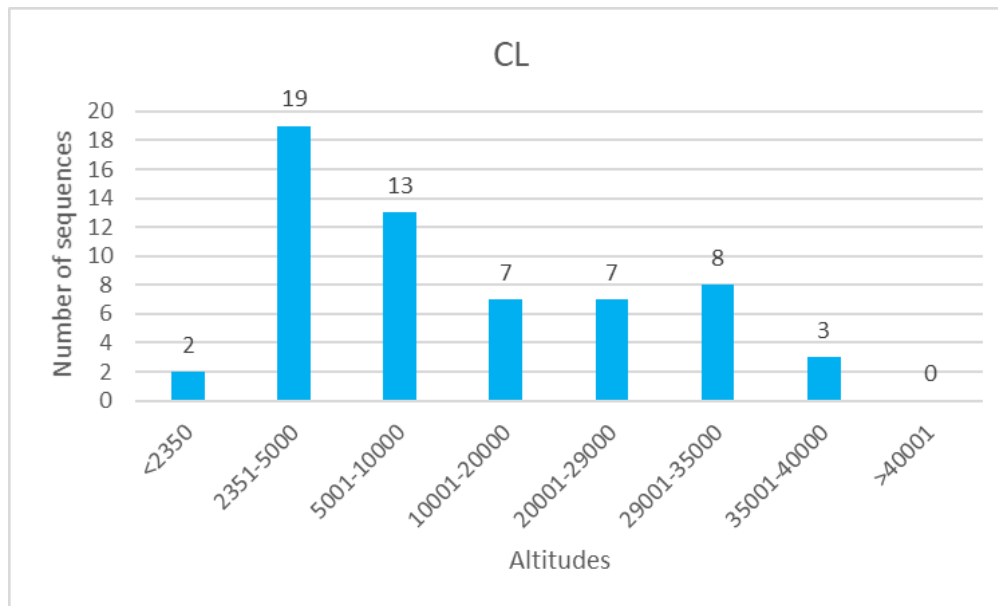


Figure 3-16: First RA altitude distribution for CL RA sequence type.

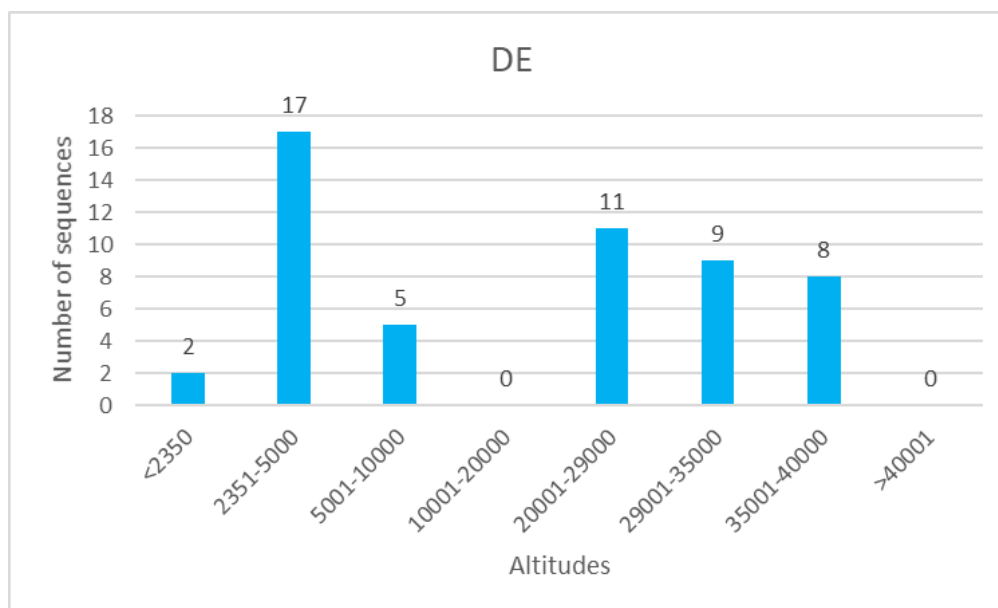


Figure 3-17: First RA altitude distribution for DE RA sequence type.

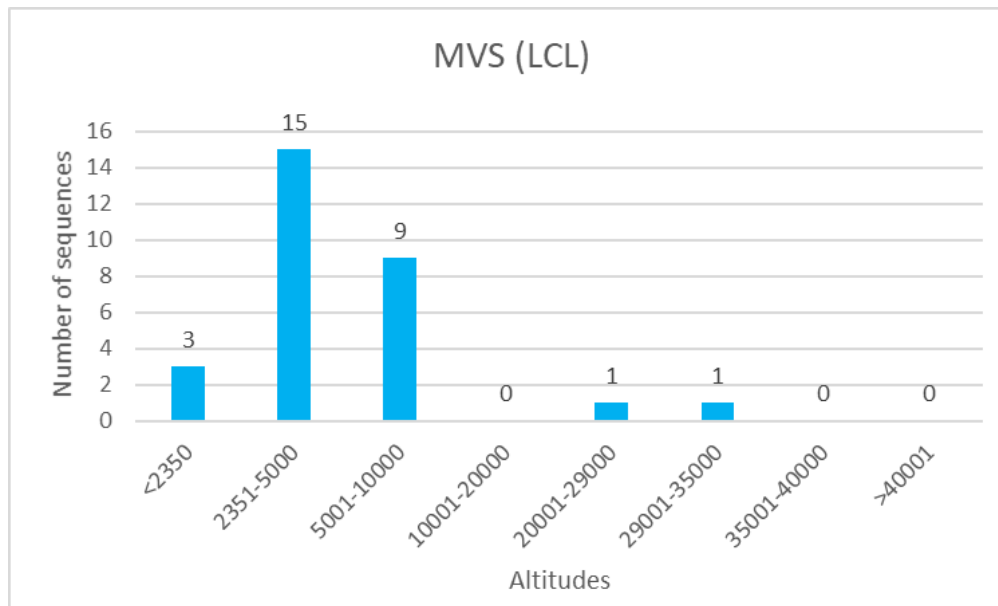


Figure 3-18: First RA altitude distribution for MVS (LCL) RA sequence type.

Table 3-8: Distribution of altitudes (in feet) of first RAs in each sequence type

| RA sequence          | <2350 | 2351-5000 | 5001-10000 | 10001-20000 | 20001-29000 | 29001-35000 | 35001-40000 | >40001 | Min. Alt. | Max. Alt. |
|----------------------|-------|-----------|------------|-------------|-------------|-------------|-------------|--------|-----------|-----------|
| LO (DN)              | 0     | 2         | 63         | 64          | 85          | 126         | 73          | 0      | 2375      | 40000     |
| LO (UP)              | 2     | 30        | 19         | 51          | 107         | 87          | 64          | 1      | 1975      | 41000     |
| MVS (LDE)            | 1     | 82        | 24         | 7           | 0           | 1           | 1           | 0      | 2100      | 37000     |
| CL-LO (UP)           | 1     | 19        | 8          | 17          | 28          | 13          | 5           | 0      | 2175      | 37975     |
| DE-LO (DN)           | 0     | 6         | 10         | 6           | 19          | 18          | 6           | 1      | 2700      | 40025     |
| CL                   | 2     | 19        | 13         | 7           | 7           | 8           | 3           | 0      | 1925      | 38000     |
| DE                   | 2     | 17        | 5          | 0           | 11          | 9           | 8           | 0      | 2200      | 39000     |
| MVS (LCL)            | 4     | 15        | 9          | 0           | 1           | 1           | 0           | 0      | 2200      | 33975     |
| LO (UP)-CL           | 0     | 1         | 3          | 4           | 2           | 0           | 0           | 0      | 3400      | 25025     |
| LO (DN)-DE-LO (DN)   | 0     | 0         | 0          | 1           | 2           | 2           | 1           | 0      | 19975     | 35275     |
| MVS (LDE)-LO (UP)    | 1     | 4         | 0          | 0           | 1           | 0           | 0           | 0      | 2175      | 21075     |
| MVS (LCL)-LO (DN)    | 1     | 2         | 1          | 0           | 0           | 0           | 0           | 0      | 2075      | 10000     |
| LO (UP)-CL-LO (DELD) | 0     | 1         | 0          | 0           | 2           | 0           | 0           | 0      | 4525      | 29000     |
| DE-MaVS (CL)         | 0     | 2         | 1          | 0           | 0           | 0           | 0           | 0      | 2425      | 5225      |
| MVS (LCL)-DE         | 0     | 1         | 1          | 0           | 0           | 0           | 0           | 0      | 4000      | 8000      |
| LO (DN)-DE           | 0     | 0         | 1          | 0           | 1           | 0           | 0           | 0      | 5400      | 22875     |
| XDE-LO (DN)          | 1     | 0         | 0          | 0           | 0           | 0           | 1           | 0      | 2200      | 38550     |
| CL-RDE               | 0     | 0         | 2          | 0           | 0           | 0           | 0           | 0      | 6750      | 8925      |
| CL-LO (UP)-CL        | 0     | 0         | 0          | 0           | 1           | 0           | 0           | 0      | 22000     | 22000     |
| MVS (LDE)-CL-LO (UP) | 0     | 1         | 0          | 0           | 0           | 0           | 0           | 0      | 3950      | 3950      |
| DE-MaVS (CL)-LO (UP) | 0     | 0         | 1          | 0           | 0           | 0           | 0           | 0      | 5400      | 5400      |
| DE-RCL-LO (UP)       | 0     | 0         | 1          | 0           | 0           | 0           | 0           | 0      | 6000      | 6000      |
| CL-RDE-LO (DN)       | 0     | 1         | 0          | 0           | 0           | 0           | 0           | 0      | 2900      | 2900      |

| RA sequence             | <2350     | 2351-5000  | 5001-10000 | 10001-20000 | 20001-29000 | 29001-35000 | 35001-40000 | >40001   | Min. Alt.   | Max. Alt.    |
|-------------------------|-----------|------------|------------|-------------|-------------|-------------|-------------|----------|-------------|--------------|
| LO (UP)-CL-MaVS (DE)    | 0         | 1          | 0          | 0           | 0           | 0           | 0           | 0        | 3300        | 3300         |
| DE-IDE-RCL-LO (UP)      | 0         | 0          | 1          | 0           | 0           | 0           | 0           | 0        | 10000       | 10000        |
| MVS (LDE)-CL            | 0         | 0          | 0          | 1           | 0           | 0           | 0           | 0        | 12000       | 12000        |
| CL- ICL                 | 0         | 0          | 1          | 0           | 0           | 0           | 0           | 0        | 10000       | 10000        |
| MaVS (CL)-LO (UP)       | 0         | 0          | 0          | 1           | 0           | 0           | 0           | 0        | 15575       | 15575        |
| MaVS (DE)-LO (DN)       | 0         | 1          | 0          | 0           | 0           | 0           | 0           | 0        | 2800        | 2800         |
| DE-RCL                  | 0         | 0          | 0          | 0           | 0           | 0           | 1           | 0        | 39000       | 39000        |
| XCL                     | 0         | 1          | 0          | 0           | 0           | 0           | 0           | 0        | 4400        | 4400         |
| MaVS (DE)               | 0         | 1          | 0          | 0           | 0           | 0           | 0           | 0        | 4800        | 4800         |
| <b>Total (all RAs):</b> | <b>15</b> | <b>207</b> | <b>164</b> | <b>159</b>  | <b>267</b>  | <b>265</b>  | <b>163</b>  | <b>2</b> | <b>1925</b> | <b>41000</b> |



### 3.6 Coordinated and uncoordinated encounters and intruder equipage

RAs can be generated only against intruders equipped with an altitude reporting transponder (Mode A/C or Mode S). If the intruder is also equipped with TCAS II and considers the own aircraft as a threat, RAs will be coordinated via a Mode S datalink. Table 3-9 below shows the number of coordinated and uncoordinated encounters and encounters by the intruder equipage.

There were no multi-threat<sup>14</sup> encounters recorded.

Table 3-9: Uncoordinated and coordinated encounters

|  |      |
|--|------|
| Encounters with valid RA                       | 1072 |
| Uncoordinated encounters                       | 902  |
| Coordinated encounters                         | 170  |
| Encounters against Mode S equipped intruders   | 1031 |
| Encounters against Mode A/C equipped intruders | 41   |
| Multi-threat encounters                        | 0    |

### 3.7 Coordinated encounters: own RA vs. intruder's RA

Table 3-10 below shows the relationship between own and intruder's 1<sup>st</sup> RAs for the 170 coordinated encounters.

Table 3-10: Own 1<sup>st</sup> RA vs. intruder's 1<sup>st</sup> RA

|                                 |           | Intruder's 1 <sup>st</sup> RA |    |         |         |           |           |
|---------------------------------|-----------|-------------------------------|----|---------|---------|-----------|-----------|
|                                 |           | CL                            | DE | LO (UP) | LO (DN) | MVS (LDE) | MVS (LCL) |
| Own aircraft 1 <sup>st</sup> RA | CL        | –                             | 9  | –       | 20      | –         | –         |
|                                 | DE        | 15                            | –  | 18      | –       | –         | –         |
|                                 | LO (UP)   | –                             | 14 | –       | 32      | –         | 2         |
|                                 | LO (DN)   | 23                            | –  | 30      | –       | 2         | –         |
|                                 | MVS (LDE) | –                             | –  | –       | 2       | 1         | 1         |
|                                 | MVS (LCL) | –                             | –  | 1       | –       | –         | –         |

### 3.8 Coordinated encounters: altitude distribution

Table 3-11 and Figure 3-19 below show the distribution of altitudes in coordinated encounters.

<sup>14</sup> Encounter where the own aircraft receives RAs against two or more threats simultaneously.

Table 3-11: Altitude distribution in coordinated encounters

| Altitude [ft] | Number     | Percentage    |
|---------------|------------|---------------|
| <2350         | 0          | 0.0%          |
| 2351 – 5000   | 7          | 4.1%          |
| 5001 – 10000  | 20         | 11.8%         |
| 10001 – 20000 | 41         | 24.1%         |
| 20001 – 29000 | 47         | 27.6%         |
| 29001 – 35000 | 40         | 23.5%         |
| 35001 – 40000 | 14         | 8.2%          |
| >40001        | 1          | 0.6%          |
| <b>Total:</b> | <b>170</b> | <b>100.0%</b> |

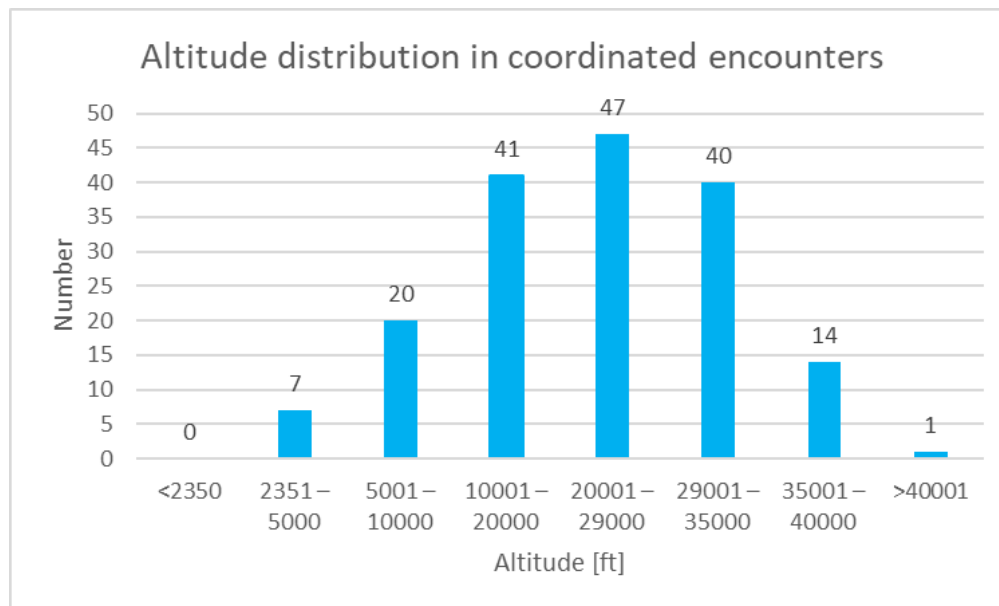


Figure 3-19: Altitude distribution in coordinated encounters

### 3.9 Encounters against Mode A/C intruders: own aircraft 1<sup>st</sup> RA

Table 3-12 below lists the 1<sup>st</sup> RA generated in all the 41 encounters against Mode A/C intruders.

Table 3-12: Own aircraft RA against Mode A/C intruders

| Own 1 <sup>st</sup> RA | Number |
|------------------------|--------|
| CL                     | 8      |
| DE                     | 7      |
| LO (UP)                | 11     |
| LO (DN)                | 3      |
| MVS (LDE)              | 7      |
| MVS (LCL)              | 5      |

### 3.10 Uncoordinated encounters: altitude distribution

Table 3-13 and Figure 3-20 below shows the distribution of altitudes in uncoordinated (i.e. encounters against Mode A/C intruders).

Table 3-13: Altitude distribution in encounters against Mode A/C intruders

| Altitude [ft] | Number    | Percentage    |
|---------------|-----------|---------------|
| <2350         | 6         | 14.6%         |
| 2351 – 5000   | 12        | 29.3%         |
| 5001 – 10000  | 9         | 22.0%         |
| 10001 – 20000 | 12        | 29.3%         |
| 20001 – 29000 | 1         | 2.4%          |
| 29001 – 35000 | 0         | 0.0%          |
| 35001 – 40000 | 1         | 2.4%          |
| >40001        | 0         | 0.0%          |
| <b>Total:</b> | <b>41</b> | <b>100.0%</b> |

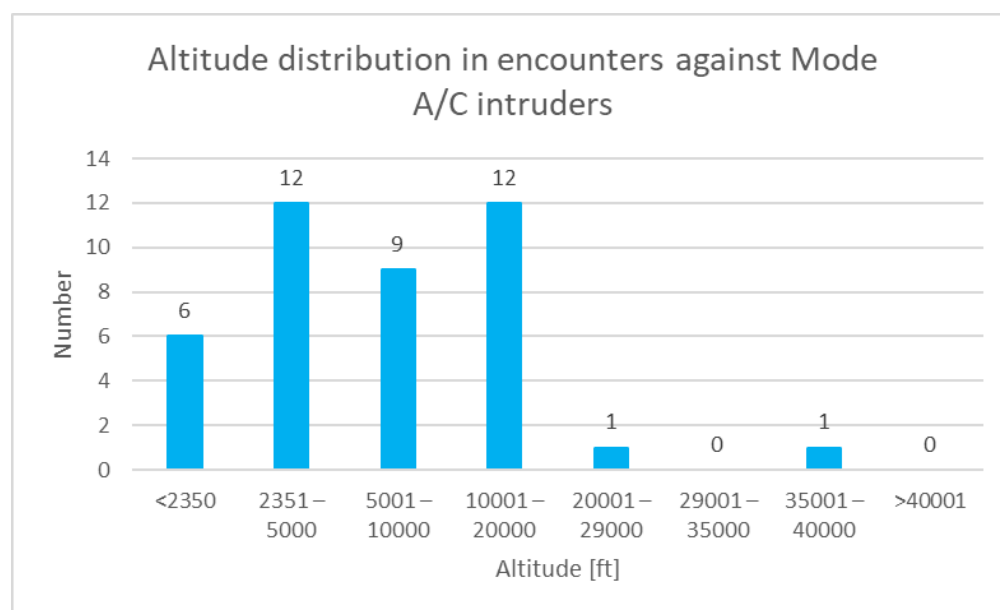


Figure 3-20: Altitude distribution in encounters against Mode A/C intruders

### 3.11 Encounter distribution by time of day

Table 3-14 and Figure 3-21 below show the distribution of encounters by time of day (in 2-hour intervals). The time is UTC (Coordinated Universal Time) – the local time at the data collection locations was UTC+2 and UTC+1 (in summer and winter respectively).

Table 3-14: Encounter distribution by time of day

| Time from     | Time to  | Encounters  | Percentage    |
|---------------|----------|-------------|---------------|
| 00:00:01      | 02:00:00 | 2           | 0.2%          |
| 02:00:01      | 04:00:00 | 2           | 0.2%          |
| 04:00:01      | 06:00:00 | 40          | 3.7%          |
| 06:00:01      | 08:00:00 | 109         | 10.2%         |
| 08:00:01      | 10:00:00 | 187         | 17.4%         |
| 10:00:01      | 12:00:00 | 152         | 14.2%         |
| 12:00:01      | 14:00:00 | 176         | 16.4%         |
| 14:00:01      | 16:00:00 | 141         | 13.2%         |
| 16:00:01      | 18:00:00 | 146         | 13.6%         |
| 18:00:01      | 20:00:00 | 72          | 6.7%          |
| 20:00:01      | 22:00:00 | 40          | 3.7%          |
| 22:00:01      | 00:00:00 | 5           | 0.5%          |
| <b>Total:</b> |          | <b>1072</b> | <b>100.0%</b> |

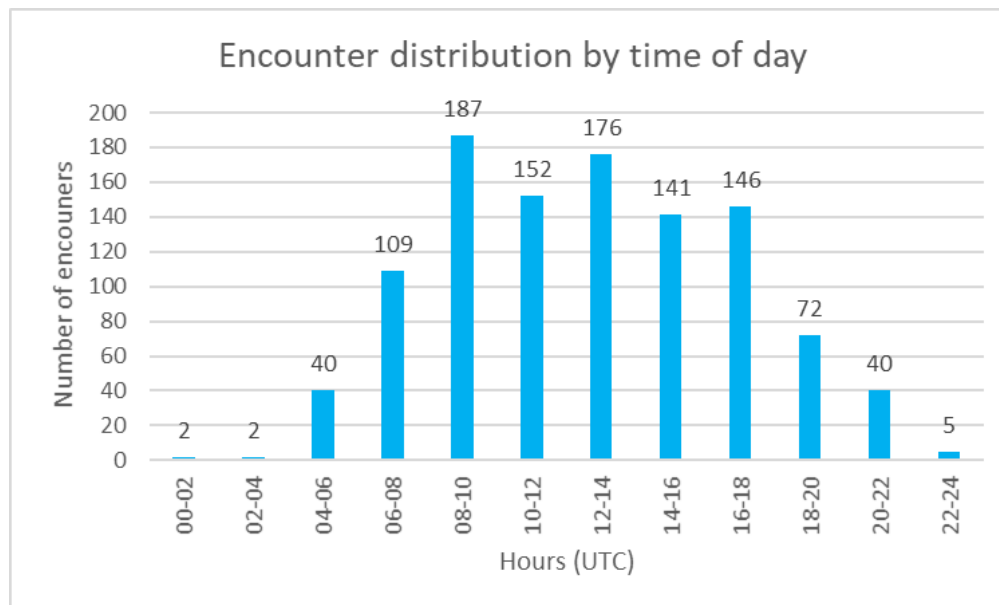


Figure 3-21: Encounter distribution by time of day

### 3.12 Encounter distribution by day of week

Table 3-15 and Figure 3-22 below show the distribution of encounters by day of week.

Table 3-15: Encounter distribution by day of week

| Day of week   | Number of encounters | Percentage    |
|---------------|----------------------|---------------|
| Monday        | 141                  | 13.2%         |
| Tuesday       | 147                  | 13.7%         |
| Wednesday     | 172                  | 16.0%         |
| Thursday      | 188                  | 17.5%         |
| Friday        | 180                  | 16.8%         |
| Saturday      | 119                  | 11.1%         |
| Sunday        | 125                  | 11.7%         |
| <b>Total:</b> | <b>1072</b>          | <b>100.0%</b> |

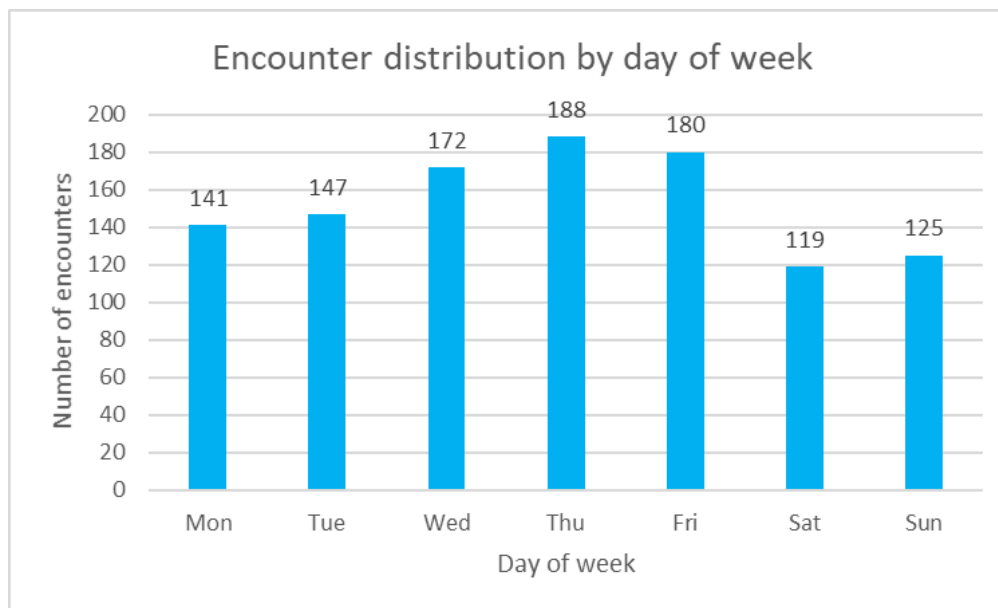


Figure 3-22: Encounter distribution by day of week

### 3.13 Encounter distribution by month

Table 3-16 and Figure 3-23 below show the distribution of encounters by month.

Table 3-16: Encounter distribution by month

| Month         | Number of encounters | Percentage    |
|---------------|----------------------|---------------|
| January       | 51                   | 4.8%          |
| February      | 67                   | 6.3%          |
| March         | 79                   | 7.4%          |
| April         | 106                  | 9.9%          |
| May           | 112                  | 10.4%         |
| June          | 106                  | 9.9%          |
| July          | 140                  | 13.1%         |
| August        | 111                  | 10.4%         |
| September     | 112                  | 10.4%         |
| October       | 78                   | 7.3%          |
| November      | 56                   | 5.2%          |
| December      | 54                   | 5.0%          |
| <b>Total:</b> | <b>1072</b>          | <b>100.0%</b> |

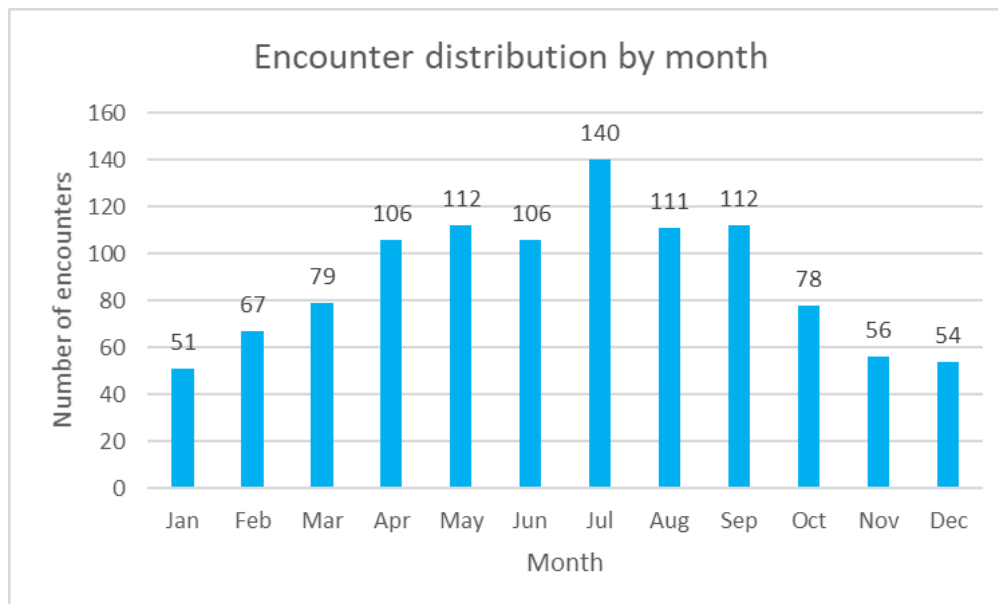


Figure 3-23: Encounter distribution by month

### 3.14 1<sup>st</sup> RA recorded by type

There were 1242 first RAs. The “first RA” is the initial RA generated in an encounter. Table 3-17 below shows the numbers of first RA received for each RA type.

Table 3-17: 1<sup>st</sup> RA recorded by type

| 1 <sup>st</sup> RA | Number      | Percentage    |
|--------------------|-------------|---------------|
| LO (DN)            | 421         | 33.8%         |
| LO (UP)            | 375         | 30.2%         |
| CL                 | 155         | 12.5%         |
| DE                 | 125         | 10.1%         |
| MVS (LDE)          | 124         | 10.0%         |
| MVS (LCL)          | 36          | 2.9%          |
| XDE                | 2           | 0.2%          |
| MaVS (DE)          | 2           | 0.2%          |
| XCL                | 1           | 0.1%          |
| MaVS (CL)          | 1           | 0.1%          |
| <b>Total:</b>      | <b>1242</b> | <b>100.0%</b> |

### 3.15 2<sup>nd</sup> RA recorded by type

There were 209 second RAs. Table 3-18 below shows the numbers of second RAs issued for each RA type.

Table 3-18: 2<sup>nd</sup> RA recorded by type

| 2 <sup>nd</sup> RA | Number     | Percentage    |
|--------------------|------------|---------------|
| LO (UP)            | 99         | 47.4%         |
| LO (DN)            | 73         | 34.9%         |
| CL                 | 16         | 7.7%          |
| DE                 | 10         | 4.8%          |
| MaVS (CL)          | 4          | 1.9%          |
| RDE                | 3          | 1.4%          |
| RCL                | 2          | 1.0%          |
| ICL                | 1          | 0.5%          |
| IDE                | 1          | 0.5%          |
| <b>Total:</b>      | <b>209</b> | <b>100.0%</b> |

### 3.16 3<sup>rd</sup> RA recorded by type

There were 16 third RAs. Table 3-19 below shows the numbers of third RAs received for each RA type.

Table 3-19: 3<sup>rd</sup> RA recorded by type

| 3 <sup>rd</sup> RA | Number    | Percentage    |
|--------------------|-----------|---------------|
| LO (DN)            | 7         | 43.8%         |
| LO (UP)            | 6         | 37.5%         |
| MaVS (DE)          | 1         | 6.3%          |
| CL                 | 1         | 6.3%          |
| RCL                | 1         | 6.3%          |
| <b>Total:</b>      | <b>16</b> | <b>100.0%</b> |

### 3.17 4<sup>th</sup> RA recorded by type

There was only one fourth RA recorded. It was a LO (UP) RA.

### 3.18 Duration of individual 1<sup>st</sup> RAs

The duration of individual 1<sup>st</sup> RAs are shown in Table 3-20 on page 39. Although the values presented in Table 3-20 can also be derived from Table 3-5 (Total duration of RA sequences) on page 16, shows the values for individual RAs rather than sequences is provided for the Reader's convenience.

### 3.19 Vertical Separation & Horizontal Separation at 1<sup>st</sup> RA

Table 3-21 on page 40 shows the values of vertical separation and horizontal separation at the time of 1<sup>st</sup> RA. The data is presented for all 1242 1<sup>st</sup> RAs, as in coordinated encounters the RAs are not necessarily generated at the same time.

### 3.20 Vertical Miss Distance & Horizontal Miss Distance at Closest Point of Approach

Table 3-22 on page 41 shows the values of Vertical Miss Distance (VMD) and Horizontal Miss Distance (HMD) at the time of Closest Point of Approach (CPA) for all 1072 encounters.



Table 3-20: Duration of individual 1<sup>st</sup> RAs

| 1st RA    | Duration [seconds] |     |      |       |       |       |       |       |       |       |       |       |       |       |       |     |
|-----------|--------------------|-----|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-----|
|           | <=4                | 5-8 | 9-12 | 13-16 | 17-20 | 21-24 | 25-28 | 29-32 | 33-36 | 37-40 | 41-44 | 45-48 | 49-52 | 53-56 | 57-60 | >61 |
| CL        | 34                 | 55  | 41   | 17    | 5     | 1     | 0     | 1     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 1   |
| DE        | 27                 | 33  | 39   | 18    | 3     | 1     | 1     | 0     | 1     | 1     | 0     | 0     | 0     | 0     | 0     | 1   |
| XCL       | 1                  | 0   | 0    | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0   |
| XDE       | 0                  | 2   | 0    | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0   |
| LO (UP)   | 58                 | 36  | 35   | 24    | 24    | 30    | 31    | 36    | 45    | 39    | 7     | 0     | 1     | 0     | 1     | 8   |
| LO (DN)   | 39                 | 38  | 31   | 26    | 30    | 54    | 47    | 57    | 53    | 38    | 5     | 1     | 0     | 0     | 0     | 2   |
| MaVS (CL) | 1                  | 0   | 0    | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0   |
| MaVS (DE) | 1                  | 0   | 0    | 0     | 0     | 0     | 0     | 1     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0   |
| MVS (LDE) | 52                 | 14  | 9    | 10    | 10    | 16    | 6     | 3     | 2     | 2     | 0     | 0     | 0     | 0     | 0     | 0   |
| MVS (LCL) | 11                 | 4   | 5    | 5     | 3     | 6     | 1     | 1     | 0     | 0     | 0     | 0     | 0     | 0     | 0     | 0   |

Table 3-21: Vertical Separation & Horizontal Separation at 1st RA

|                          |             | Horizontal Separation [NM] |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |         |
|--------------------------|-------------|----------------------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|---------|
|                          |             | <= 0.2                     | 0.21 – 0.4 | 0.41 – 0.6 | 0.61 – 0.8 | 0.81 – 1.0 | 1.01 – 1.2 | 1.21 – 1.4 | 1.41 – 1.6 | 1.61 – 1.8 | 1.81 – 2.0 | 2.01 – 2.5 | 2.51 – 3.0 | 3.01 – 3.5 | 3.51 – 4.0 | 4.01 – 4.5 | 4.51 – 5.0 | 5.01 – 6.0 | 6.01 – 7.0 | 7.01 – 8.0 | >= 8.01 |
| Vertical Separation [ft] | <=200       | 0                          | 2          | 7          | 6          | 12         | 4          | 6          | 0          | 3          | 3          | 2          | 3          | 0          | 2          | 0          | 0          | 1          | 2          | 4          | 0       |
|                          | 201 – 400   | 0                          | 1          | 3          | 3          | 4          | 3          | 5          | 4          | 2          | 3          | 3          | 2          | 3          | 1          | 0          | 1          | 1          | 2          | 0          | 0       |
|                          | 401 – 600   | 4                          | 6          | 16         | 30         | 28         | 34         | 17         | 15         | 12         | 3          | 14         | 2          | 6          | 2          | 1          | 0          | 5          | 3          | 6          | 2       |
|                          | 601 – 800   | 3                          | 3          | 4          | 7          | 3          | 8          | 5          | 0          | 1          | 2          | 3          | 0          | 0          | 0          | 1          | 0          | 0          | 0          | 3          | 1       |
|                          | 801 – 1000  | 0                          | 0          | 2          | 1          | 0          | 1          | 2          | 6          | 4          | 2          | 4          | 5          | 0          | 2          | 7          | 2          | 6          | 2          | 2          | 2       |
|                          | 1001 – 1200 | 1                          | 1          | 2          | 2          | 8          | 6          | 11         | 8          | 8          | 9          | 18         | 24         | 15         | 14         | 12         | 10         | 20         | 19         | 19         | 8       |
|                          | 1201 – 1400 | 1                          | 0          | 0          | 1          | 1          | 1          | 5          | 6          | 2          | 3          | 14         | 17         | 15         | 17         | 11         | 13         | 24         | 26         | 17         | 5       |
|                          | 1401 – 1600 | 0                          | 0          | 3          | 3          | 3          | 4          | 0          | 1          | 2          | 3          | 7          | 3          | 11         | 6          | 10         | 6          | 24         | 22         | 14         | 6       |
|                          | 1601 – 1800 | 0                          | 1          | 1          | 2          | 3          | 0          | 1          | 3          | 1          | 2          | 2          | 8          | 9          | 7          | 4          | 8          | 14         | 13         | 7          | 0       |
|                          | 1801 – 2000 | 0                          | 0          | 1          | 0          | 0          | 0          | 1          | 1          | 3          | 0          | 7          | 8          | 9          | 15         | 6          | 12         | 5          | 8          | 9          | 4       |
|                          | 2001 – 2500 | 0                          | 0          | 0          | 2          | 2          | 0          | 4          | 4          | 0          | 2          | 2          | 11         | 12         | 8          | 6          | 4          | 29         | 8          | 7          | 3       |
|                          | 2501 – 3000 | 0                          | 2          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 2          | 5          | 0          | 3          | 1          | 3          | 2          | 5          | 4          | 1          | 2       |
|                          | 3001 – 3500 | 0                          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 2          | 0          | 1          | 0          | 0          | 0          | 1       |
|                          | 3501 – 4000 | 0                          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 2          | 1          | 0          | 0       |
|                          | >=4001      | 0                          | 0          | 0          | 0          | 0          | 2          | 0          | 0          | 0          | 0          | 0          | 0          | 1          | 0          | 0          | 0          | 1          | 0          | 1          | 1       |

Table 3-22: VMD & HMD at CPA

|          |             | HMD [NM] |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |            |         |
|----------|-------------|----------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|------------|---------|
|          |             | <= 0.2   | 0.21 – 0.4 | 0.41 – 0.6 | 0.61 – 0.8 | 0.81 – 1.0 | 1.01 – 1.2 | 1.21 – 1.4 | 1.41 – 1.6 | 1.61 – 1.8 | 1.81 – 2.0 | 2.01 – 2.5 | 2.51 – 3.0 | 3.01 – 3.5 | 3.51 – 4.0 | 4.01 – 4.5 | 4.51 – 5.0 | 5.01 – 6.0 | 6.01 – 7.0 | 7.01 – 8.0 | >= 8.01 |
| VMD [ft] | <=200       | 3        | 2          | 6          | 2          | 1          | 1          | 3          | 3          | 1          | 1          | 4          | 1          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 201 – 400   | 4        | 3          | 8          | 4          | 5          | 6          | 2          | 0          | 1          | 2          | 1          | 0          | 1          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 401 – 600   | 7        | 19         | 20         | 14         | 15         | 17         | 10         | 13         | 8          | 3          | 8          | 1          | 2          | 0          | 1          | 1          | 0          | 0          | 0          | 0       |
|          | 601 – 800   | 12       | 3          | 10         | 8          | 10         | 8          | 6          | 5          | 5          | 2          | 4          | 2          | 3          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 801 – 1000  | 10       | 12         | 8          | 7          | 11         | 8          | 7          | 6          | 3          | 2          | 6          | 3          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 1001 – 1200 | 27       | 25         | 28         | 20         | 21         | 20         | 19         | 10         | 11         | 4          | 11         | 2          | 2          | 0          | 0          | 2          | 0          | 0          | 0          | 0       |
|          | 1201 – 1400 | 16       | 32         | 15         | 18         | 15         | 15         | 13         | 2          | 7          | 8          | 6          | 3          | 0          | 0          | 1          | 0          | 0          | 0          | 0          | 0       |
|          | 1401 – 1600 | 12       | 16         | 10         | 15         | 7          | 14         | 10         | 4          | 2          | 2          | 7          | 1          | 2          | 2          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 1601 – 1800 | 8        | 12         | 11         | 8          | 10         | 4          | 8          | 5          | 4          | 1          | 3          | 0          | 0          | 0          | 0          | 1          | 0          | 0          | 0          | 0       |
|          | 1801 – 2000 | 9        | 15         | 12         | 7          | 7          | 8          | 2          | 4          | 2          | 0          | 6          | 0          | 1          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 2001 – 2500 | 8        | 12         | 4          | 8          | 11         | 5          | 7          | 4          | 3          | 1          | 4          | 2          | 2          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 2501 – 3000 | 0        | 2          | 4          | 4          | 1          | 3          | 1          | 0          | 1          | 0          | 2          | 1          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 3001 – 3500 | 1        | 2          | 1          | 1          | 1          | 1          | 2          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | 3501 – 4000 | 0        | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 1          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |
|          | >=4001      | 0        | 0          | 1          | 0          | 1          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0          | 0       |

### 3.21 Elapsed time between 1<sup>st</sup> RA and CPA

Table 3-23 and Figure 3-24 below show the elapsed time between the 1<sup>st</sup> RA and CPA. Nominally, it is expected that an RA will get generated between 15 and 35 seconds before the CPA. While it was the case in the majority of the encounters, in some cases the 1<sup>st</sup> RA was generated either before the CPA or well after it. That may legitimately happen in certain geometries; however, the numbers seen here may indicate that in some of the cases the collected data was inaccurate or has been corrupted (see also Section 2.2 - Data limitations and accuracy on page 9).

Table 3-23: Elapsed time between 1st RA and CPA

| Elapsed time [s] | Number      | Percentage    |
|------------------|-------------|---------------|
| <= -1            | 46          | 4.3%          |
| 0                | 12          | 1.1%          |
| 1-4              | 39          | 3.6%          |
| 5-7              | 64          | 6.0%          |
| 8-11             | 122         | 11.4%         |
| 12-16            | 123         | 11.5%         |
| 17-20            | 144         | 13.4%         |
| 21-24            | 130         | 12.1%         |
| 25-28            | 136         | 12.7%         |
| 29-32            | 150         | 14.0%         |
| 33-36            | 69          | 6.4%          |
| 37-40            | 4           | 0.4%          |
| 41-44            | 1           | 0.1%          |
| 45-48            | 4           | 0.4%          |
| 49-52            | 1           | 0.1%          |
| 53-56            | 2           | 0.2%          |
| 57-60            | 0           | 0.0%          |
| >= 61            | 25          | 2.3%          |
| <b>Total:</b>    | <b>1072</b> | <b>100.0%</b> |

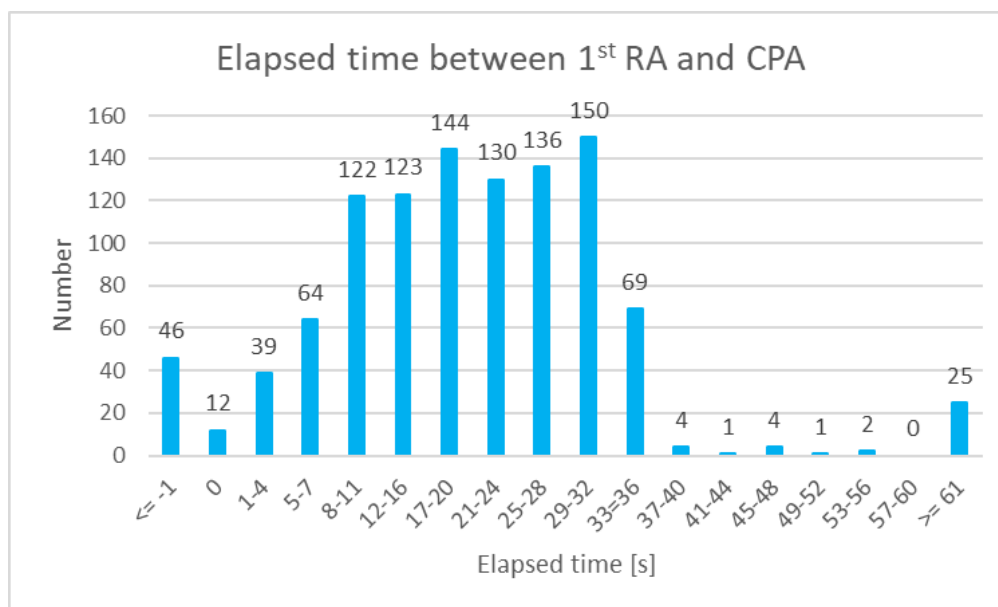


Figure 3-24: Elapsed time between 1st RA and CPA

### 3.22 Vertical rates 8 seconds before the RA

Table 3-26 on page 45 shows the distribution of vertical rates (in 500-foot increments) 8 seconds before the RA (by RA type). The lowest, average, median and highest vertical rates 8 seconds before the RA are presented in Table 3-24 below.

Table 3-24: Lowest, average, median and highest vertical rates 8 seconds before RA

| RA type   | Vertical rate [ft/min.] |         |        |         |
|-----------|-------------------------|---------|--------|---------|
|           | Lowest                  | Average | Median | Highest |
| CL        | -3000                   | -546    | -600   | 2625    |
| XCL       | 675                     | 675     | 675    | 675     |
| DE        | -1725                   | 427     | 150    | 3000    |
| XDE       | -1538                   | -638    | -638   | 263     |
| LO (UP)   | -8700                   | -2092   | -1988  | 525     |
| LO (DN)   | -38                     | 2229    | 2138   | 5363    |
| MaVS (CL) | 1875                    | 1875    | 1875   | 1875    |
| MaVS (DE) | -2513                   | -2119   | -2119  | -1725   |
| MVS (LDE) | -1988                   | -86     | 0      | 1463    |
| MVS (LCL) | -1088                   | 218     | 0      | 2063    |

### 3.23 Vertical rates at the RA

The lowest, average, median and highest vertical rates at the time of RA are presented in Table 3-25 below. Table 3-27 on page 46 shows the distribution of vertical rates (in 500-foot increments) at the time of RA (by RA type).

Table 3-25: Lowest, average, median and highest vertical rates at the RA

| RA type   | Vertical rate [ft/min.] |         |        |         |
|-----------|-------------------------|---------|--------|---------|
|           | Lowest                  | Average | Median | Highest |
| CL        | -1725                   | 81      | 38     | 2250    |
| XCL       | 1200                    | 1200    | 1200   | 1200    |
| DE        | -2325                   | -158    | -263   | 3638    |
| XDE       | -1538                   | -844    | -844   | -150    |
| LO (UP)   | -10500                  | -1429   | -1238  | 2025    |
| LO (DN)   | -150                    | 1551    | 1463   | 5250    |
| MaVS (CL) | 1875                    | 1875    | 1875   | 1875    |
| MaVS (DE) | -1950                   | -1800   | -1800  | -1650   |
| MVS (LDE) | -1425                   | -18     | 0      | 1875    |
| MVS (LCL) | -975                    | 77      | 0      | 1463    |

Table 3-26: Vertical rate 8 sec. before the RA

|         |           | Vertical rate [ft/min.] |       |       |       |       |       |       |      |     |     |      |      |      |      |      |      |      |     |       |
|---------|-----------|-------------------------|-------|-------|-------|-------|-------|-------|------|-----|-----|------|------|------|------|------|------|------|-----|-------|
|         |           | <=                      | -3001 | -2501 | -2001 | -1501 | -1001 | -501  | -1   |     | 1   | 501  | 1001 | 1501 | 2001 | 2501 | 3001 | >=   | NA* | Total |
|         |           | -3501                   | –     | –     | –     | –     | –     | –     | –    | 0   | –   | –    | –    | –    | –    | –    | –    | 3501 |     |       |
|         |           |                         | -3500 | -3000 | -2500 | -2000 | -1500 | -1000 | -500 |     | 500 | 1000 | 1500 | 2000 | 2500 | 3000 | 3500 |      |     |       |
| RA type | CL        | 0                       | 0     | 2     | 1     | 11    | 30    | 42    | 13   | 25  | 22  | 3    | 3    | 1    | 0    | 1    | 0    | 0    | 1   | 155   |
|         | XCL       | 0                       | 0     | 0     | 0     | 0     | 0     | 0     | 0    | 0   | 0   | 1    | 0    | 0    | 0    | 0    | 0    | 0    | 0   | 1     |
|         | DE        | 0                       | 0     | 0     | 0     | 1     | 5     | 11    | 18   | 21  | 9   | 29   | 20   | 5    | 1    | 5    | 0    | 0    | 0   | 125   |
|         | XDE       | 0                       | 0     | 0     | 0     | 1     | 0     | 0     | 0    | 0   | 1   | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0   | 2     |
|         | LO (UP)   | 40                      | 29    | 55    | 60    | 57    | 71    | 44    | 12   | 2   | 0   | 1    | 0    | 0    | 0    | 0    | 0    | 0    | 4   | 375   |
|         | LO (DN)   | 0                       | 0     | 0     | 0     | 0     | 0     | 0     | 1    | 0   | 3   | 26   | 61   | 87   | 105  | 69   | 29   | 40   | 0   | 421   |
|         | MaVS (CL) | 0                       | 0     | 0     | 0     | 0     | 0     | 0     | 0    | 0   | 0   | 0    | 0    | 1    | 0    | 0    | 0    | 0    | 0   | 1     |
|         | MaVS (DE) | 0                       | 0     | 1     | 0     | 1     | 0     | 0     | 0    | 0   | 0   | 0    | 0    | 0    | 0    | 0    | 0    | 0    | 0   | 2     |
|         | MVS (LDE) | 0                       | 0     | 0     | 0     | 3     | 2     | 7     | 26   | 48  | 37  | 0    | 1    | 0    | 0    | 0    | 0    | 0    | 0   | 124   |
|         | MVS (LCL) | 0                       | 0     | 0     | 0     | 0     | 2     | 1     | 6    | 11  | 9   | 1    | 3    | 2    | 1    | 0    | 0    | 0    | 0   | 36    |
| Total:  |           | 40                      | 29    | 58    | 61    | 74    | 110   | 105   | 76   | 107 | 81  | 61   | 88   | 96   | 107  | 75   | 29   | 40   | 5   | 1242  |

\*) The Vertical Rate has not been recorded.

Table 3-27: Vertical rates at the RA

|         |           | Vertical rate [ft/min.] |            |            |            |            |            |            |           |    |          |           |           |           |           |           |           |      |       |
|---------|-----------|-------------------------|------------|------------|------------|------------|------------|------------|-----------|----|----------|-----------|-----------|-----------|-----------|-----------|-----------|------|-------|
|         |           | <=                      | -3001      | -2501      | -2001      | -1501      | -1001      | -501       | -1        | 0  | 1        | 501       | 1001      | 1501      | 2001      | 2501      | 3001      | >=   | Total |
|         |           | -3501                   | –<br>-3500 | –<br>-3000 | –<br>-2500 | –<br>-2000 | –<br>-1500 | –<br>-1000 | –<br>-500 |    | –<br>500 | –<br>1000 | –<br>1500 | –<br>2000 | –<br>2500 | –<br>3000 | –<br>3500 | 3501 |       |
| RA type | CL        | 0                       | 0          | 0          | 0          | 3          | 7          | 17         | 34        | 15 | 40       | 20        | 15        | 3         | 1         | 0         | 0         | 0    | 155   |
|         | XCL       | 0                       | 0          | 0          | 0          | 0          | 0          | 0          | 0         | 0  | 0        | 0         | 1         | 0         | 0         | 0         | 0         | 0    | 1     |
|         | DE        | 0                       | 0          | 0          | 2          | 2          | 16         | 20         | 39        | 7  | 21       | 7         | 5         | 2         | 1         | 1         | 0         | 2    | 125   |
|         | XDE       | 0                       | 0          | 0          | 0          | 1          | 0          | 0          | 1         | 0  | 0        | 0         | 0         | 0         | 0         | 0         | 0         | 0    | 2     |
|         | LO (UP)   | 12                      | 10         | 28         | 45         | 53         | 85         | 72         | 60        | 2  | 6        | 1         | 0         | 0         | 1         | 0         | 0         | 0    | 375   |
|         | LO (DN)   | 0                       | 0          | 0          | 0          | 0          | 0          | 0          | 4         | 2  | 39       | 72        | 113       | 85        | 53        | 22        | 16        | 15   | 421   |
|         | MaVS (CL) | 0                       | 0          | 0          | 0          | 0          | 0          | 0          | 0         | 0  | 0        | 0         | 0         | 0         | 1         | 0         | 0         | 0    | 1     |
|         | MaVS (DE) | 0                       | 0          | 0          | 0          | 2          | 0          | 0          | 0         | 0  | 0        | 0         | 0         | 0         | 0         | 0         | 0         | 0    | 2     |
|         | MVS (LDE) | 0                       | 0          | 0          | 0          | 0          | 4          | 2          | 32        | 42 | 39       | 4         | 0         | 1         | 0         | 0         | 0         | 0    | 124   |
|         | MVS (LCL) | 0                       | 0          | 0          | 0          | 0          | 0          | 2          | 14        | 9  | 5        | 2         | 4         | 0         | 0         | 0         | 0         | 0    | 36    |
|         | Total:    | 12                      | 10         | 28         | 47         | 61         | 112        | 113        | 184       | 77 | 150      | 106       | 138       | 91        | 57        | 23        | 16        | 17   | 1242  |



### 3.24 Vertical rates at the RA – altitude distribution

Table 3-28 below shows the distribution of vertical rates at the RA by altitude.

Table 3-28: Vertical rates at the RA – altitude distribution

|                         |                | Altitude [ft] |             |              |               |               |               |               |          |
|-------------------------|----------------|---------------|-------------|--------------|---------------|---------------|---------------|---------------|----------|
|                         |                | <= 2350       | 2351 – 5000 | 5001 – 10000 | 10001 – 20000 | 20001 – 29000 | 29001 – 35000 | 35001 – 40000 | >= 40001 |
| Vertical rate [ft/min.] | -3501 – -15000 | 0             | 0           | 1            | 1             | 6             | 2             | 2             | 0        |
|                         | -3001 – -3500  | 0             | 0           | 0            | 2             | 3             | 3             | 2             | 0        |
|                         | -2501 – -3000  | 0             | 0           | 0            | 0             | 9             | 9             | 10            | 0        |
|                         | -2001 – -2500  | 0             | 1           | 0            | 3             | 22            | 12            | 9             | 0        |
|                         | -1501 – -2000  | 1             | 5           | 3            | 8             | 20            | 15            | 9             | 0        |
|                         | -1001 – -1500  | 0             | 12          | 18           | 16            | 29            | 17            | 20            | 0        |
|                         | -501 – -1000   | 1             | 21          | 13           | 18            | 22            | 23            | 14            | 1        |
|                         | -1 – -500      | 5             | 60          | 18           | 19            | 33            | 33            | 15            | 1        |
|                         | 0              | 4             | 45          | 19           | 1             | 1             | 3             | 4             | 0        |
|                         | 1 – 500        | 1             | 45          | 19           | 14            | 35            | 27            | 9             | 0        |
|                         | 501 – 1000     | 2             | 7           | 14           | 14            | 23            | 31            | 15            | 0        |
|                         | 1001 – 1500    | 0             | 8           | 19           | 23            | 26            | 41            | 21            | 0        |
|                         | 1501 – 2000    | 0             | 0           | 14           | 19            | 18            | 26            | 14            | 0        |
|                         | 2001 – 2500    | 1             | 0           | 11           | 11            | 14            | 11            | 9             | 0        |
|                         | 2501 – 3000    | 0             | 1           | 4            | 4             | 4             | 5             | 5             | 0        |
|                         | 3001 – 3500    | 0             | 0           | 6            | 3             | 2             | 2             | 3             | 0        |
|                         | 3501 – 10000   | 0             | 2           | 5            | 3             | 0             | 5             | 2             | 0        |

## 4 Airborne data: Traffic and Resolution Advisories

TCAS data collected on the ground is subject to limitations mentioned in Section 2.2 on page 9. Additionally, some TCAS events (for instance Traffic Advisories) cannot be recorded on the ground as they are not downlinked. To expand on the data set obtained from radar recordings and analysed in Section 3 above, EUROCONTROL has obtained from a number of airlines their TCAS statistics collected through the Flight Data Monitoring (FDM) scheme.

The airline results have been aggregated in Table 4-1 below to provide information on the range of TA and RA frequency (per flight leg and per flight hour) as well as the TA:RA ratio. The data is presented as a function of the operation type short- & medium-haul (single aisle) vs. long-haul (wide body) as the number of alerts in each type of the fleet will vary due to different exposure to situations that are likely to result in TCAS alerts.

Table 4-1: TA and RA airborne data

| Fleet (operations) type                | Flight legs/TA<br>[range] | Flight legs/RA<br>[range] | TA:RA ratio<br>[range] | Flight<br>hours/RA |
|--|---------------------------|---------------------------|------------------------|--------------------|
| Short- & medium haul<br>(single aisle) | 10 – 16                   | 2475 – 3603               | 164 – 238              | 3400 – 6691        |
| Long haul<br>(wide body)               | 10 – 12                   | 1047 – 1053               | 95 – 120               | N/A                |

## **5 Impact of the COVID-related traffic reduction**

The reduction in air traffic due to the COVID-related restrictions will certainly have an impact on the frequency of TCAS alerts, as due the lower traffic density and the lower number of flights, aircraft will be less likely to be in conflict. Initial monitoring data indicates that the number of RAs dropped to 25-30% of the pre-COVID situation. However, at the time of writing there is no information available regarding other statistical aspects mentioned in this document.

## 6 Abbreviations, Glossary and References

### 6.1 Abbreviations

For RA abbreviations refer to Table 2-1 on page 11.

Table 6-1: Other abbreviations

| Abbreviation | Meaning                                      |
|--------------|--|
| ACAS         | Airborne Collision Avoidance System          |
| ATC          | Air Traffic Control                          |
| CPA          | Closest Point of Approach                    |
| FDM          | Flight Data Monitoring                       |
| HMD          | Horizontal Miss Distance                     |
| IATA         | International Air Transport Association      |
| RA           | Resolution Advisory                          |
| TA           | Traffic Advisory                             |
| TCAS         | Traffic Alert and Collision Avoidance System |
| VMD          | Vertical Miss Distance                       |
| UTC          | Coordinated Universal Time                   |

### 6.2 Glossary of Terms

For more definitions and information refer to the [EUROCONTROL ACAS Guide](#).

**Closest Point of Approach (CPA)** – The occurrence of minimum (slant) range between own ACAS aircraft and the intruder. Range at CPA is the smallest range between the two aircraft and time at CPA is the time at which it occurs.

**Crossing RA** – An RA during which the own aircraft is expected to cross the altitude of the intruder before closest approach.

**Coordinated encounter** – an encounter in which two TCAS II equipped aircraft simultaneously receive RAs against each other.

**Encounter** – a situation when two or more aircraft are in proximity, so an RA gets trigger on at least one of them.

**Horizontal Miss Distance (HMD)** – the horizontal range between two aircraft at the Closest Point of Approach.

**Intruder (aircraft)** – An aircraft within the surveillance range of ACAS II for which ACAS II has an established track.

**Multi-threat encounter** – encounter where the own aircraft receives RAs against two or more threats simultaneously.

**Preventive advisory** – A resolution advisory that instructs the pilot to avoid certain deviations from current vertical rate, for example a Monitor Vertical Speed (do not climb) RA when the aircraft is level.

**Reversed sense RA** – an RA type, which has its sense reversed in the opposite direction to the previous one.

**Sequence (RA sequence)** – an RA or a number of subsequent RAs generated on one aircraft during an encounter. An RA sequence ends with Clear of Conflict or RA termination.

**Strengthening RAs** – an RA type, which increases the strength of the previously issued RA. For example, an initial positive RA (which requires either climb or descend) can be strengthened to either Increase Climb or Increase Decent RAs.

**Threat (aircraft)** – An intruder deserving special attention either because of its close proximity to own aircraft or because successive range and altitude measurements indicate that it could be on a collision or near collision course with own aircraft.

**Vertical Miss Distance (VMD)** – the relative altitude between own and intruder aircraft at closest point of approach.

**Weakening RA** – an RA type generated in order to reduce vertical deviation from initial path induced by an initial RA.

### **6.3 References**

[IATA/EUROCONTROL Guidance Material](#) on Performance assessment of pilot compliance to Traffic Alert and Collision Avoidance System (TCAS) using Flight Data Monitoring (FDM), November 2020.

[EUROCONTROL ACAS Guide](#), December 2017.

[TCAS RA not Followed](#), Network Manager Operational Safety Study, EUROCONTROL, September 2017.

[EUROCONTROL](#) The assessment of pilot compliance with TCAS RAs, TCAS mode selection and serviceability using ATC radar data, April 2021

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